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CANCER INSTITUTE



# Immunotherapy for Breast Cancer: Updates and New Directions

Honolulu, HI February 2023



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Stanford University School of Medicine



# Fc Receptors Modulate Antitumor Activity of Trastuzumab 2023 = 25<sup>th</sup> Anniversary of 1<sup>st</sup> FDA Approval of Trastuzumab

*Proc. Natl. Acad. Sci. USA*  
Vol. 89, pp. 4285–4289, May 1992  
Immunology

## Humanization of an anti-p185<sup>HER2</sup> antibody for human cancer therapy

(antibody engineering/site-directed mutagenesis/*c-erbB-2/neu*)

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WAI LEE T. WONG<sup>‡</sup>, ANN M. ROWLAND<sup>‡</sup>, CLAIRE KOTTS<sup>‡</sup>, MONIQUE E. CARVER<sup>‡</sup>,  
AND H. MICHAEL SHEPARD<sup>§</sup>

Departments of \*Protein Engineering, <sup>†</sup>Cell Genetics, <sup>‡</sup>Medicinal and Analytical Chemistry, and <sup>§</sup>Cell Biology, Genentech Inc., 460 Point San Bruno Boulevard, South San Francisco, CA 94080

Communicated by Hilary Koprowski, January 16, 1992 (received for review February 15, 1991)



Paul

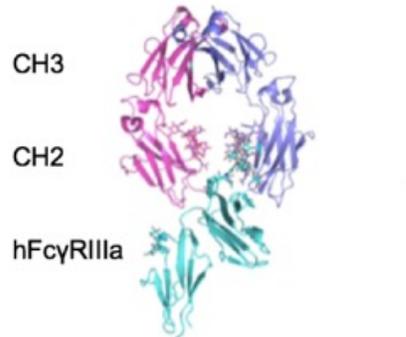


Leny



Mike

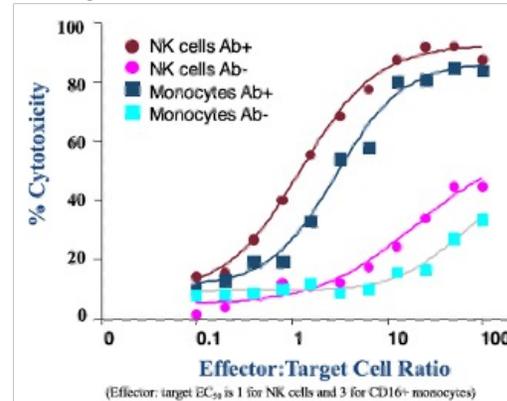
## IgG1 isotype – high affinity FcR binding



Top view of the structure of the glycosylated Fc-FcγRIIIa complex. Oligosaccharides are depicted as ball and stick representations.

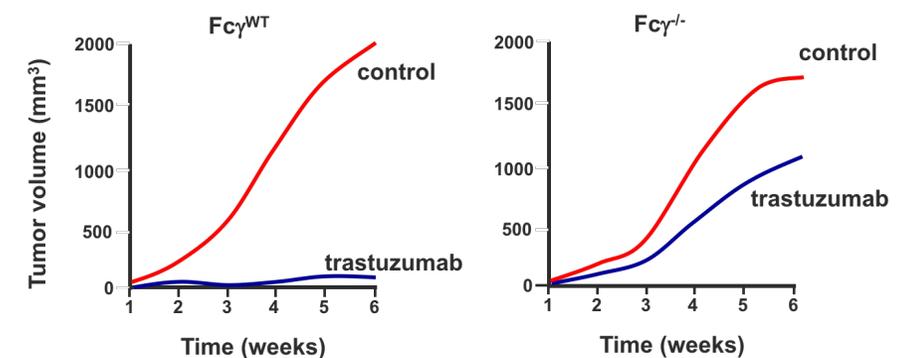
Ferrara C et al. PNAS 2011;108:12669-12674 **PNAS**

## The trastuzumab Fc-domain/FcγRIIIa Complex is a Potent Mediator of ADCC



Pegram, et al., Proc Am Assoc Cancer Res  
38: 602, 1997 (abstr 4044).

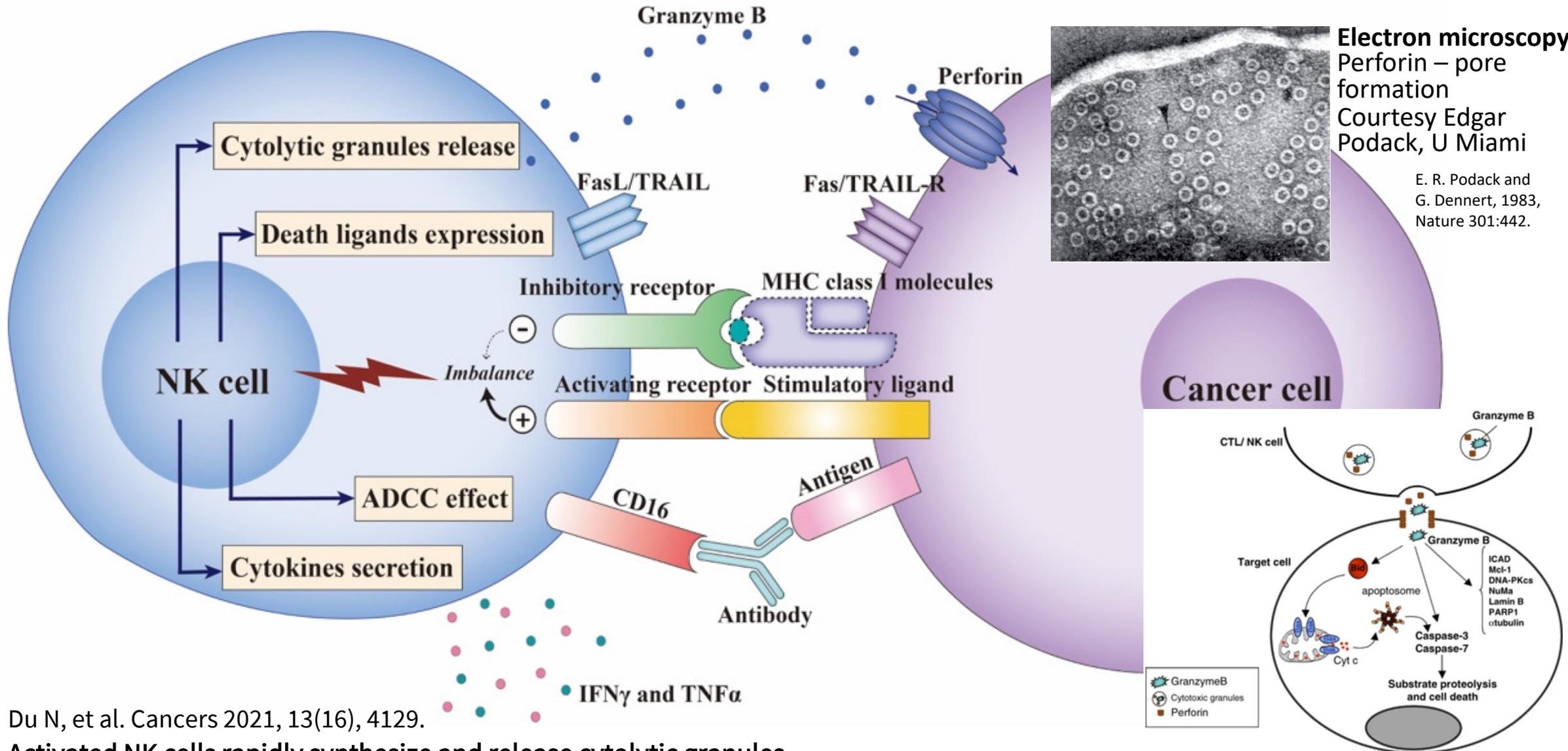
## Breast Cancer Cell Growth Following Treatment with Trastuzumab in the Presence and Absence of Functional Fc Receptors



Adapted from Clynes et al. *Nature Med.* 2000;6:443-446

\*ADCC = Antibody-Dependent Cell-mediated Cytotoxicity

# A specific function of NK cells in anti-cancer immunity is to exert ADCC by expressing CD16 to recognize antibody-coated cancer cells

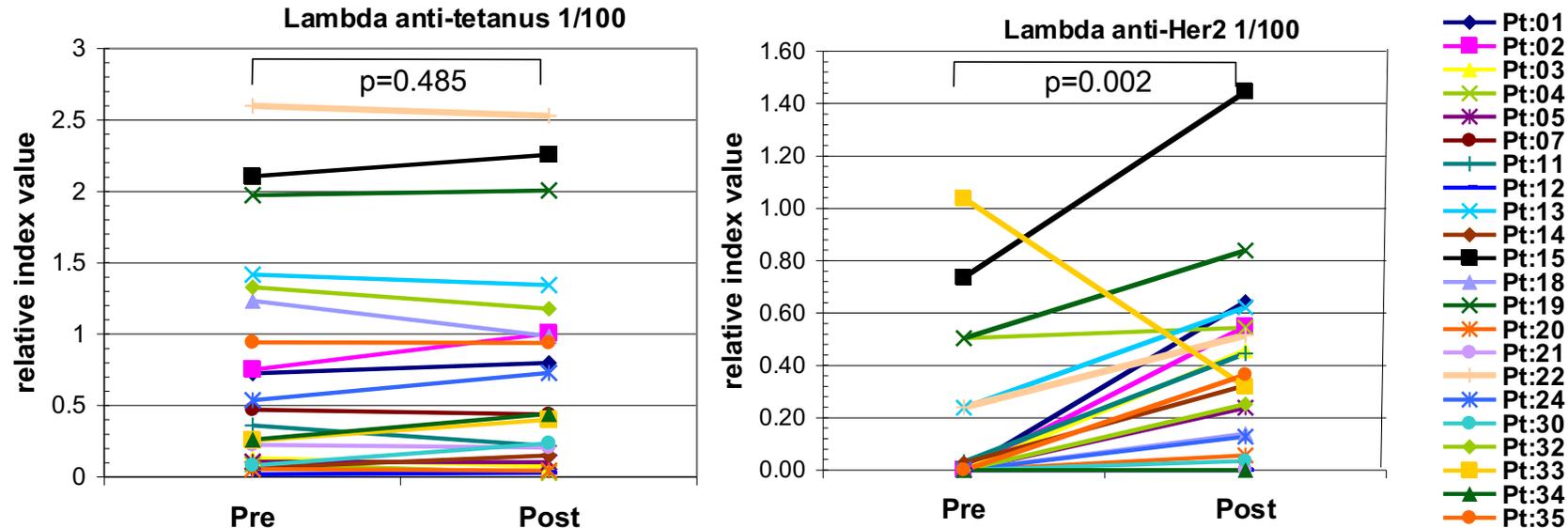


Du N, et al. Cancers 2021, 13(16), 4129.

Activated NK cells rapidly synthesize and release cytolytic granules, perforin and granzymes, initiating cancer cell apoptosis

Cullen S, et al. Cell Death Differ 17, 616–623 (2010).

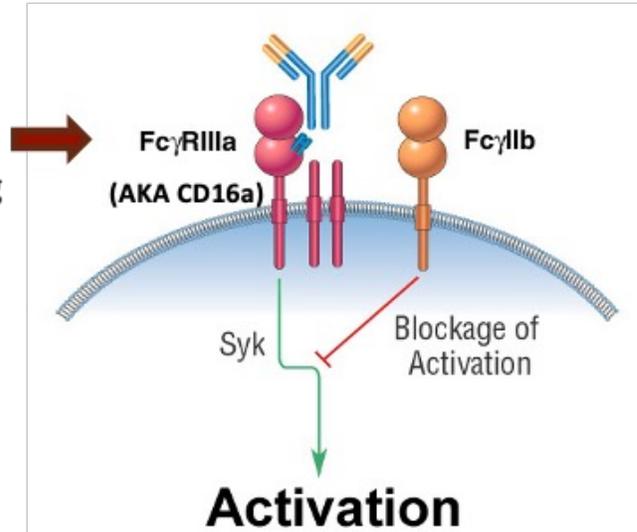
# Adaptive immune responses following trastuzumab: Patients develop increased anti HER2/neu Ig $\lambda$ responses during trastuzumab therapy



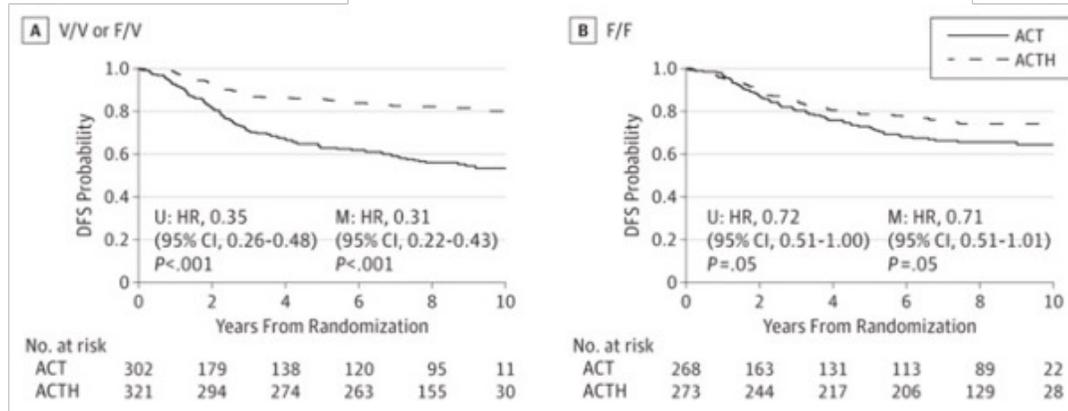
Those patients showing objective clinical responses exhibited more frequent (P = 0.004) and larger (P = 0.006) treatment-associated anti-HER-2/neu humoral responses. Also, augmented HER-2/neu-specific CD4 T-cell responses during therapy.

