

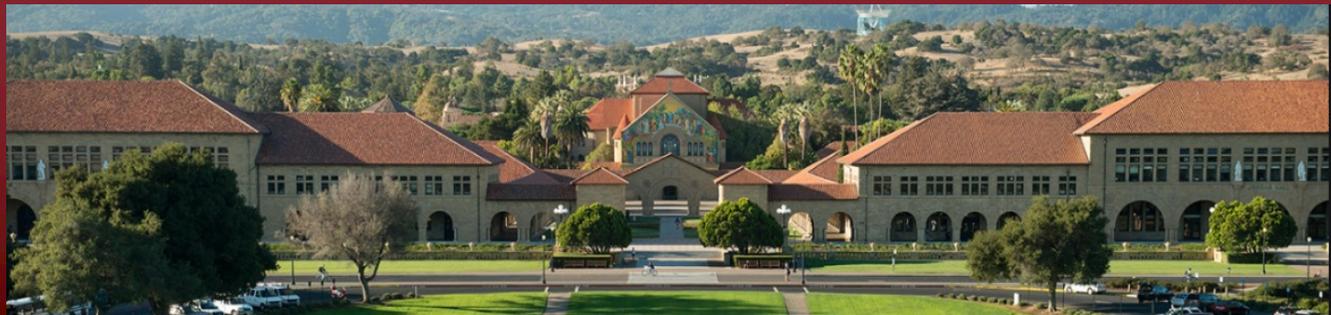


**STANFORD**  
CANCER INSTITUTE



# Therapy of Early TNBC: Regulating Intensity

June 2022

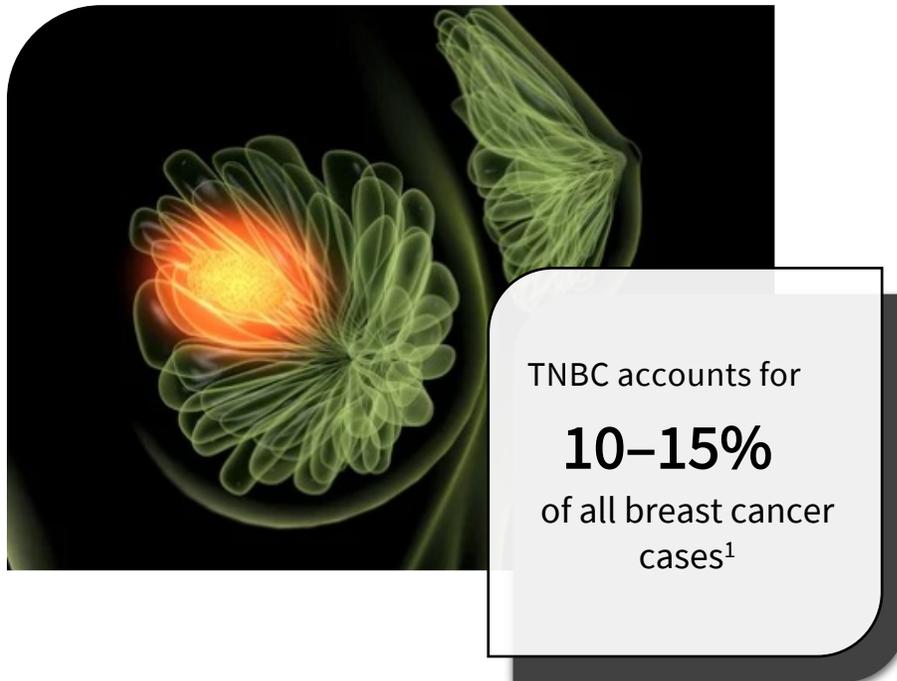


Mark Pegram, M.D.  
Susy Yuan-Huey Hung Professor of Oncology  
Medical Director, Clinical and Translational Research Unit  
Associate Dean for Clinical Research Quality  
Stanford University School of Medicine



# Triple-negative breast cancer (TNBC) is the most aggressive breast cancer subtype

**TNBC is highly invasive, exhibiting high metastatic potential, early relapse and poor outcomes**



More likely to occur in premenopausal women aged 40–50 years old<sup>1,2</sup>

~46% of TNBC patients will have distant metastasis.<sup>2</sup>  
Median survival after metastasis is only 13.3 months

Five-year mortality rate is 30%<sup>2</sup>

■ **Varies by ethnicity/race**

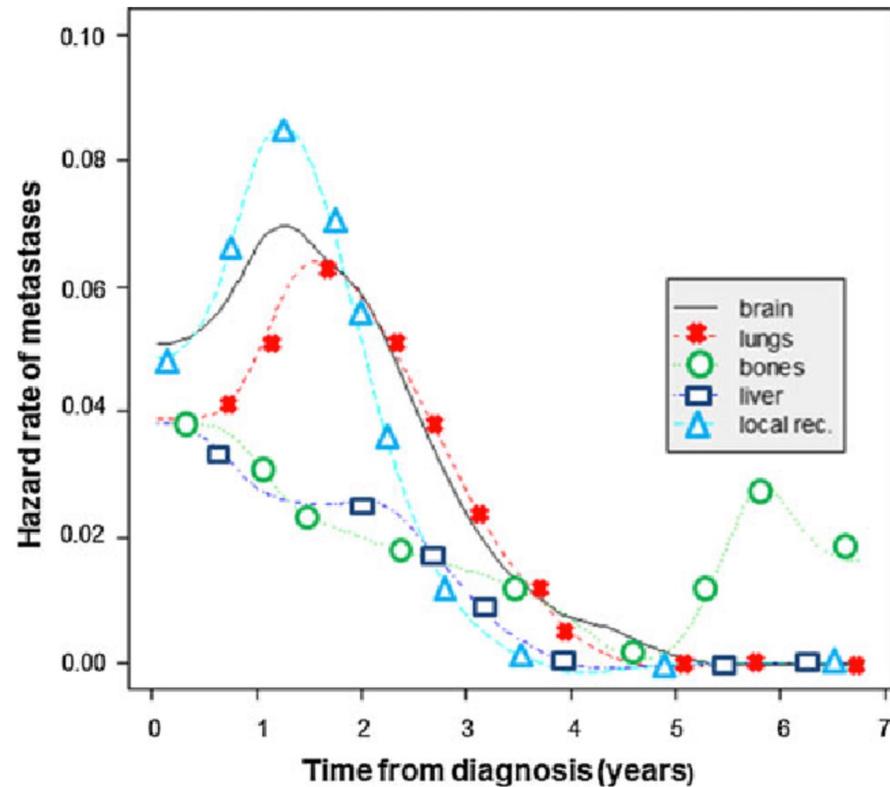
<b>NH White:</b>	<b>11%</b>
<b>NH Black:</b>	<b>26%</b>
<b>Hispanic:</b>	<b>17%</b>

TNBC = triple-negative breast cancer.

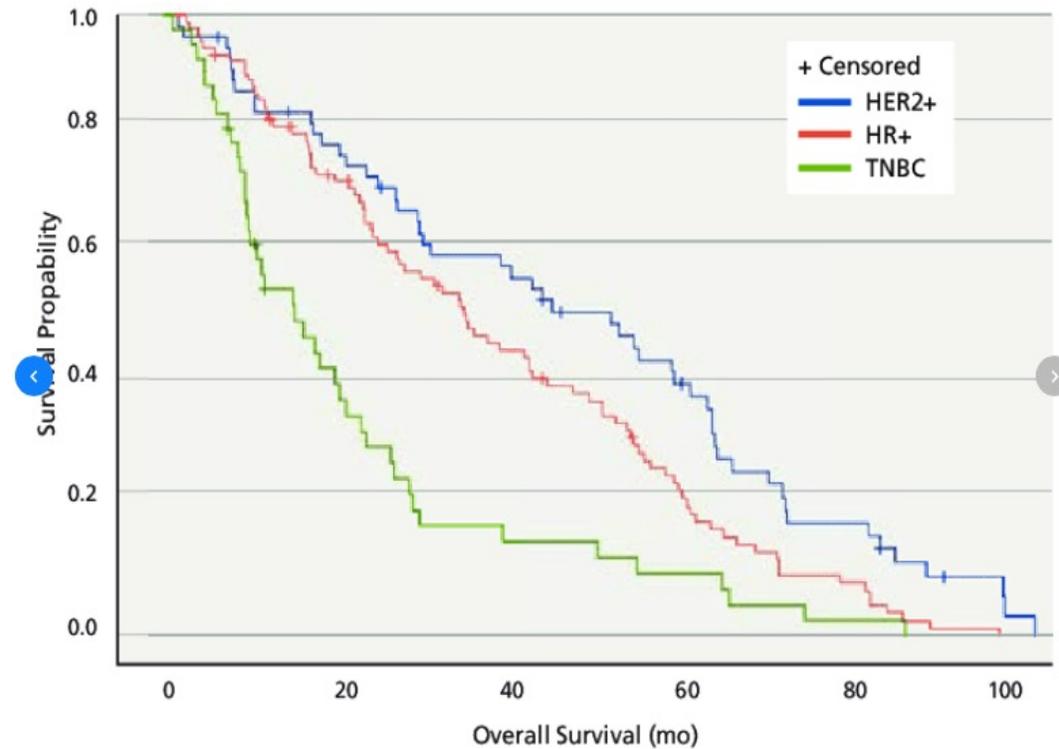
# TNBC: Remains an area of unmet need

TNBC represents ~15% of the 279,000 new breast cancer diagnoses in 2020

## Recurrence in first 1-3 years



## Poorest overall survival



Dent et al Clin Cancer Res 13(15):4429-34, 2007

Seah DS et al JNCCN 12(1):71-80, 2014

Pogoda et al Med Onc 2013

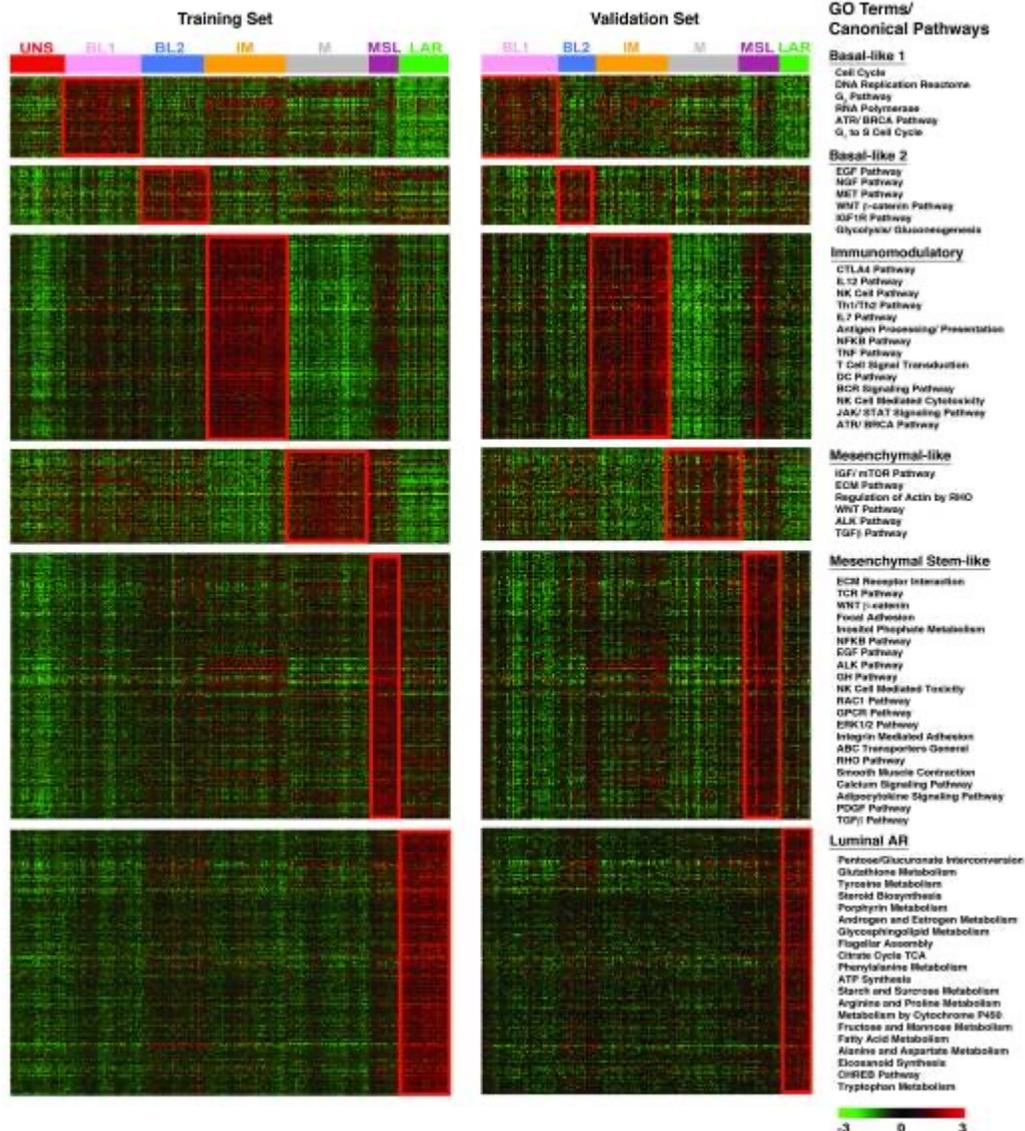
mPFS ~3-6mo

mOS ~12mo

# Identification of Human TNBC Subtypes

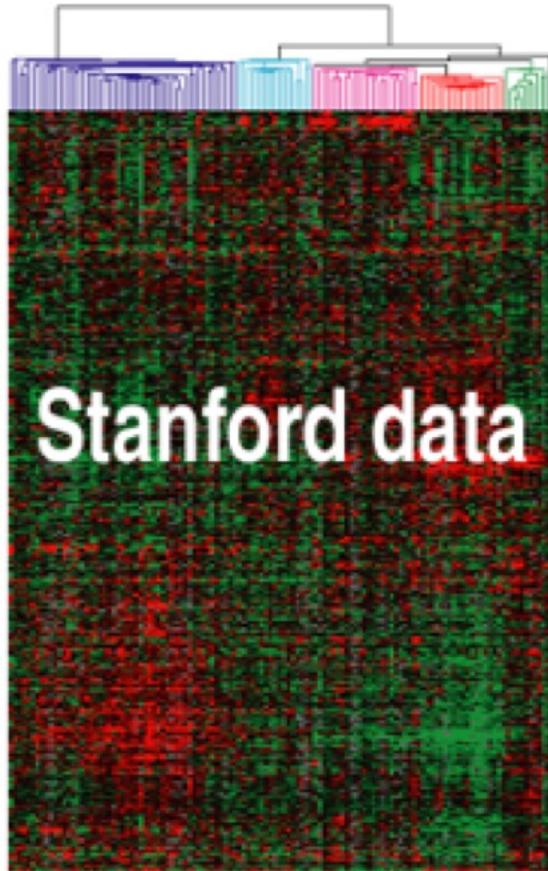
Lehmann, Bauer, Chen, et al.,  
*J Clin Invest.* 2011 Jul;121(7):2750-67.

Lehmann BD,...Pietenpol JA, et al.  
*PLoS One.* 2016; 11(6):e0157368.