# Improved Outcomes in Patients with High-binding FcR Alleles

FcγRIIIa amino acid # 158:  
 V/V = high affinity CD16a binding  
 F/F = lower affinity CD16a binding

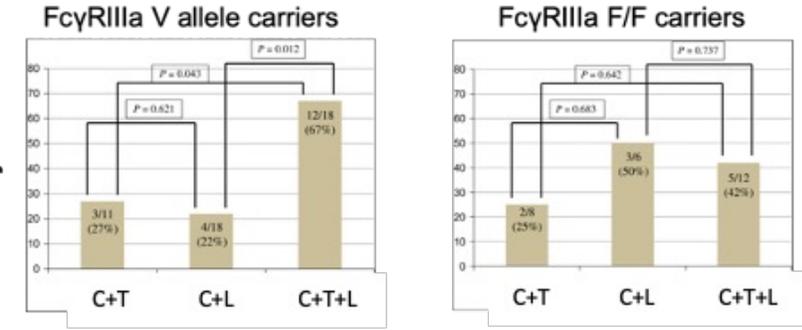


NSABP B31  
 Adjuvant



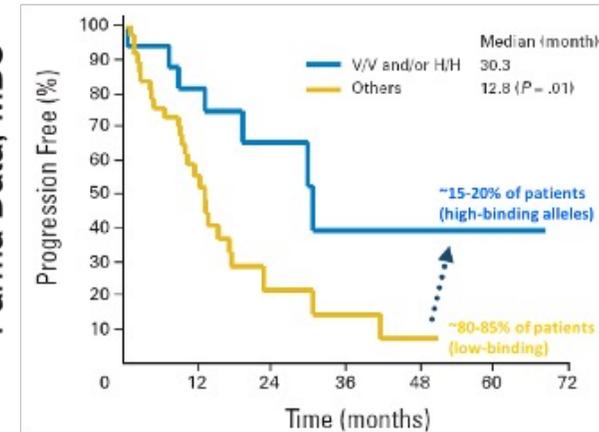
Gavin PG, et al. JAMA Oncol. 2017; 3(3): 335-341.

Pathological complete response (pCR) rate by treatment arm  
 CHER-LOB trial  
 Neoadjuvant



A Musolino, et al. The Pharmacogenomics Journal 16, 472-477 (2016).

Parma Data, MBC



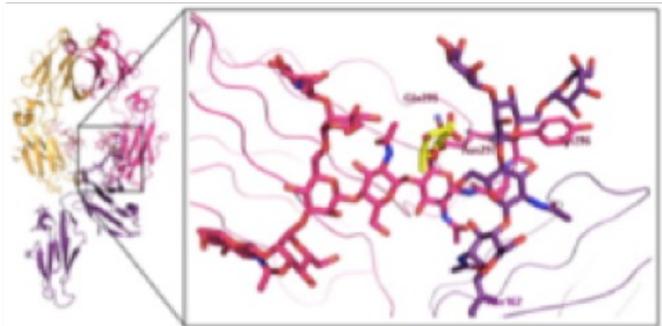
Musolino, A. et al. J Clin Oncol 26:1789-1796, 2008.

PRESENTED AT: **2018 ASCO ANNUAL MEETING**

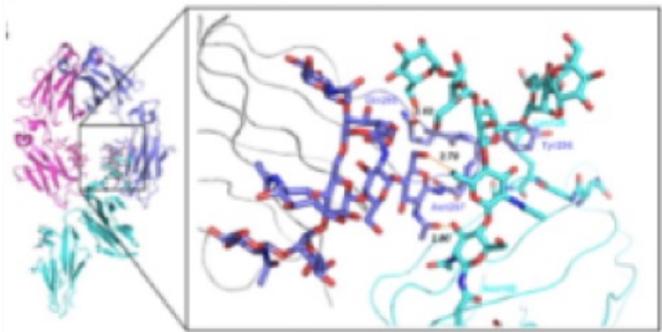
#ASCO18  
 Slides are the property of the author, permission required for reuse.

PRESENTED BY: **M Pegram**

# NK Cell-Mediated Antibody-Dependent Cellular Cytotoxicity in Cancer Immunotherapy

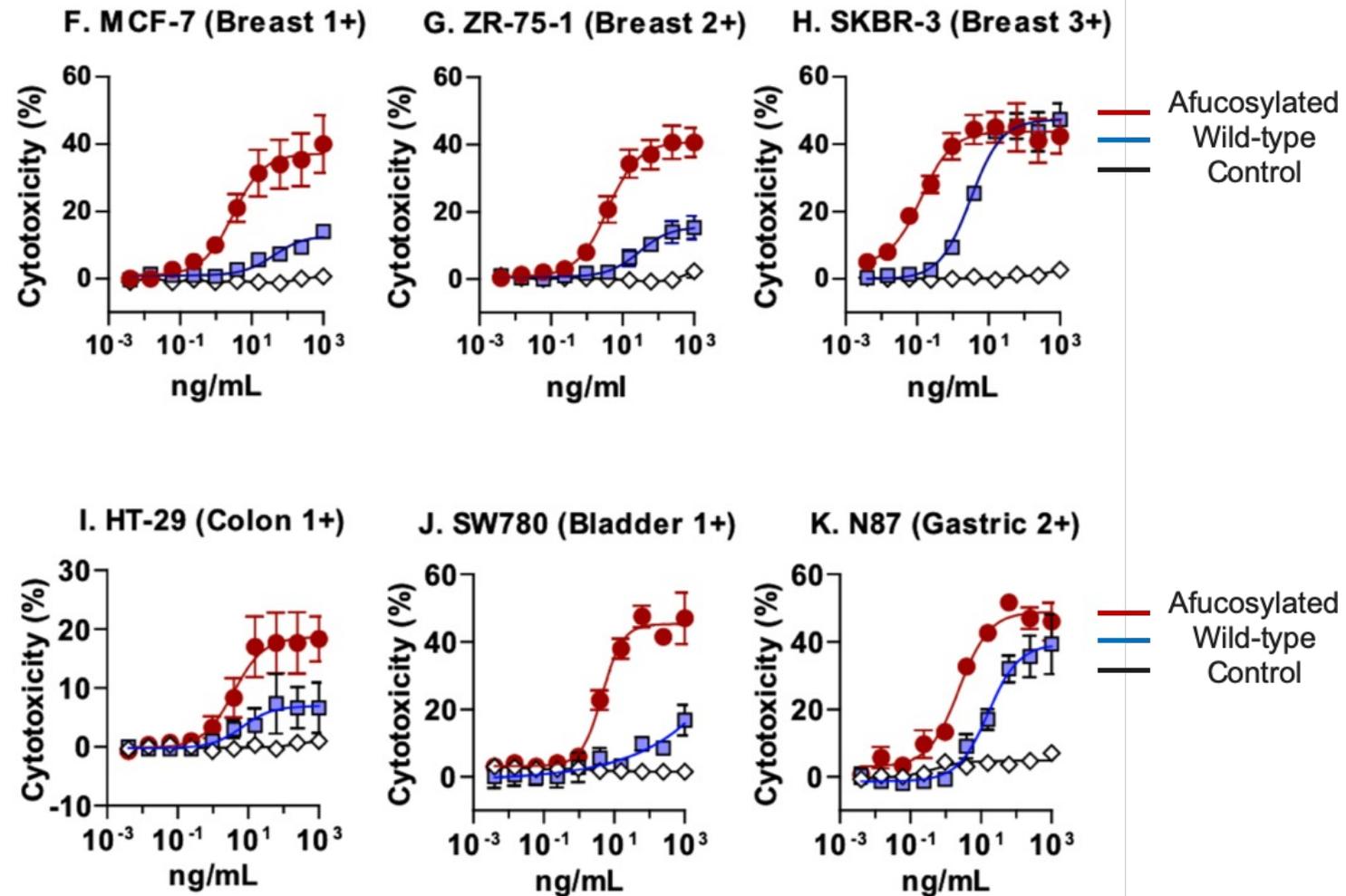


Fucosylated Fc fragment and glycosylated Fc receptor



Afucosylated Fc fragment (glycosylated Fc receptor)

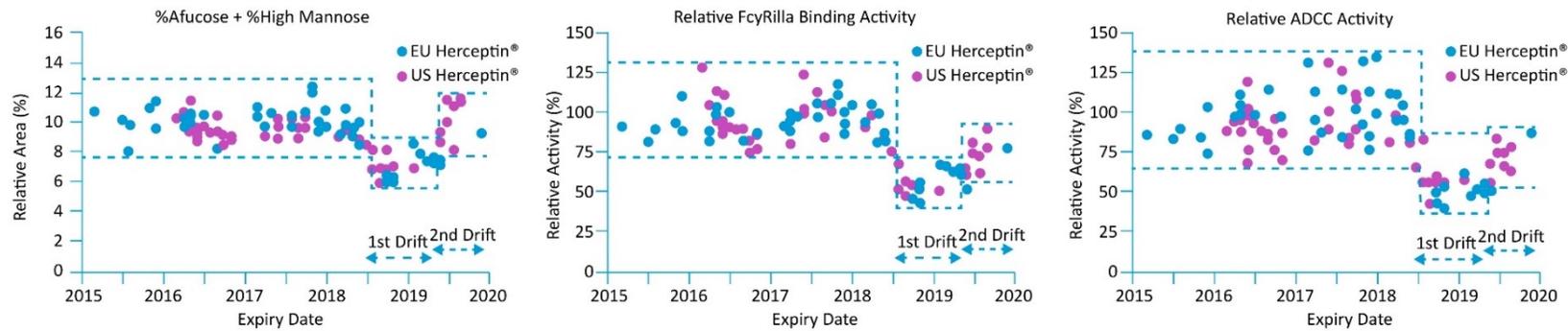
Ferrara C et al. PNAS 2011;108:12669-12674



# Drift in Quality Profiles of Commercial Trastuzumab Associated with Clinical Outcome

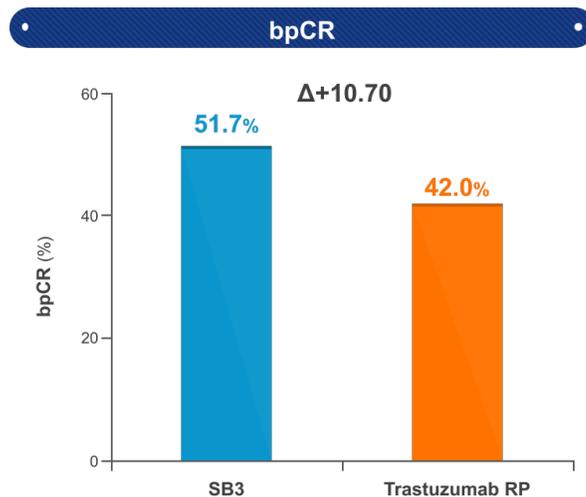
- Critical quality attributes of up to 103 Roche Trastuzumab lots marketed in the EU and the US were continually monitored for over 5 years by analyses<sup>1</sup>
- Changes in glycosylation, Fc receptor binding, and antibody-dependent cellular cytotoxicity (ADCC) activity were observed in lots manufactured during a select period of time<sup>1</sup>

## Drift in Glycosylation, Fc Receptor Binding, and ADCC of Trastuzumab Batches<sup>1</sup>

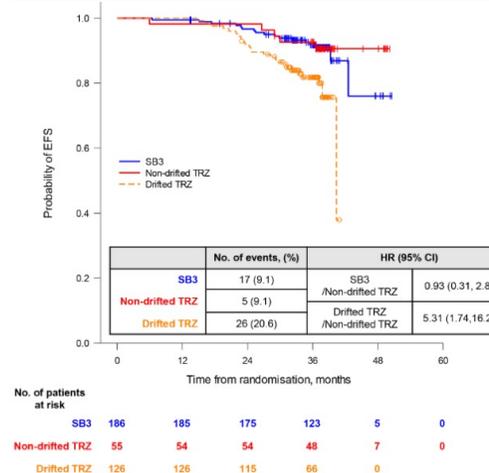


1. Kim S, et al.  
MAbs 2017;9(4):  
704-714.

Three-year follow-up from a phase 3 study of SB3 (a trastuzumab biosimilar) versus trastuzumab in the neoadjuvant setting for HER2+ breast cancer.<sup>2</sup>



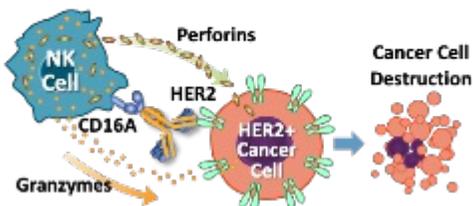
### Event-free Survival by ADCC



2. Pivot X, Pegram M, Cortes J, et al.  
Eur J Cancer.  
2019 Oct;120:1-9.

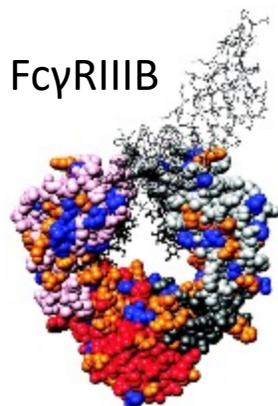
# Fc-Engineered HER2-Targeted Chimeric Monoclonal Antibody Margetuximab

Increased CD16A Affinity:  
Enhanced Innate Immunity/More Potent ADCC Stimulation



Musolino A, Gradishar WJ, Rugo HS, Nordstrom JL, Rock EP, Arnaldez F, Pegram MD. J Immunother Cancer. 2022 Jan;10(1):e003171.

Margetuximab: Increased affinity for activating Fcγ RIIIA (CD16A) and decreased affinity for inhibitory Fcγ RIIIB (CD32B)



Co-crystal structure

Locations of Fc mutations (red, blue) identified by yeast surface display identify the variant F243L/R292P/Y300L/V305I/P396L Stavenhagen. Cancer Res. 2007;67:8882.

## SOPHIA:

HER2+ advanced BC with ≥ 2 previous anti-HER2 therapies; prior brain metastasis allowed if treated/stable

(N = 536)

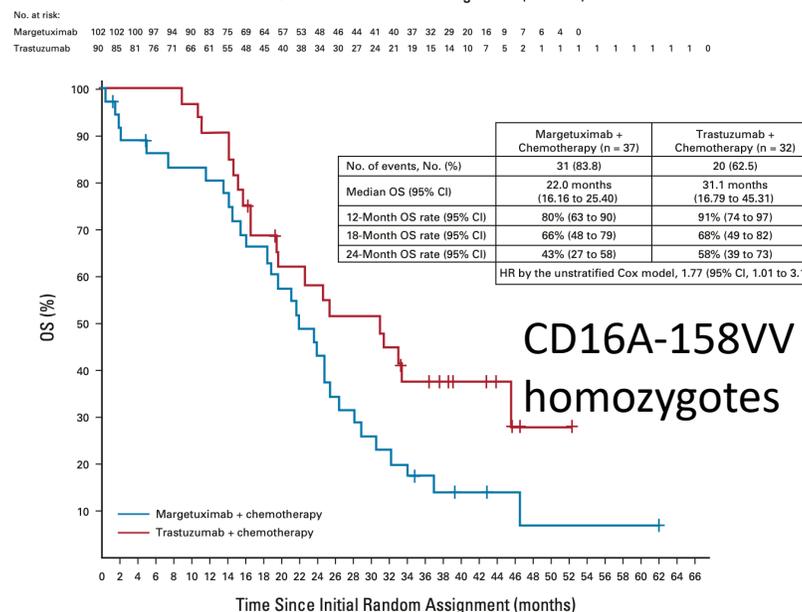
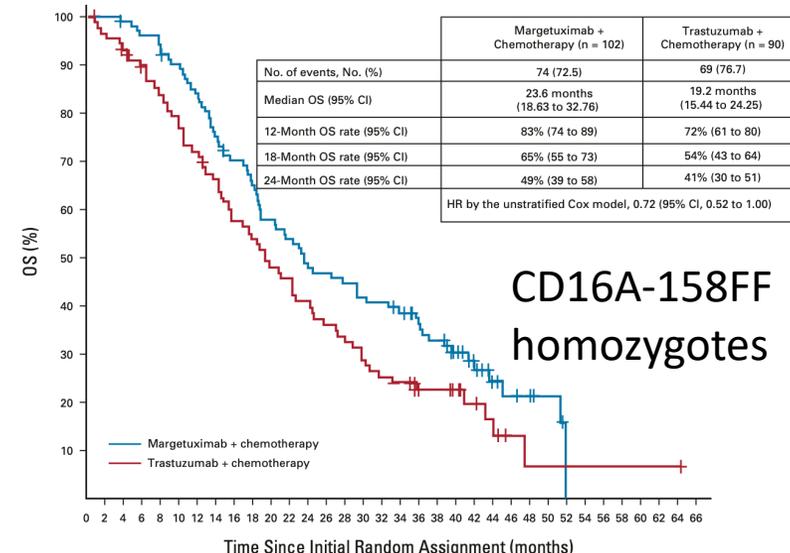
Margetuximab +  
Chemotherapy

Trastuzumab +  
Chemotherapy

\*Investigators choice of CT: capecitabine, eribulin, gemcitabine, or vinorelbine.

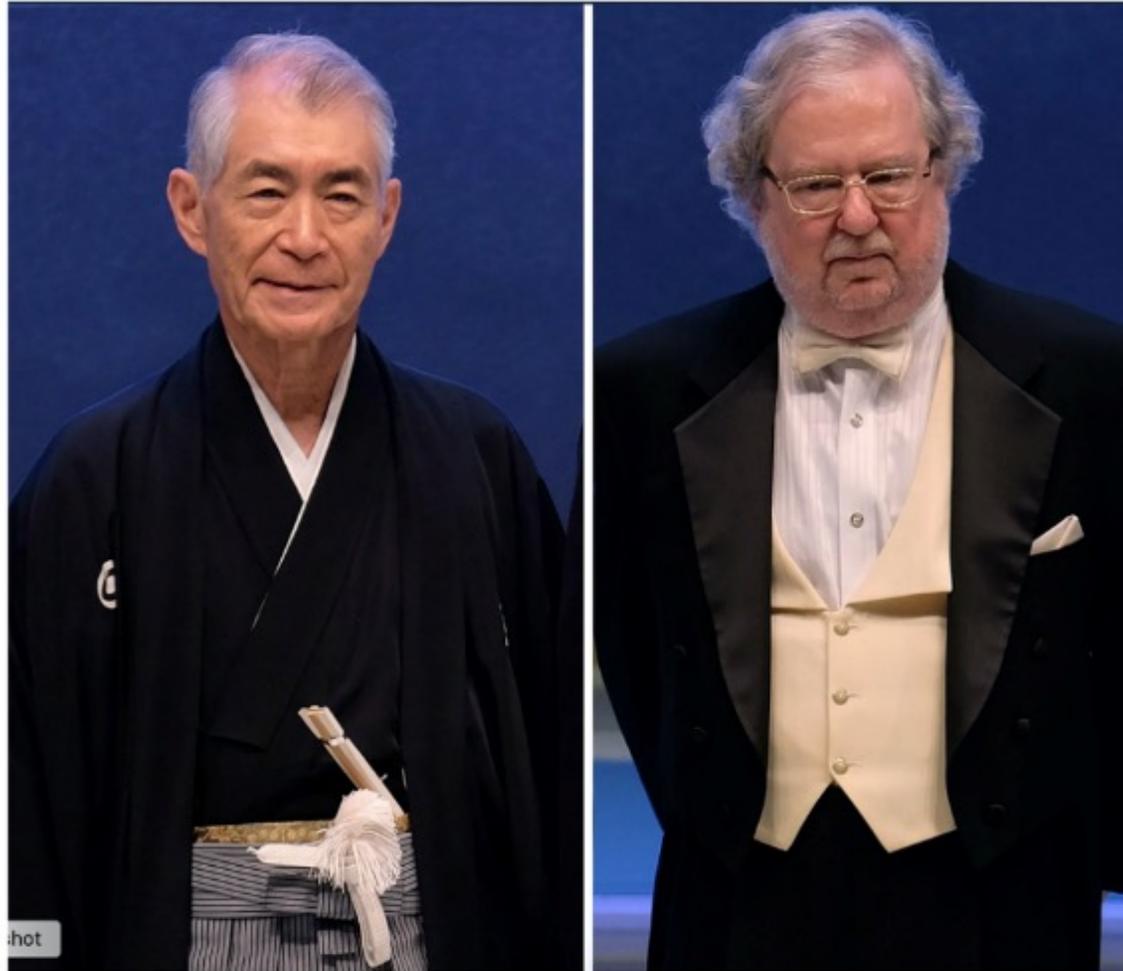
**Sequential primary endpoint: PFS, OS**  
**Secondary endpoints:** ORR by central blinded analysis, investigator-assessed PFS  
**Tertiary and exploratory endpoints:** investigator-assessed CBR, DoR, safety, effect of CD16A, CD32A, and CD32B alleles on margetuximab efficacy  
**Safety: ↑ in IRR, 14.4% vs 3.8%**

# CD16A Genotype by Treatment Group Prespecified Exploratory OS Analysis

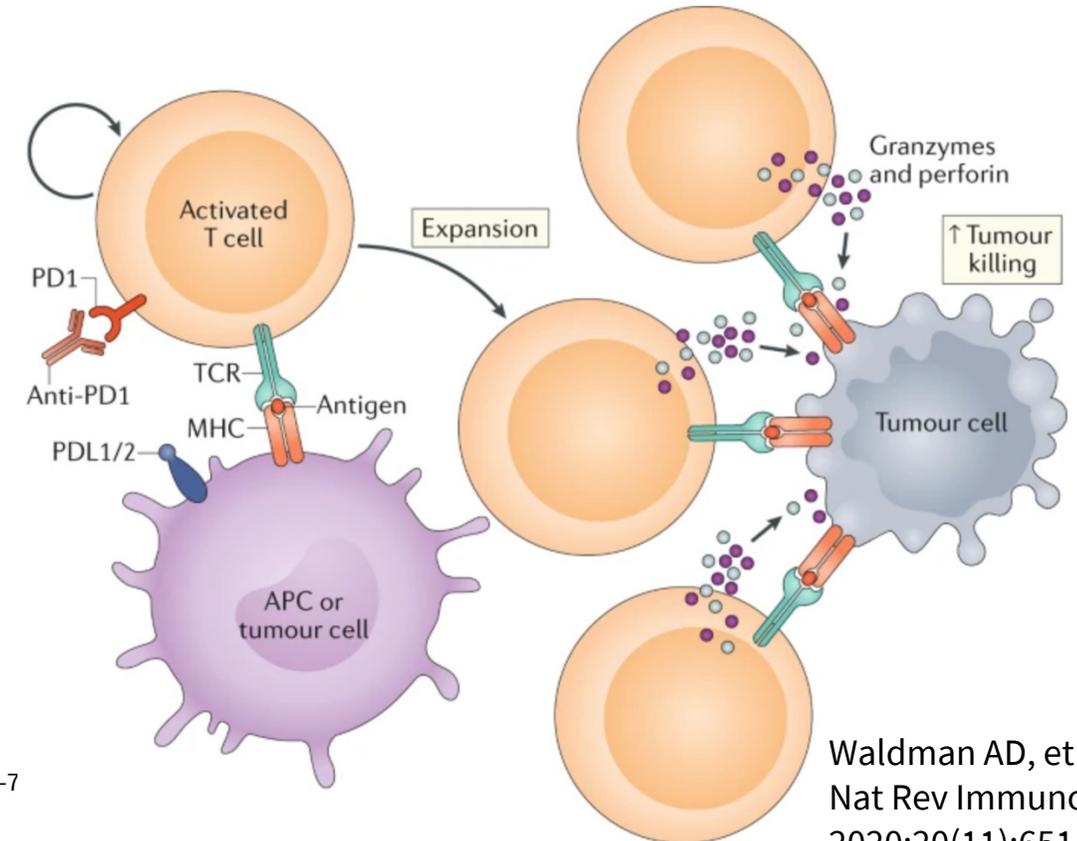


Rugo HS, et al. J Clin Oncol. 2023 Jan 10;41(2):198-205.