1. Basal-like 1: cell cycle, DNA repair and proliferation genes
2. Basal-like 2: Growth factor signaling (EGFR, MET, Wnt, IGF1R)
  - IM: immune cell processes (medullary breast cancer)
3. M: Cell motility and differentiation, EMT processes
  - MSL: similar to M but growth factor signaling, low levels of proliferation genes (metaplastic cancers)
4. LAR: Androgen receptor and downstream genes, luminal features

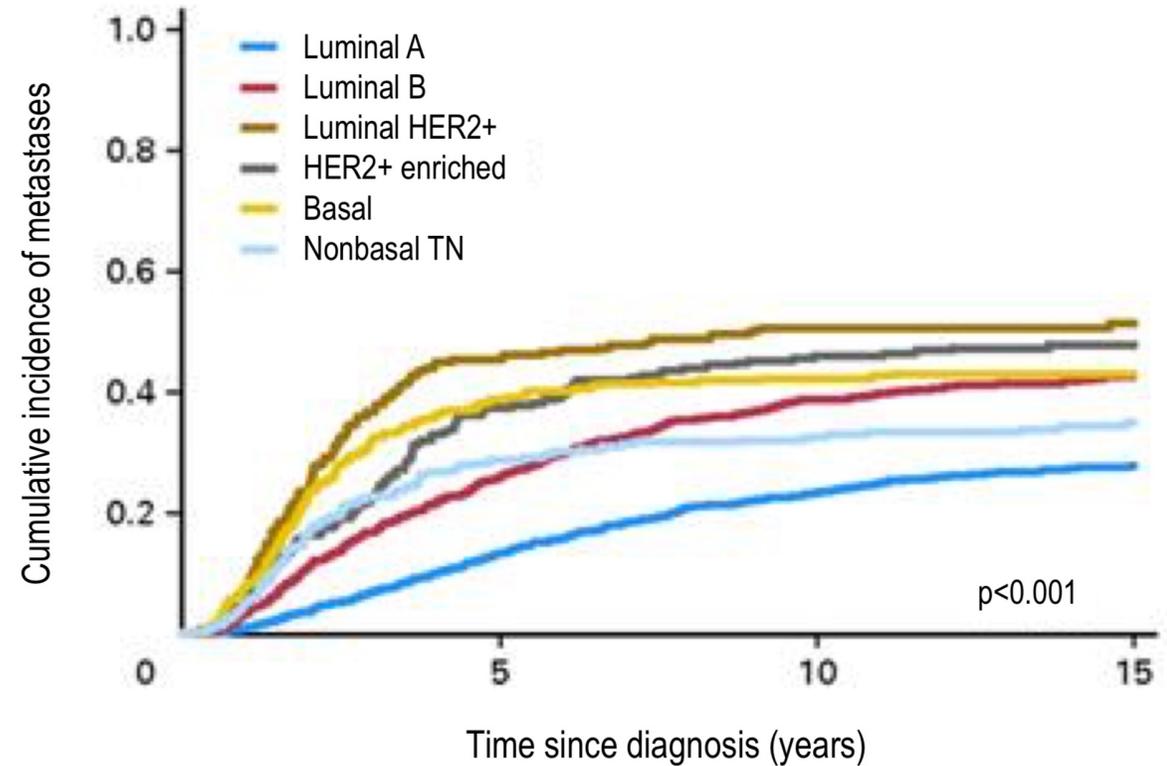
# Microarray Expression Analysis: Breast Tumor Subtype Predictions



Department of Genetics, Stanford University  
School of Medicine, Stanford, CA 94305, USA.