# Nobel Prize in Medicine (2018) – Immune checkpoint blockade



Tasuku Honjo and James Allison



1. Huang P-W and Chang J W-C. *Biomed J.* 2019;42(5):299–306. 2. Cogdill AP, et al. *Br J Cancer.* 2017;117(1):1–7

Waldman AD, et al. *Nat Rev Immunol.* 2020;20(11):651–668.

# Summary of Randomized, Phase 3, Double-Blind, Placebo-Controlled Chemoimmunotherapy Trials in Previously Untreated Metastatic Triple-Negative Breast Cancer

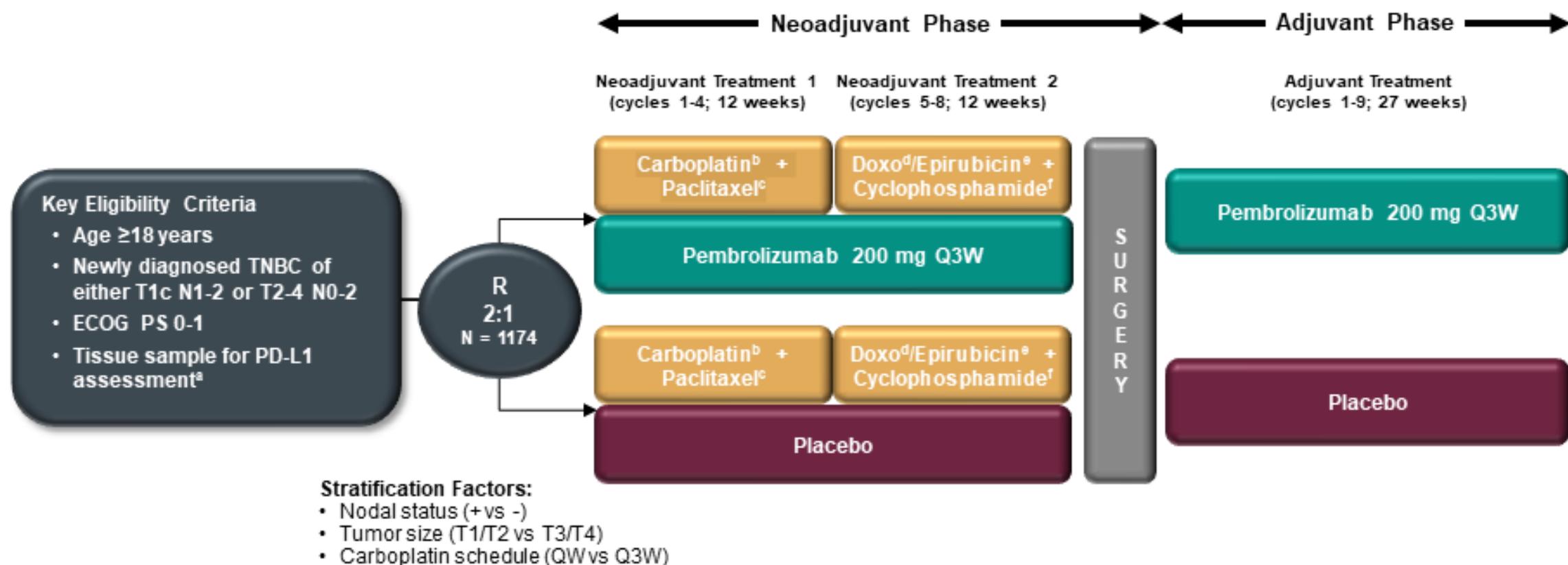
Trial	RR	Patients randomly assigned, N	PD-L1+, %	Treatment	ORR, %	Median PFS in PD-L1+, months	Median OS in PD-L1+, months
IMpassion130	1:1	902	41%	Atezolizumab + nab-paclitaxel vs control (placebo + nab-paclitaxel)	58.9 vs 42.6 <i>P</i> = .0016	7.5 vs 5.0 HR 0.62, 95% CI [0.49, 0.78]; <i>P</i> < .001	25.4 vs 17.9 HR 0.67, 95% CI [0.53, 0.86]; <i>P</i> = .0016
IMpassion131	2:1	651	45%	Atezolizumab + paclitaxel vs control (placebo + paclitaxel)	63.4 vs 55.4 <i>P</i> = .18*	6.0 vs 5.7 HR 0.82, 95% CI [0.6, 1.12]; <i>P</i> = .20	22.1 vs 28.3 HR 1.11, 95% CI [0.76, 1.64]; <i>P</i> = .58*
KEYNOTE-355	2:1	847	38% (CPS ≥ 10)	Pembrolizumab + chemotherapy <sup>†</sup> vs control (placebo + chemotherapy) <sup>†</sup>	52.7 vs 40.8 <i>P</i> = not available	9.7 vs 5.6 HR 0.65, 95% CI [0.49, 0.86]; <i>P</i> = .0012	23.0 vs 16.1 HR 0.73, 95% CI [0.55, 0.95]; <i>P</i> = .0093

**Abbreviations:** CPS, combined positive score; ORR, overall response rate; OS, overall survival; PFS, progression-free survival; RR, randomization ratio.

\*Not formally tested.

<sup>†</sup>Investigator's choice: nab-paclitaxel, paclitaxel, or gemcitabine and carboplatin.

# KEYNOTE-522 Study Design (NCT03036488)



**Neoadjuvant phase:** starts from the first neoadjuvant treatment and ends after definitive surgery (post treatment included)

**Adjuvant phase:** starts from the first adjuvant treatment and includes radiation therapy as indicated (post treatment included)

<sup>a</sup>Must consist of at least 2 separate tumor cores from the primary tumor.

<sup>b</sup>Carboplatin dose was AUC 5 Q3W or AUC 1.5 QW.

<sup>c</sup>Paclitaxel dose was 80 mg/m<sup>2</sup> QW.

<sup>d</sup>Doxorubicin dose was 60 mg/m<sup>2</sup> Q3W.

<sup>e</sup>Epirubicin dose was 90 mg/m<sup>2</sup> Q3W.

<sup>f</sup>Cyclophosphamide dose was 600 mg/m<sup>2</sup> Q3W. P Schmid, et al. N Engl J Med 2022; 386:556-567.

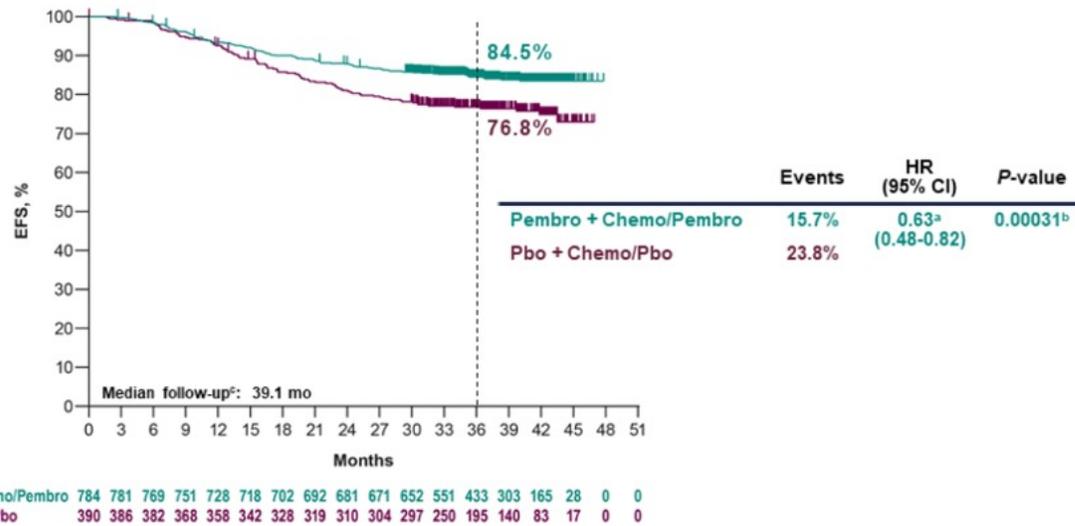
On July 26, 2021, the Food and Drug Administration approved pembrolizumab for high-risk, early-stage, triple-negative breast cancer (TNBC) in combination with chemotherapy as neoadjuvant treatment, and then continued as a single agent as adjuvant treatment after surgery.

## ESMO VIRTUAL PLENARY

**KEYNOTE-522: Phase 3 Study of Neoadjuvant Pembrolizumab + Chemotherapy versus Placebo + Chemotherapy, Followed by Adjuvant Pembrolizumab versus Placebo for Early-Stage Triple-Negative Breast Cancer**

Schmid KN522 ESMO Virtual Plenary 2021

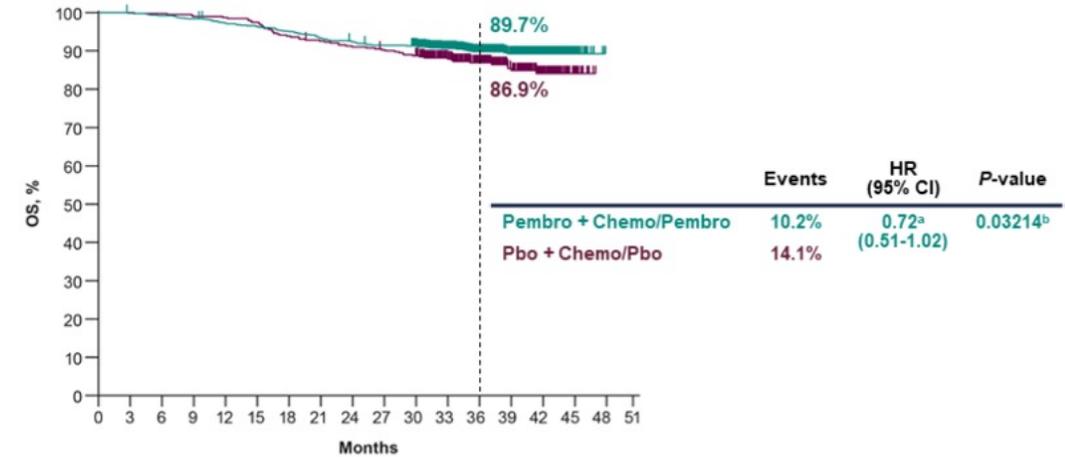
### Statistically Significant and Clinically Meaningful EFS at IA4



<sup>a</sup>Hazard ratio (CI) analyzed based on a Cox regression model with treatment as a covariate stratified by the randomization stratification factors. <sup>b</sup>Prespecified P-value boundary of 0.00517 reached at this analysis. <sup>c</sup>Defined as the time from randomization to the data cutoff date of March 23, 2021.

### Overall Survival

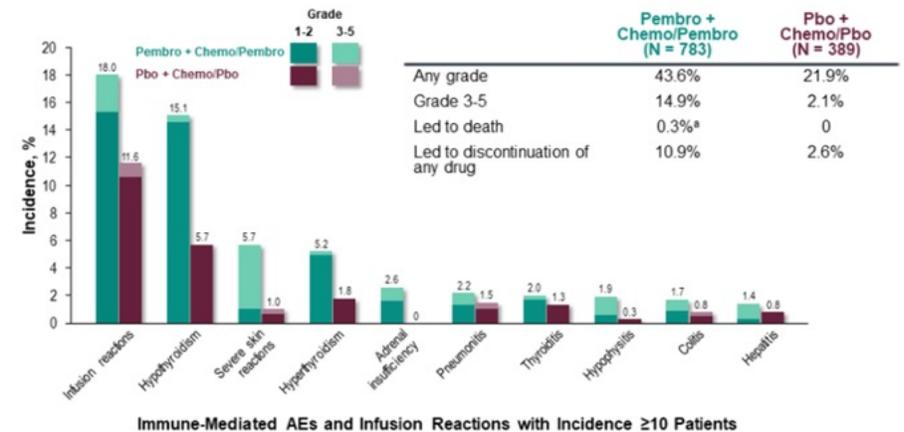
Schmid KN522 ESMO Virtual Plenary 2021



<sup>a</sup>Hazard ratio (CI) analyzed based on a Cox regression model with treatment as a covariate stratified by the randomization stratification factors. <sup>b</sup>Prespecified P-value boundary of 0.00086 not reached at this analysis. Data cutoff date: March 23, 2021.