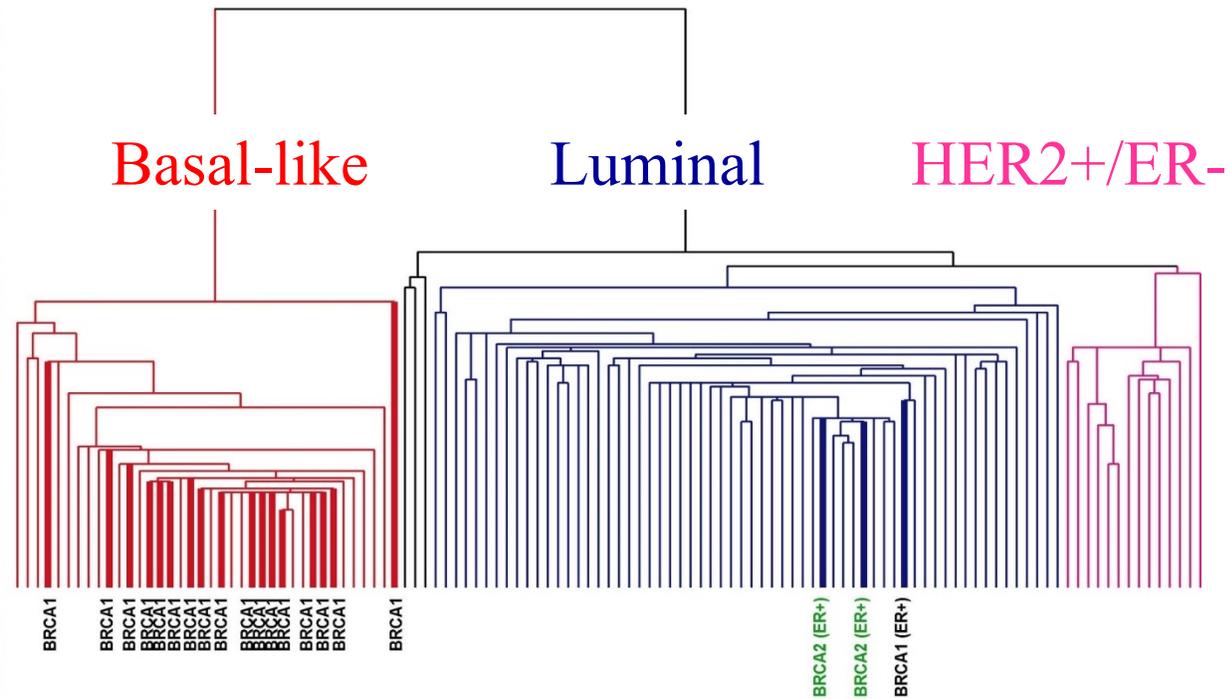
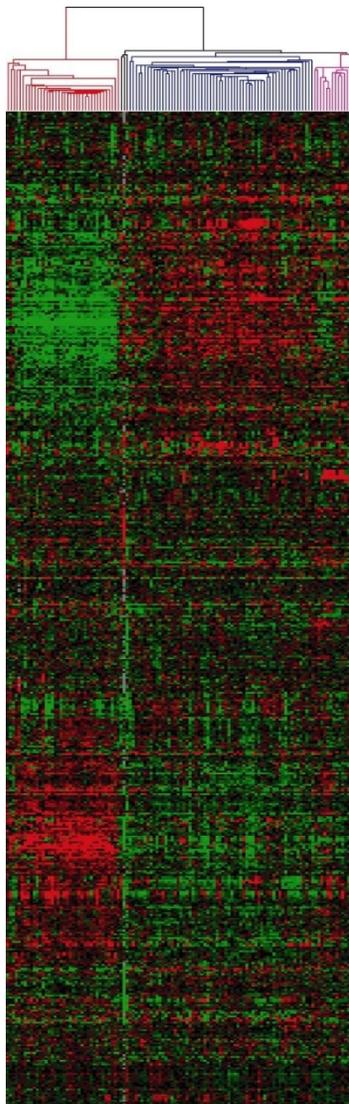
## MOLECULAR SUBTYPE

Cumulative incidence curves of first distant metastasis by breast cancer subtype



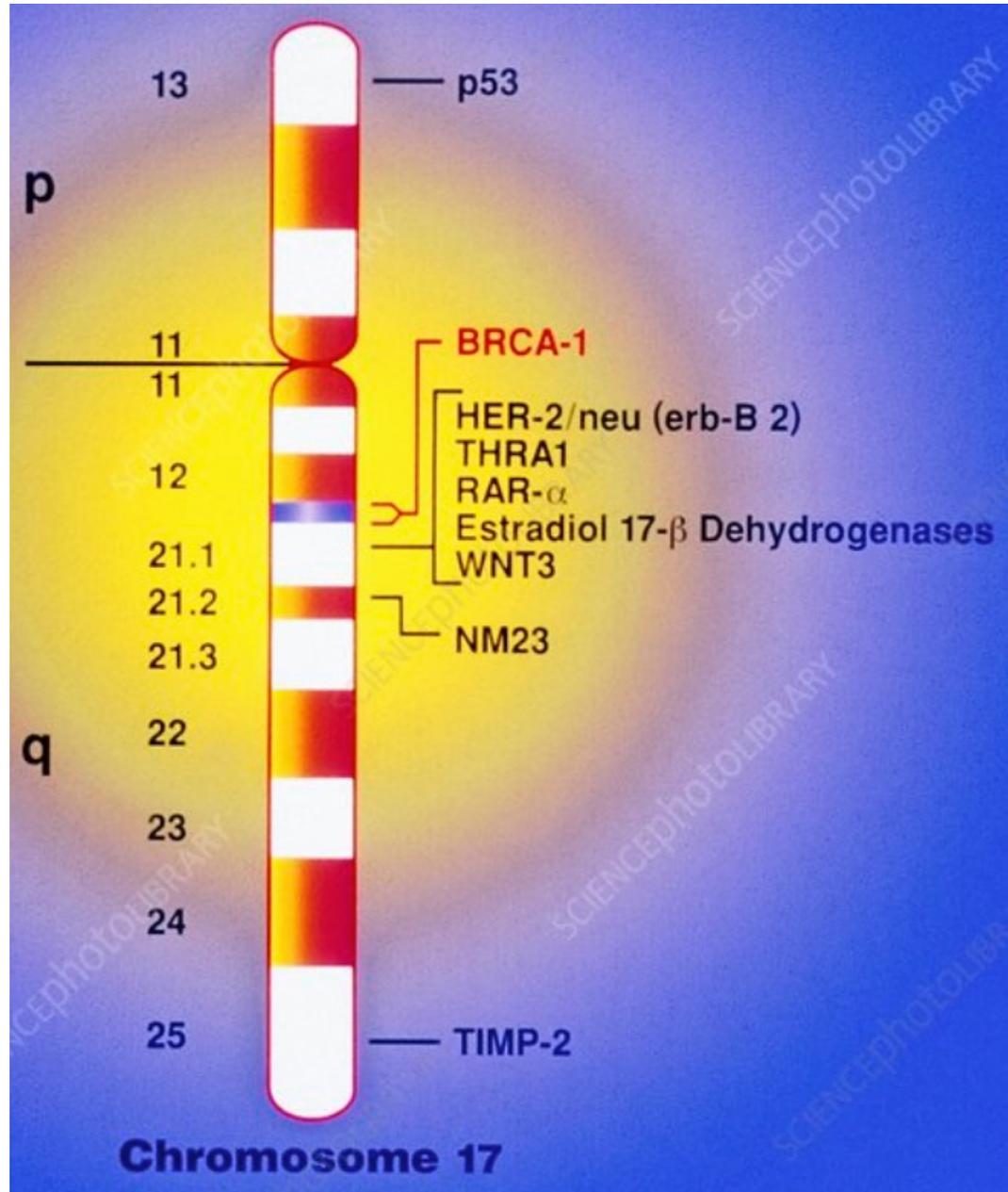
Sorlie T, *et al.*, Proc Natl Acad Sci U S A.  
2003 Jul 8;100(14):8418-23.

# BRCA1 Mutations and Basal-Like Tumors

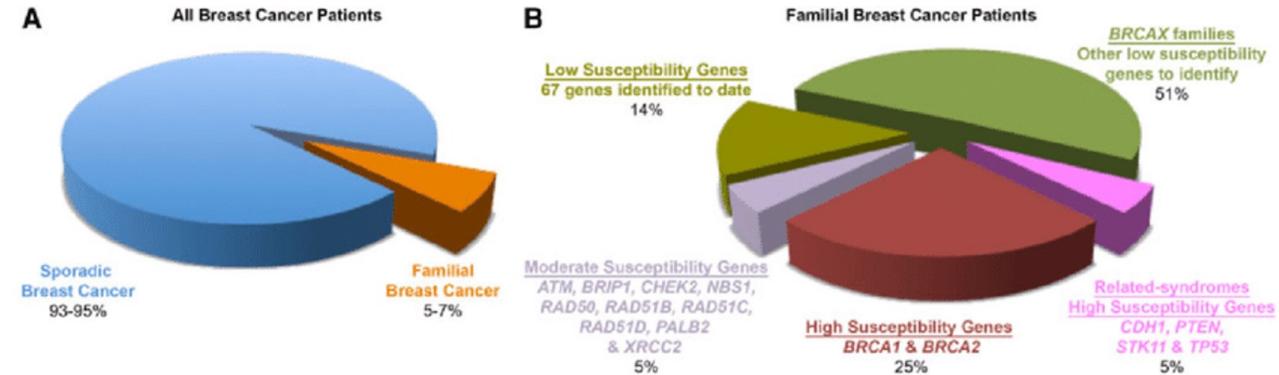


17/18 BRCA1 mutants were **Basal-like**

# BRCA Mutation and Carrier Frequency

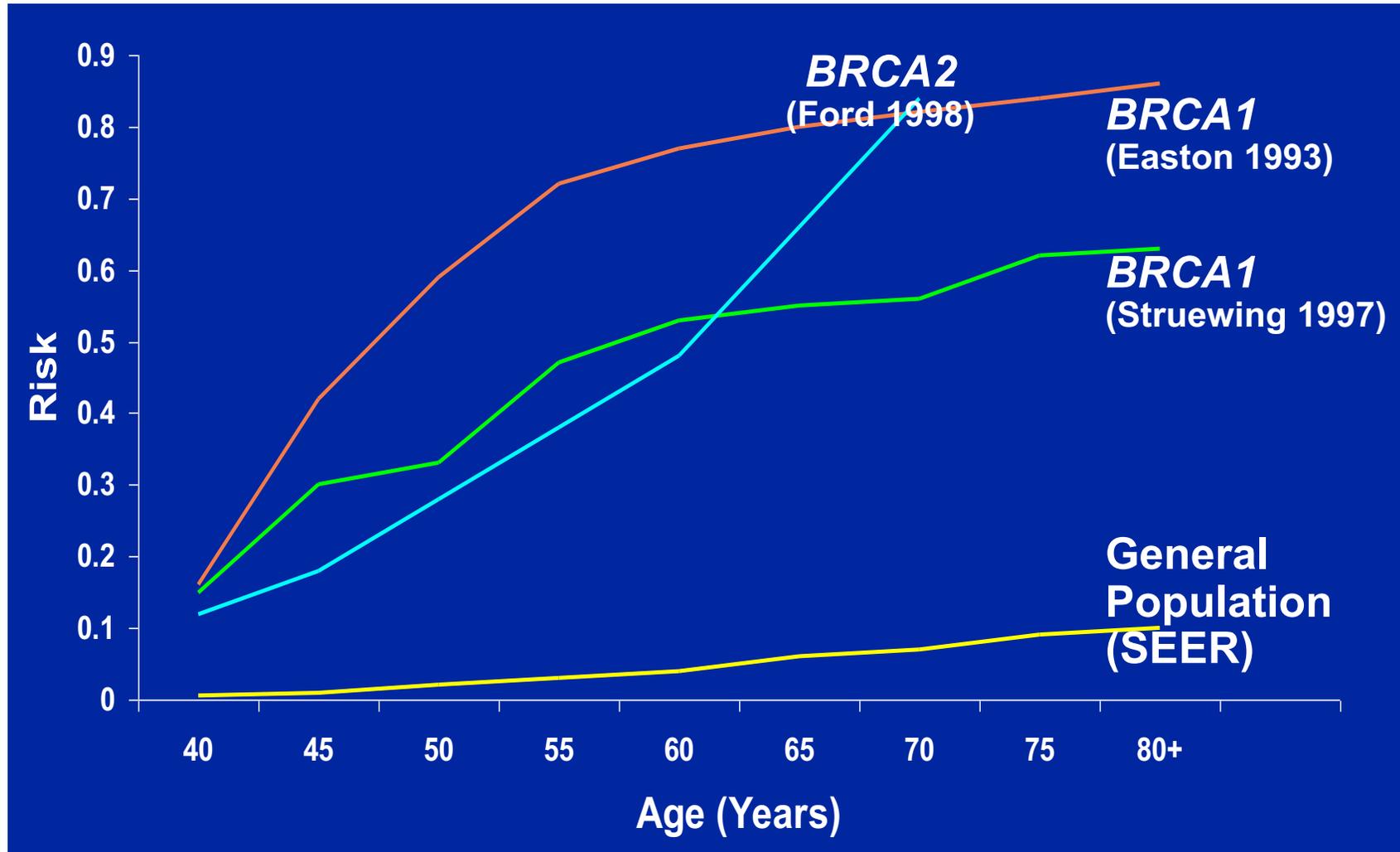


## The complex genetic landscape of familial breast cancer



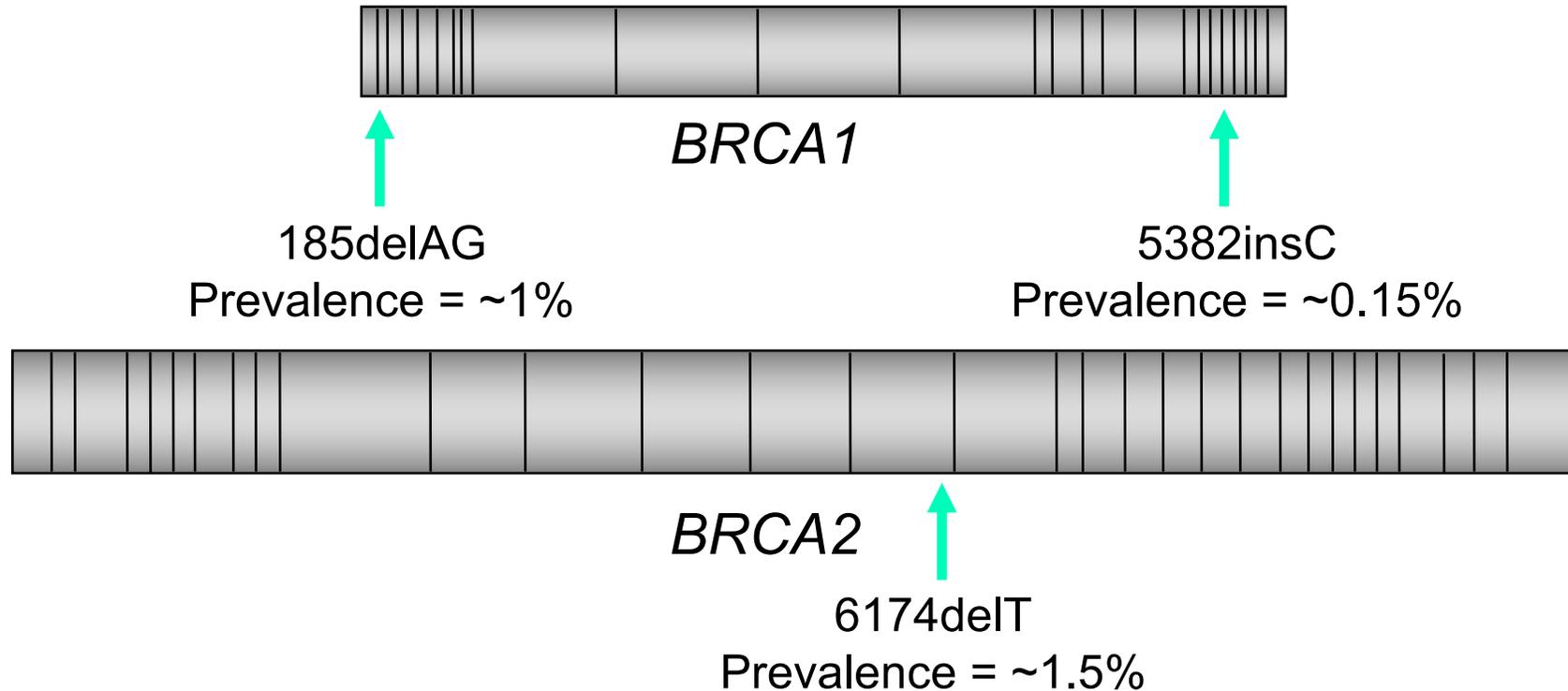
March 22, 2017 Science News: “According to current data, it is estimated that only 30% of breast cancer survivors with the BRCA mutation have been identified, and that number drops significantly to 10% for asymptomatic BRCA carriers”.