### Immune-Mediated AEs and Infusion Reactions in Combined Phases

Schmid KN522 ESMO Virtual Plenary 2021

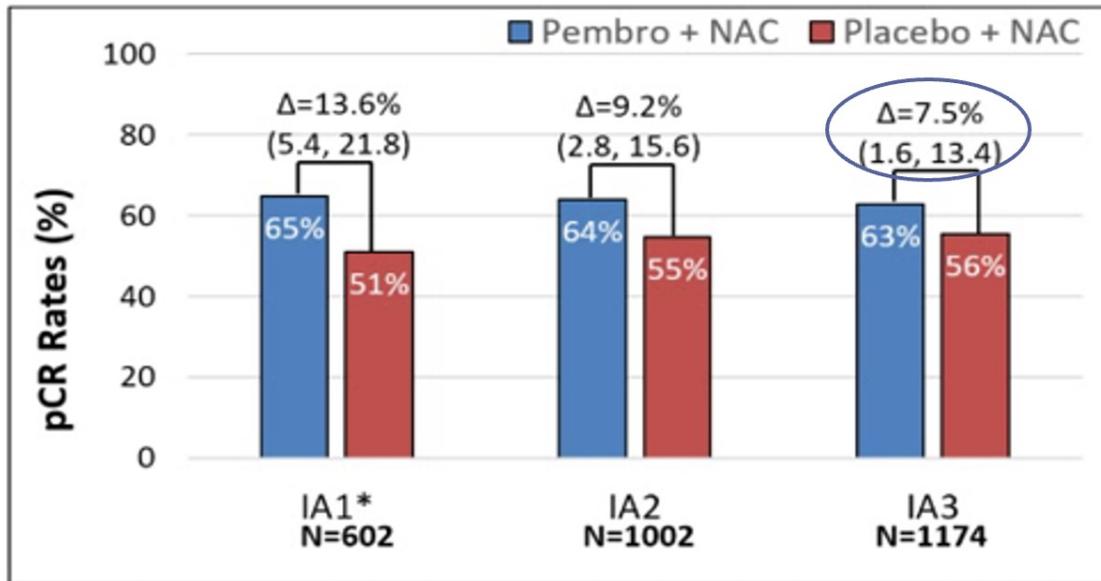


<sup>a</sup>1 patient from pneumonitis and 1 patient from autoimmune encephalitis. Considered regardless of attribution to treatment or immune relatedness by the investigator. Related terms included in addition to preferred terms listed. Data cutoff date: March 23, 2021.

# KEYNOTE-522: PCR

pCR: PD-L1 did not predict benefit to therapy

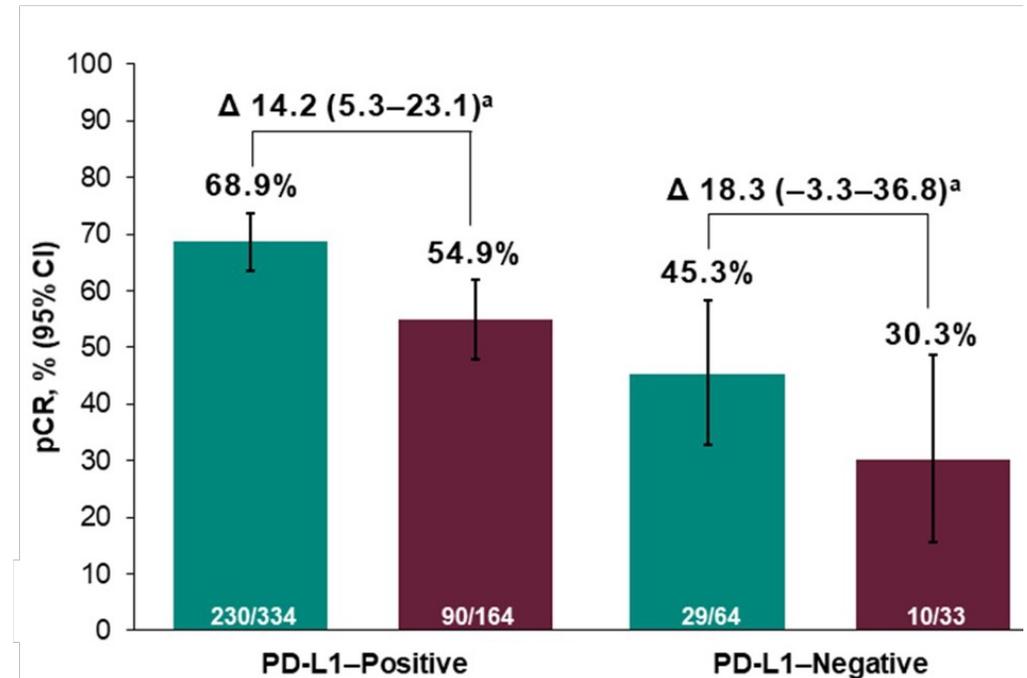
pCR across Interim analyses\*



\* Statistical boundary was crossed with p-value 0.00055; compare with allocated  $\alpha$  of 0.003

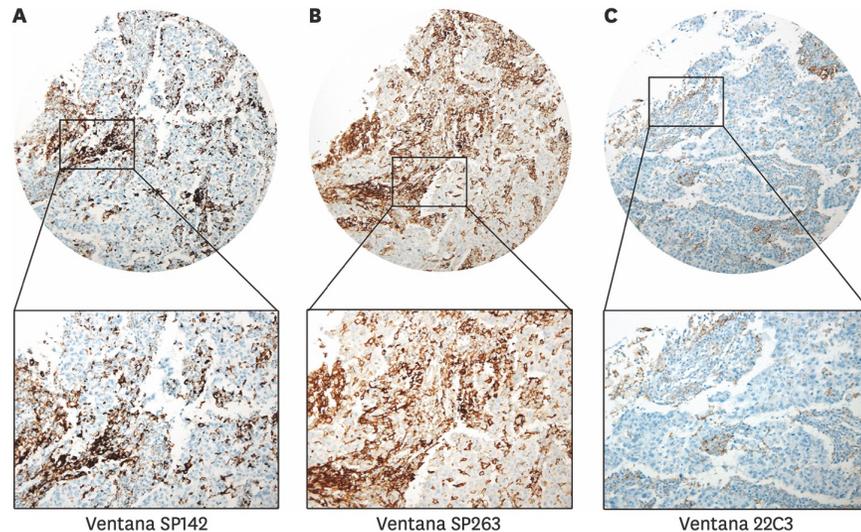
\*FDA ODAC meeting, 02/09/21

pCR by PD-L1 Status

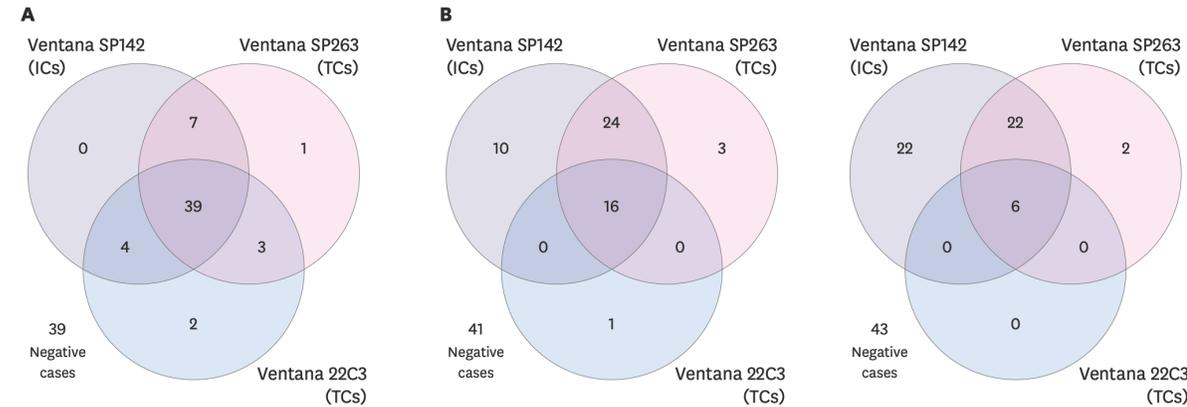


Dent. ESMO Asia 2020. Abstr 10. Schmid. NEJM. 2020;382:810.

# “Concordance” of Programmed Death-Ligand 1 Expression between SP142 and 22C3/SP263 Assays in Triple-Negative Breast Cancer

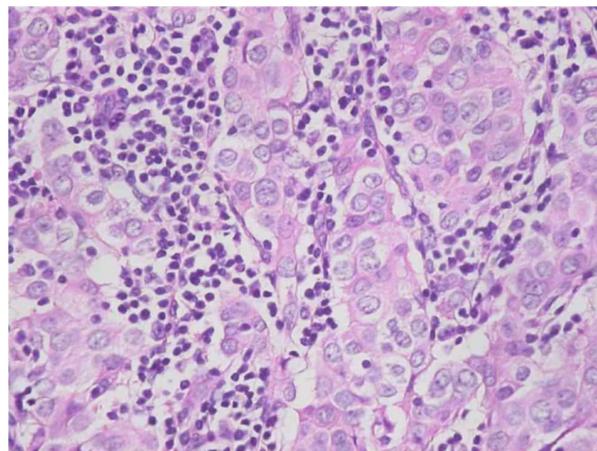


**Figure 1.** Representative IHC image of the same TMA core stained with 3 PD-L1 assays. (A) An SP142 assay on the Ventana platform showed prominent granular staining in infiltrating immune cells (IHC staining, 20× magnification). (B) An SP263 assay on the Ventana platform showed membranous staining in TCs (IHC staining, 20× magnification). (C) A 22C3 assay on the Ventana platform showed membranous staining in TCs (IHC staining, 20× magnification). IHC = immunohistochemistry; TMA = tissue microarray; PD-L1 = programmed death-ligand 1; TC = tumor cell.

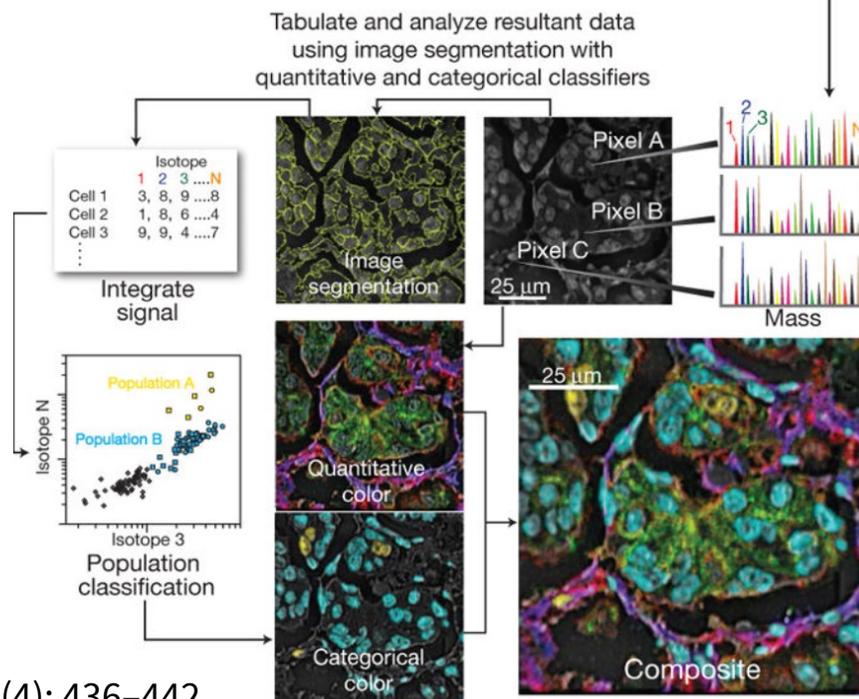
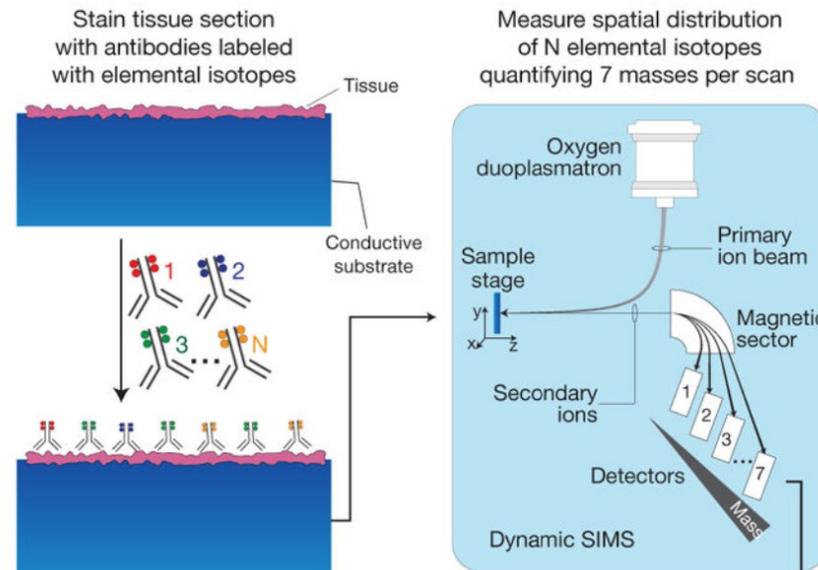


Venn diagram representing the concordance or discordance between the SP142 assay ( $\geq 1\%$  of immune cells) and the 22C3/SP263 assays. (A) 22C3/SP263 assays at a 1% cut-off value, (B) 22C3/SP263 assays at a 5% cut-off value, (C) 22C3/SP263 assays at a 10% cut-off value.