# High Cumulative Breast Cancer Risk

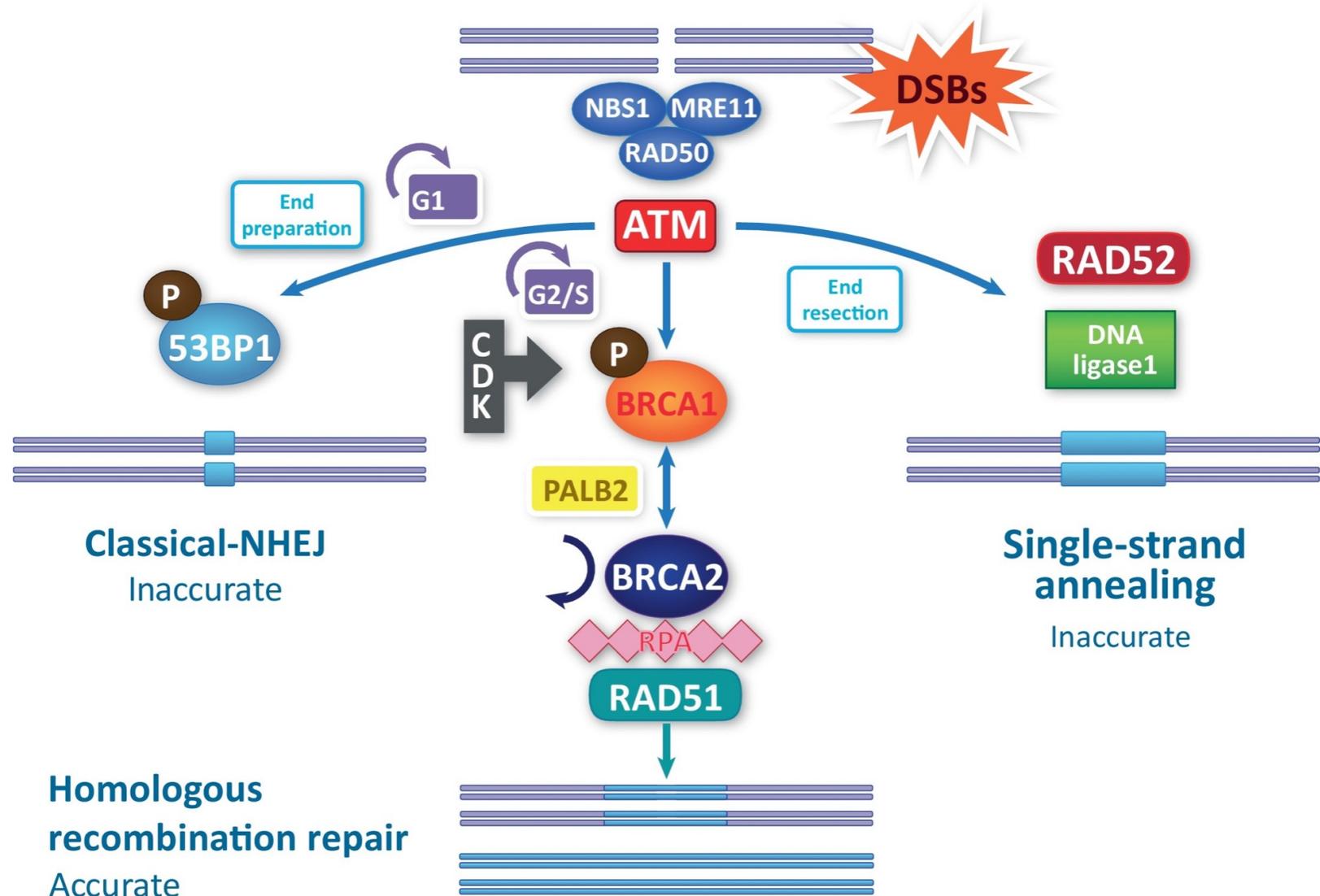


# *BRCA1* and *BRCA2* Mutations in the Ashkenazi Jewish Population

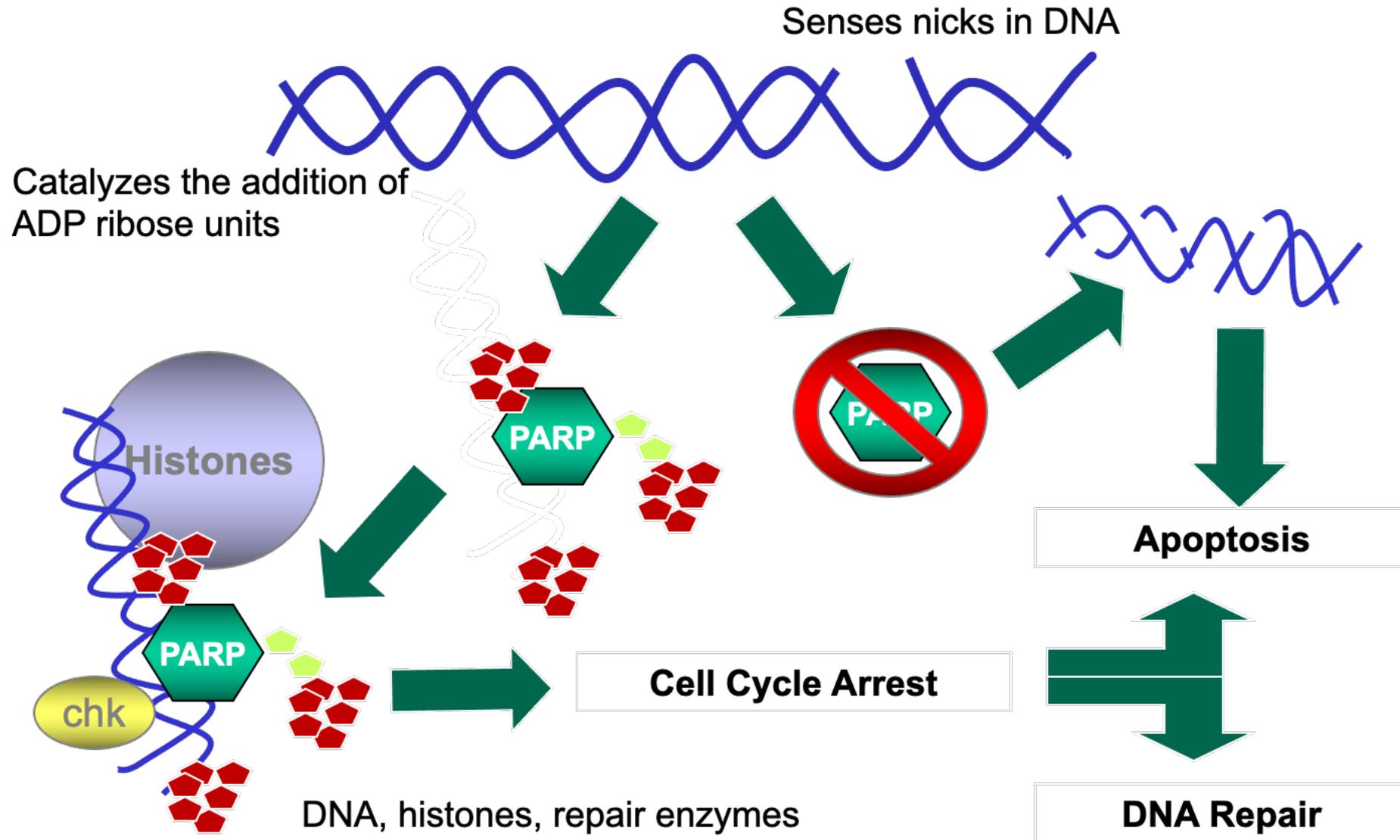
An estimated 1 in 40 Ashkenazi Jews carries a *BRCA1* or *BRCA2* mutation