# Multiplexed ion beam imaging (MIBI) of human breast tumors



H&E – TILs in breast CA



Multiplexed ion beam imaging (MIBI) is capable of analyzing up to 100 targets simultaneously over a five-log dynamic range. Here, we used MIBI to analyze formalin-fixed, paraffin-embedded (FFPE) human breast tumor tissue sections. The resulting data suggest that MIBI will provide new insights by integrating tissue microarchitecture with highly multiplexed protein expression patterns, and will be valuable for basic research, drug discovery and clinical diagnostics.

# NeoTRIP trial results and sample collection

## Multiplexed ion beam imaging (MIBI) of human breast tumors

Angelo M, et al. Nat Med. 2014 April ; 20(4): 436–442.

TN high-risk  
(T1cN1; T2N1; T3N0) or  
locally advanced  
N=280

R

Carboplatin+nab-paclitaxel

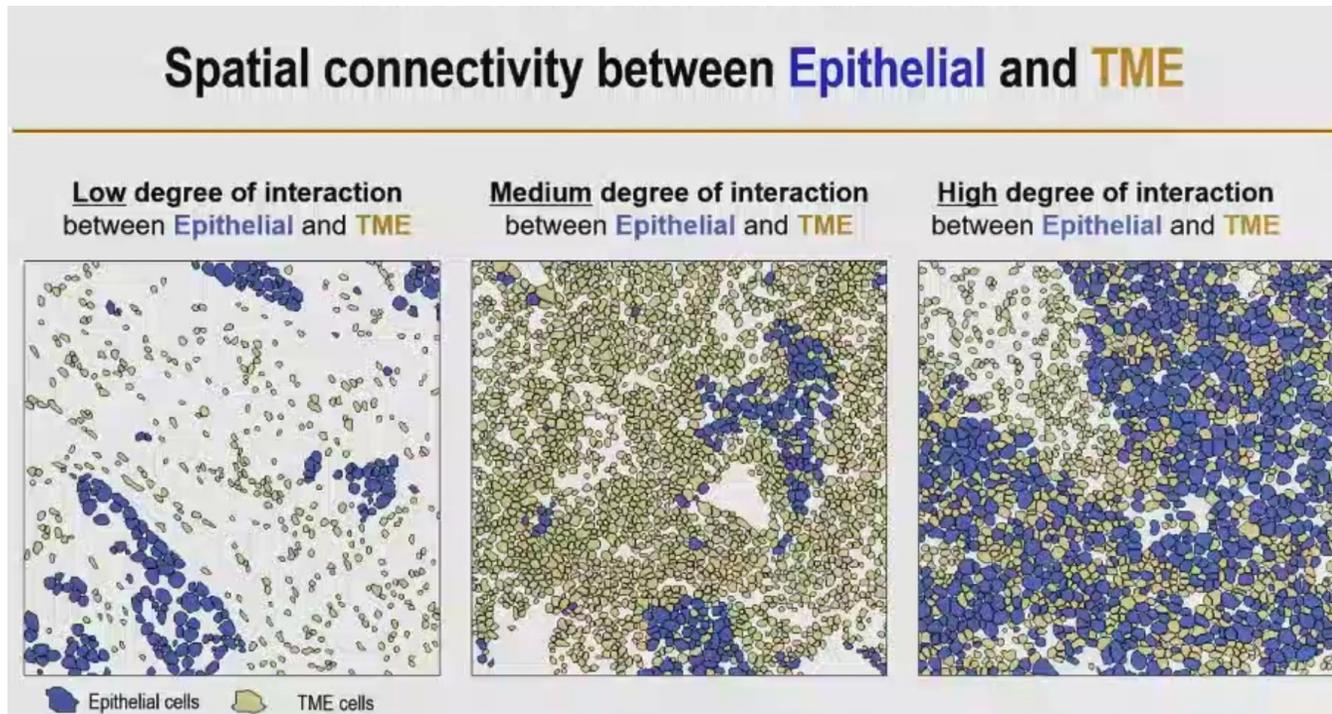
Carboplatin + nab-paclitaxel + Atezolizumab

Surgery

Pre-treatment tissue biopsies N=243 (87%)  
RNA-sequencing & Imaging Mass Cytometry of 43 proteins

Checkpoint	Lymphoid	Epithelial	Life & Death
PD-L1 (SP142)	CD56	CK5/14	c-PARP
PD-L1 (73-10)	CD20	CK8/18	pH2AX
IDO	CD79a	PanCK	Ki67
PD-1	CD3	Heterogeneity	DNA
OX40	CD4	AR	H3
ICOS	CD8	GATA3	Ir
Myeloid	FOXP3	CD15	
CD11c	GATA3	Mesenchymal	
CD15	Helios	Caveolin-1	
CD163	T-bet	CD31	
CD68	TCF	PDPN	
MPO	TOX	PDGFRB	
MHC I&II	GZMB	SMA	
HLA-ABC	Pan-immune	Vimentin	
HLA-DR	CD45	Calponin	

Is there a better marker than PDL1 to identify patients that selectively benefitted from ICI?



Giampaolo Bianchini, MD, et al. SABCS 2021.

1. High degree of *spatial* connectivity between epithelial and specific TME cell phenotypes (e.g. CD8+PD1+T<sub>EX</sub>; CD8+GZMB+; CD20+B) is predictive of higher pCR rate with the addition of atezolizumab, independently by PD-L1 and sTILs
2. Spatial Epithelial-TME interactions outperform cell phenotype density in predicting differential response to immunotherapy

## InteractPrint predicts the degree of immune cell interaction for a patient's tumor

- We developed **InteractPrint**, a score that predicts the degree of immune cell interaction for a patient's tumor.

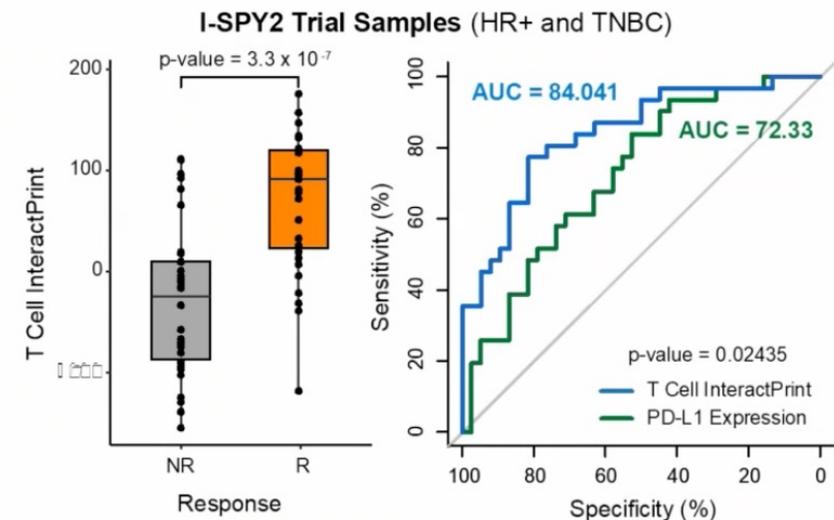
$$InteractPrint = \sum_{i=1}^{10} (e_i)(R_i)(w)$$

$i$  = GE  
 $e_i$  = GE expression  
 $R_i$  = Number of predicted R-L pairs  
 $w$  = Multiplier for activating GE (1) or inactivating GE (-1)

San Antonio Breast Cancer Symposium®, December 6-10, 2022

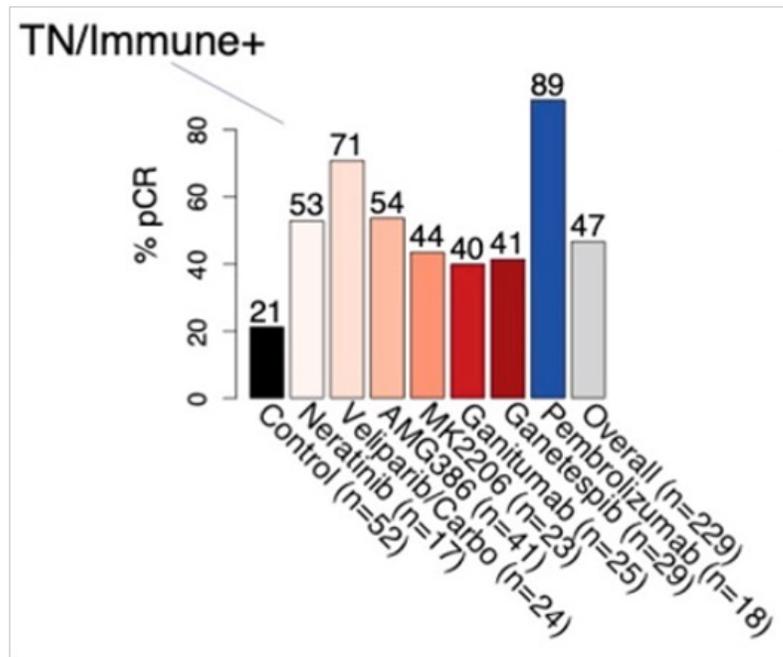
## T Cell InteractPrint predicts response to anti-PD-1 therapy in I-SPY2

- In this trial, **T Cell InteractPrint** predicted response to anti-PD-1 + neoadjuvant chemo with an AUC of 84.0 ( $p < 1 \times 10^{-6}$ ).
- This was a **significant improvement over PD-L1** (assessed by average PD-L1 transcript levels;  $p < 0.05$ ).



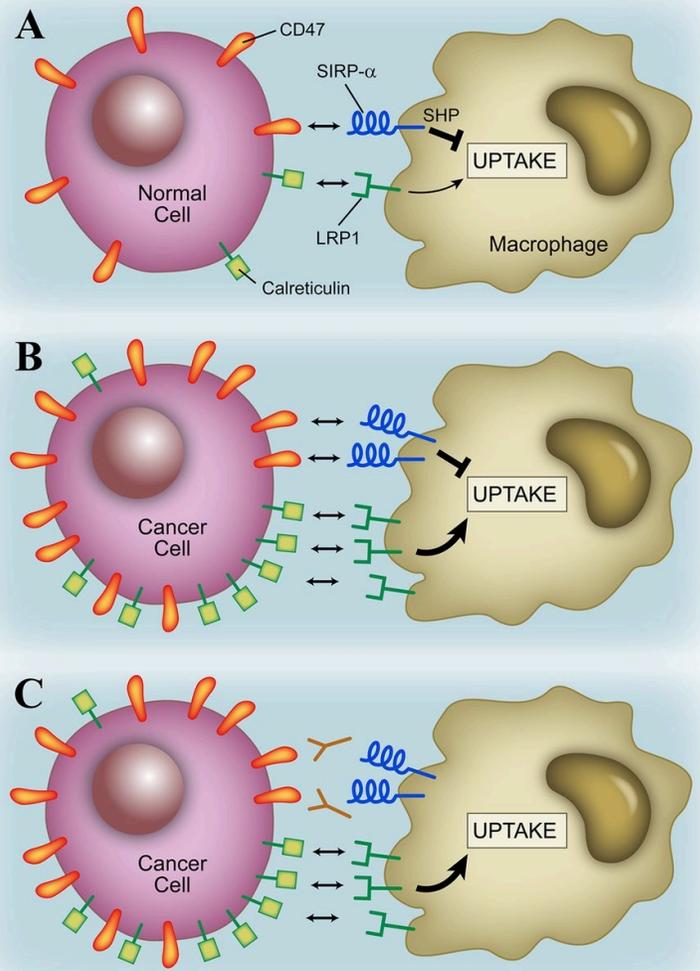
<sup>5</sup> Nanda et al., JAMA Oncol 2020.

## Immune response signature and pCR with ICI in I-SPY2



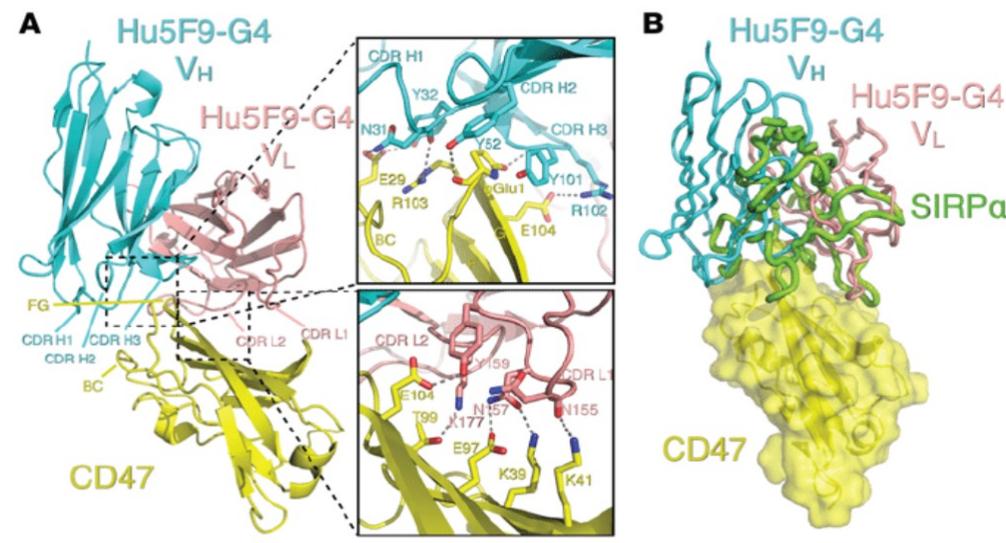
Yee D, et al. ASCO 2022, abstr 591, poster 362

# Macrophages ignore CD47+ cells as a result of negative interactions in which the CD47–SIRP- $\alpha$ pair promote a “don’t eat me” signal; humanized CD47 antibody blocks SIRP $\alpha$ interaction



Unanue ER PNAS 2013;110:10886-10887.

## The Journal of Clinical Investigation

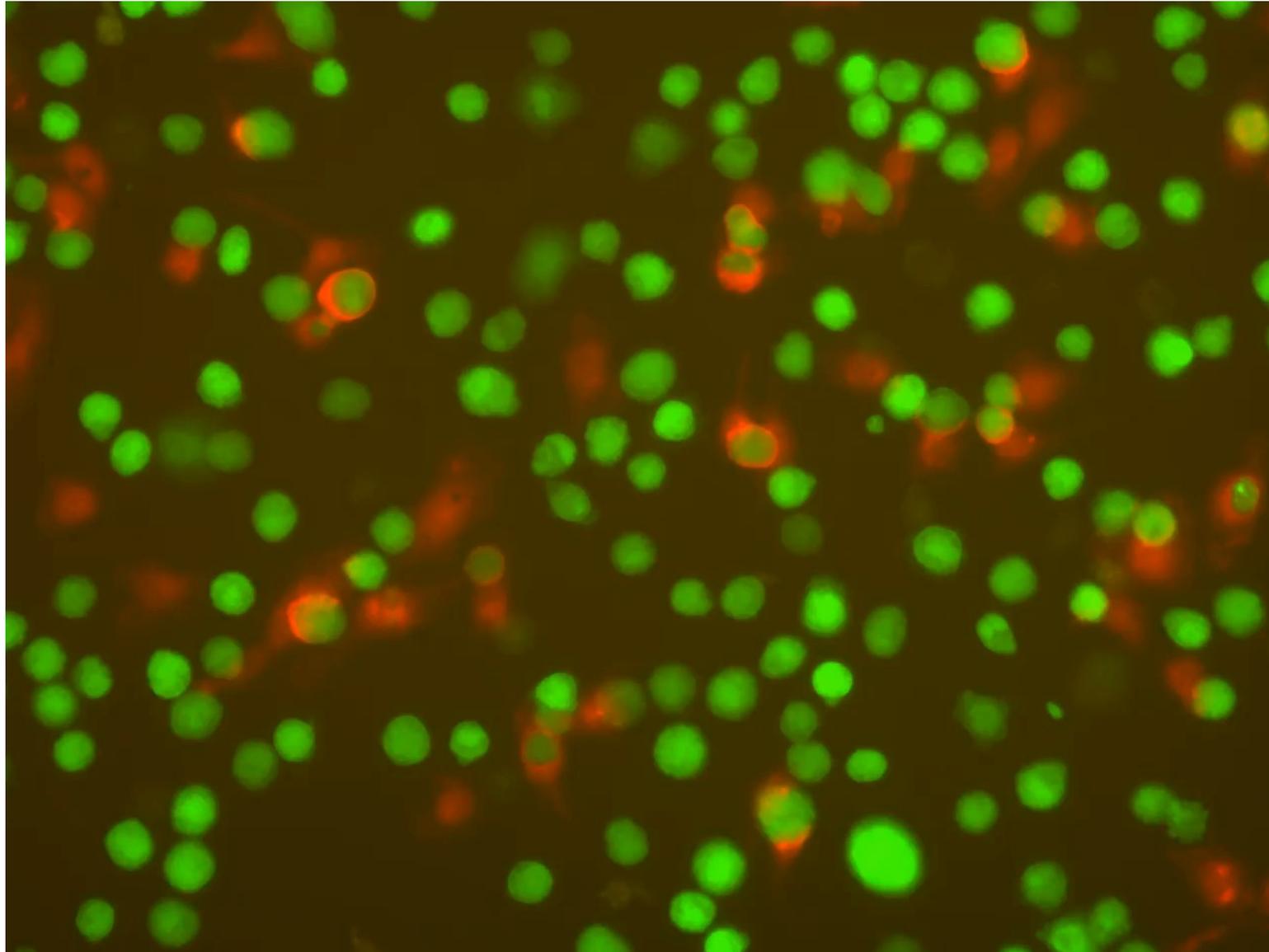


Crystal structure of CD47-ECD in complex with Hu5F9-G4: A) Hu5F9-G4/CD47 interface; B) Superposition of SIRP $\alpha$  demonstrating a shared binding interface.

Weiskopf K, et al. J Clin Invest. 2016;126(7):2610-2620.

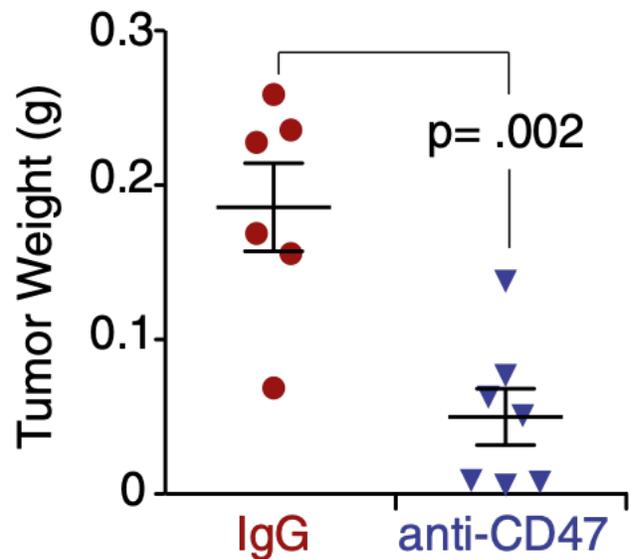
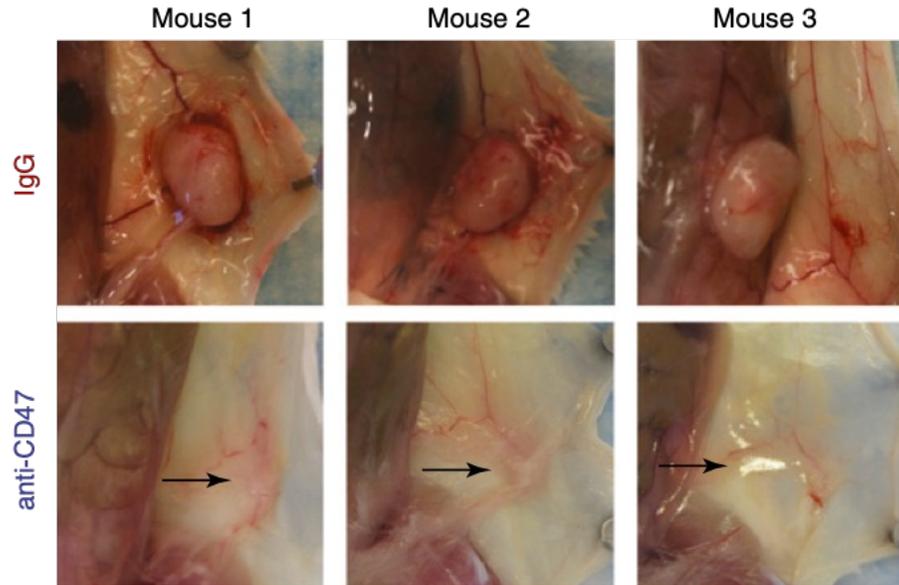
- Hu5F9-G4 (magrolimab) binds human CD47 with high affinity by Biacore:  
8-10 nM for monomeric CD47  
8 pM for bivalent CD47
- Engineered into human IgG4 Fc (to avoid ADCC/CDC), with Ser-Pro substitution to reduce Fab arm exchange
- Humanized by CDR grafting

Macrophages (red) phagocytosing CD47+ tumor cells (green) in the presence of anti-CD47 antibody

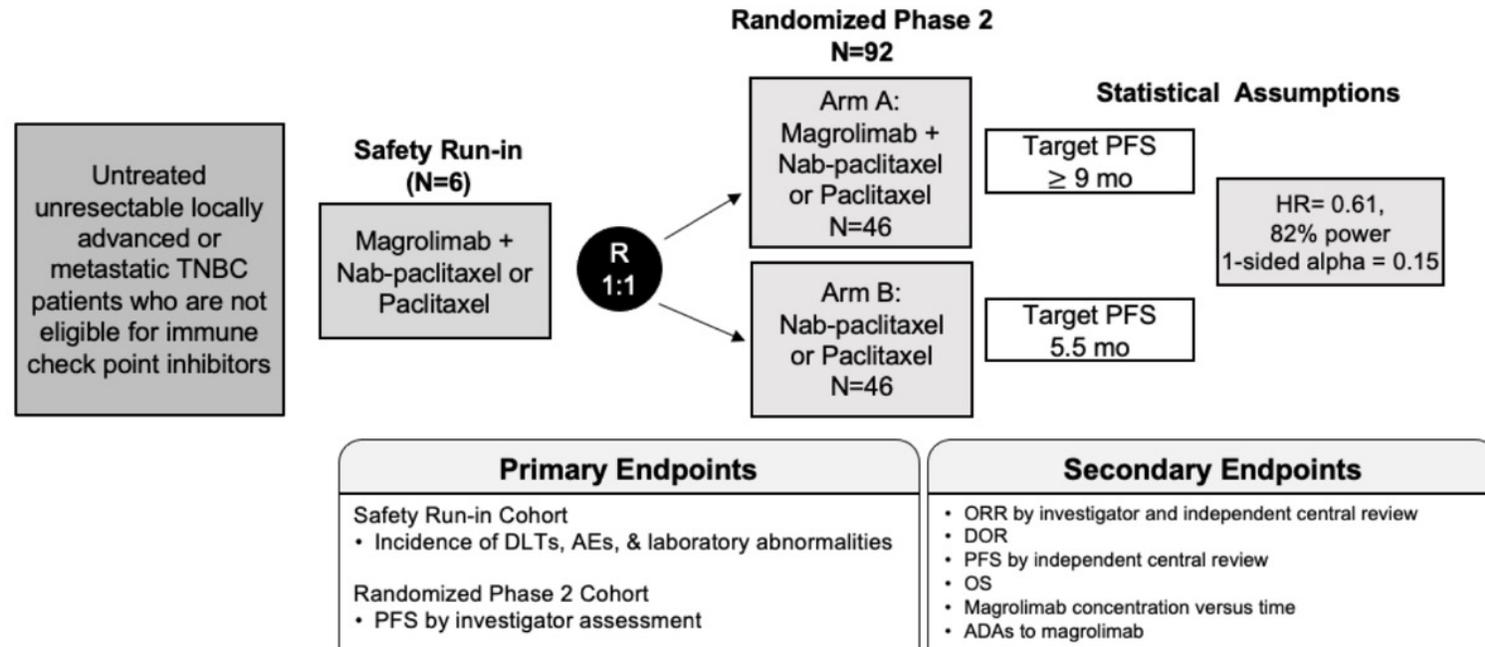


MΦ -- red  
CD47+ tumor  
cells -- green

# Anti-CD47 Antibody Significantly → Clinical Translation: Randomized Phase II Reduces TNBC PDXs *in vivo*



## Study Schema



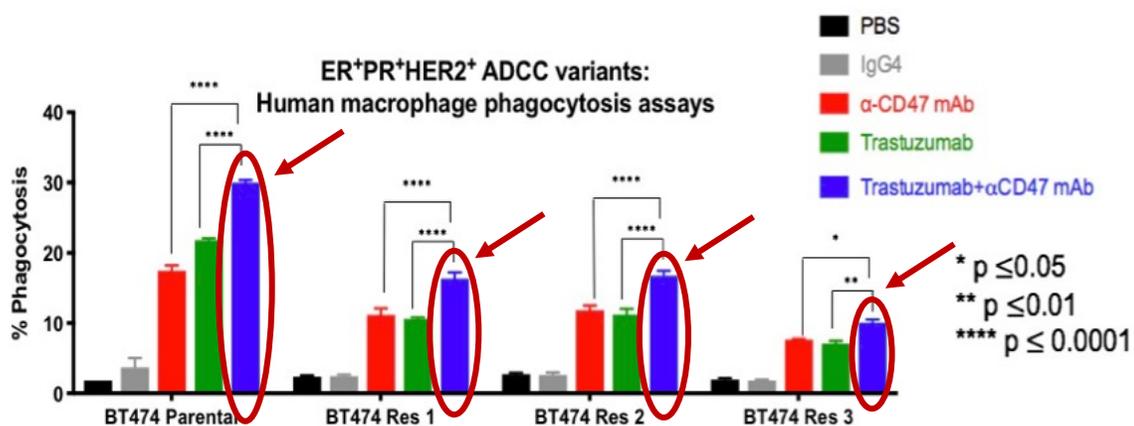
ADA = anti-drug antibodies; AE = adverse event; DOR = duration of response; HR = hazard ratio; ORR = objective response rate; OS = overall survival; PFS = progression-free survival; R = ratio; TNBC = triple-negative breast cancer

- CD47 is expressed on TNBC-derived cell lines
- CD47 blockade enhances TNBC phagocytosis
- Anti-CD47 dependent phagocytosis is further augmented by Paclitaxel
- 2<sup>nd</sup> cohort - Sacituzumab govitecan ± magrolimab

**MOA – ADCP: Combining CD47 blockade with trastuzumab eliminates HER2-positive breast cancer cells to overcome trastuzumab ADCC tolerance**

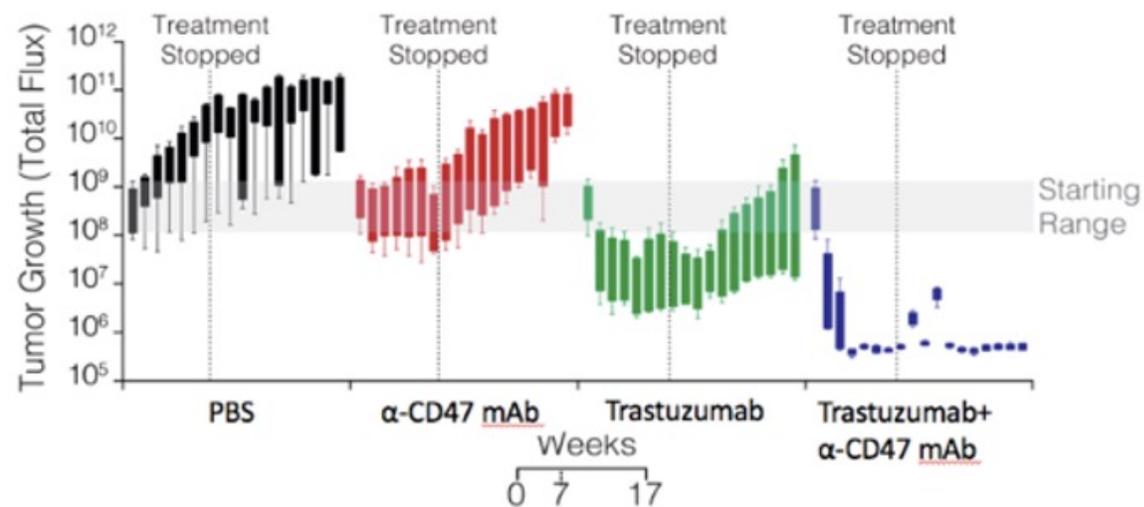
***In vitro:***

**The circled blue bars (red arrows) indicate HER2+ ADCC-tolerant breast cancer cells being phagocytosed by human macrophages**

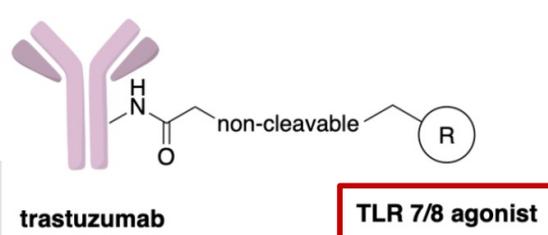


***In vivo:***

**Greater combined efficacy of anti-CD47 antibody magrolimab plus trastuzumab against HER2+ human GFP-luciferase BT474 breast cancer xenografts**

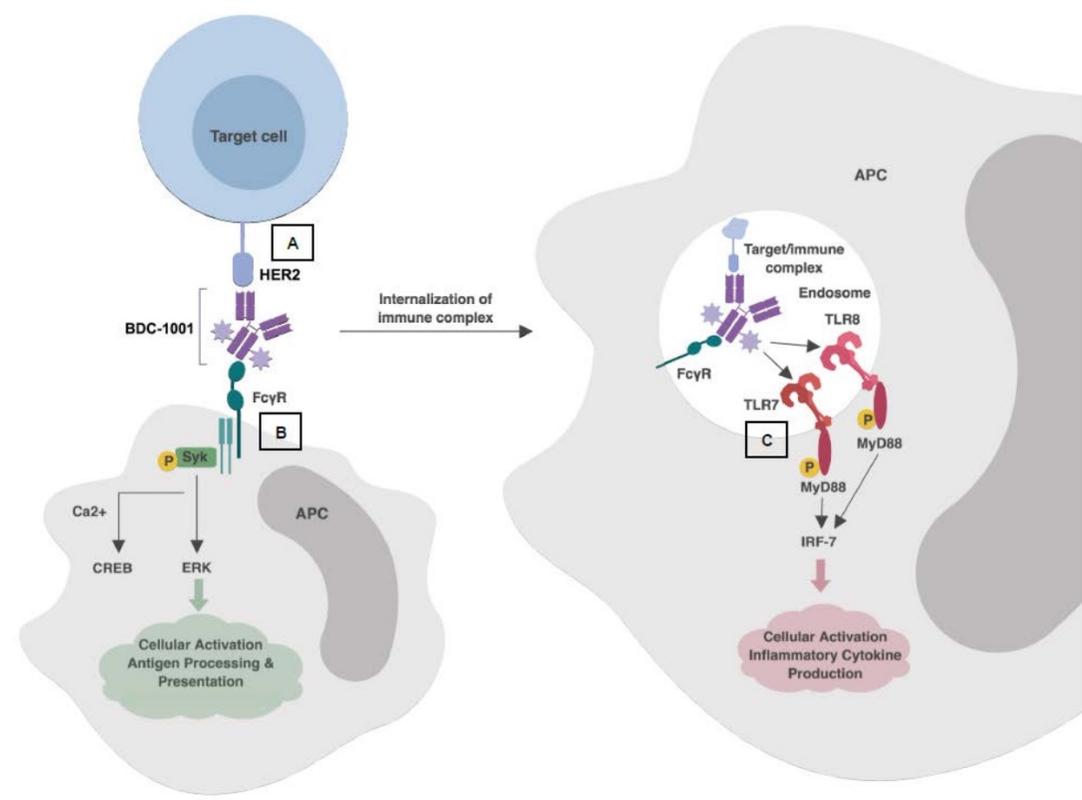


Tumor burden was measured using *in vivo* bioluminescence imaging



# Future Direction: HER2 ADCs with Immunologic Payloads

Figure 2: Proposed Mechanism of Action for BDC-1001

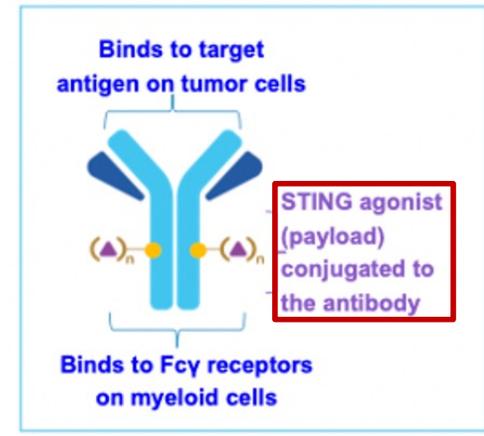


The proposed mechanism of action is tripartite, involving the antibody variable domain, the antibody Fc region and the TLR7/8 binding domain. (A) Fv portion of antibody recognizes HER2 expressing cancer cells; (B) APCs recognize antibody bound to HER2 expressing cancer cells via their Fc gamma receptors (FcγRs) and internalize tumor-immune complex following FcR clustering; (C) Once internalized, the TLR7/8 agonist attached to BDC-1001 gains access to the phagolysosome and mediates downstream events associated with TLR activation. Note that the number of HER2 molecules and bound BDC-1001 have been reduced to 1 each for schematic purpose.

## Background

### Tumor cell-targeted Immunosynthen STING agonist ADCs

- ❖ Systemically administered
- ❖ Tumor targeted delivery of STING agonist
- ❖ Efficacious at a single dose across multiple tumor models
- ❖ Well-tolerated at multiple doses in multiple non-clinical species
- ❖ Minimal systemic induction of inflammatory cytokines
- ❖ Dramatically greater efficacy compared to a systemically administered free STING agonist



# ep·i·logue

*/'epə,lôg,'epə,läg/*

**noun: epilogue; plural noun: epilogues; noun: epilog; plural noun: epilogs**

**-- a section or speech at the end of a book or play that serves as a comment on or a conclusion to what has happened.**

**Humanized IgG1 isotype Trastuzumab induces ADCC, polyclonal anti-HER2 antibody response and HER2-specific T cell responses. Trastuzumab efficacy correlates with CD16A polymorphisms, as well as antibody glycosylation patterns (a critical quality attribute of recombinant MAbs).**

**Fc-engineered Margetuximab (with enhanced immune effector function) is superior to Trastuzumab (both with chemotherapy) in the HER2+ salvage metastatic disease setting, particularly in CD16A-158FF homozygotes.**

**Humanized anti-PD1 antibody pembrolizumab is approved for the treatment of patients with high-risk early-stage TNBC in combination with chemotherapy, as well as for the treatment of patients with locally recurrent unresectable or metastatic TNBC whose tumors express PD-L1 (CPS  $\geq 10$ ). Better biomarkers predictive of ICI response remains a high unmet need.**

**Blocking CD47–SIRP- $\alpha$  signals promotes macrophage phagocytosis of CD47-(over)expressing tumor cells. Humanized anti-CD47 MAb (Magrolimab) is synergistic with Trastuzumab in HER2+/ADCC-tolerant breast cancer cells and xenografts.**

## Pegram Lab

### Support:

BCRF;  
Parker  
Institute for  
Cancer  
Immuno-  
Therapy;  
Susan G Komen  
Foundation;  
NIH/NCI;  
Susy Yuan-  
Huey Hung  
Family;  
Jill and John  
Freidenrich

James H. Clark Center  
Stanford University



**THANK YOU!**