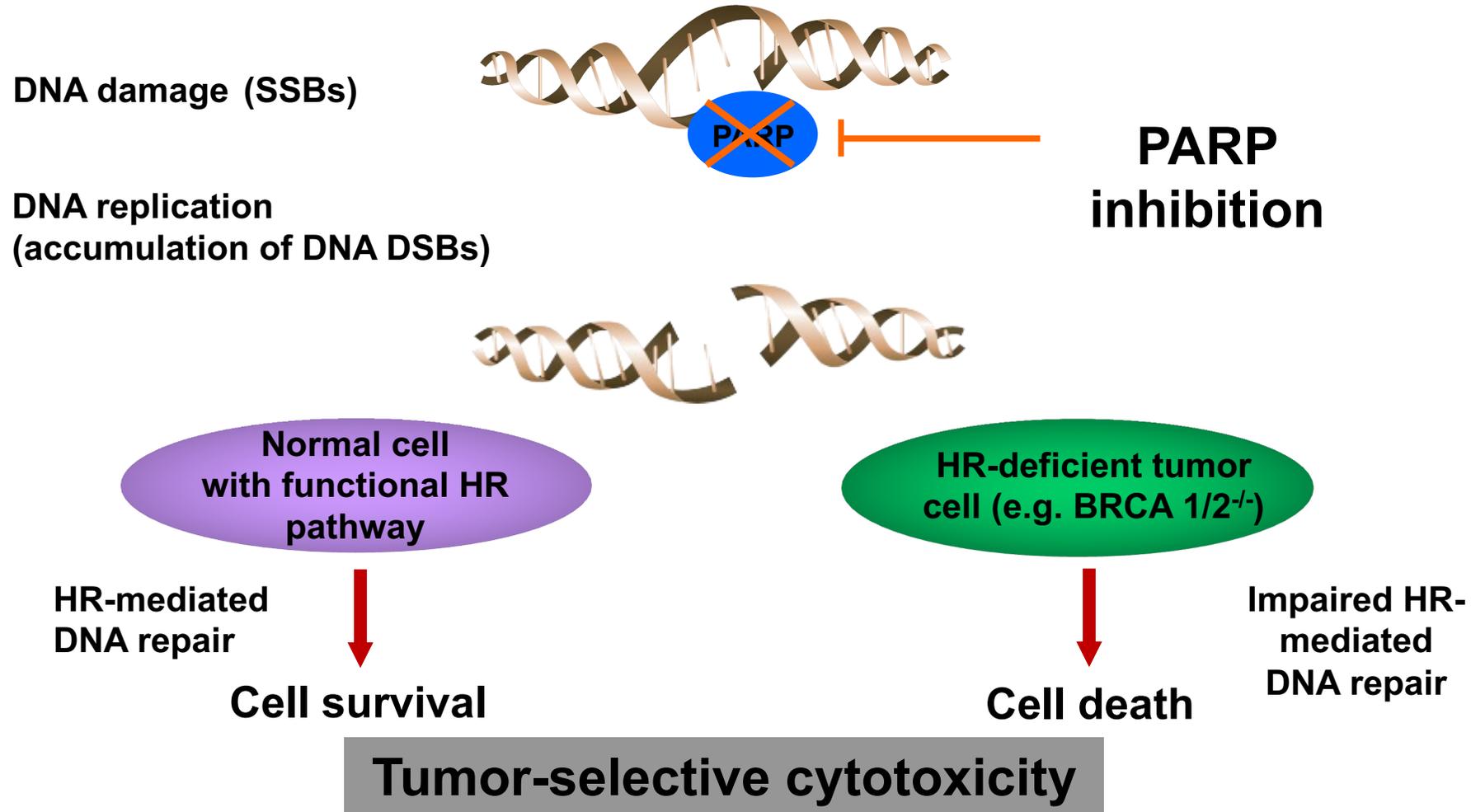
# DNA Double-Strand Break (DSB) Repair



# PARP's [Poly(ADP-ribose) polymerase] role in DNA repair



# PARP inhibition and tumor-selective synthetic lethality



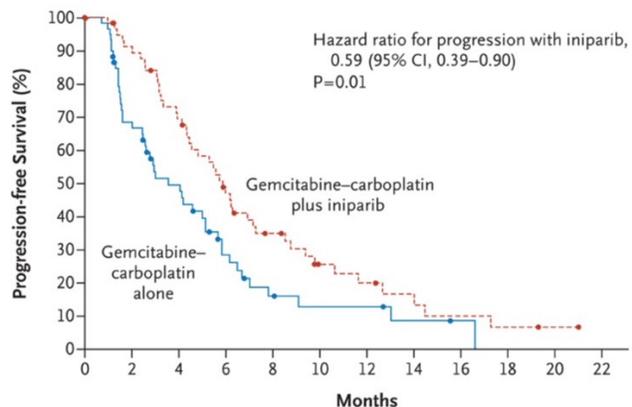
DSB, double-strand break; HR, homologous recombination  
SSB, single-strand break

Farmer H *et al. Nature* 2005;434:917–921  
Bryant HE *et al. Nature* 2005;434:913–917  
McCabe N *et al. Cancer Res* 2006;66:8109–8115



Joyce O'Shaughnessy, M.D., Cynthia Osborne, M.D., John E. Pippin, M.D., Mark Yoffe, M.D., Debra Patt, M.D., Christine Rocha, M.Sc., Ingrid Chou Koo, Ph.D., Barry M. Sherman, M.D., and Charles Bradley, Ph.D.\*

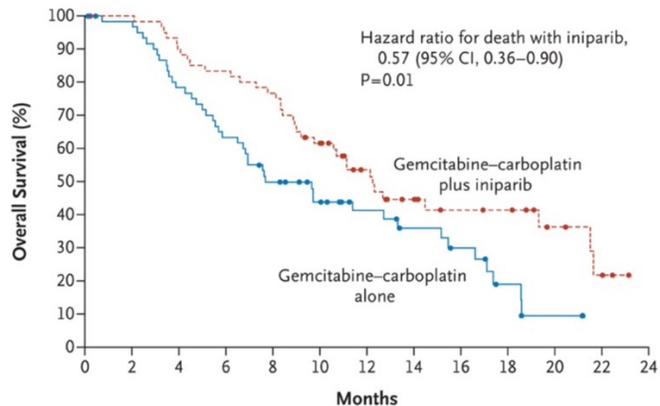
**A** Progression-free Survival



No. at Risk

Gemcitabine-carboplatin plus iniparib	61	51	38	25	16	9	7	5	3	2	1	0
Gemcitabine-carboplatin alone	62	38	25	12	6	4	4	2	1	0	0	0

**B** Overall Survival



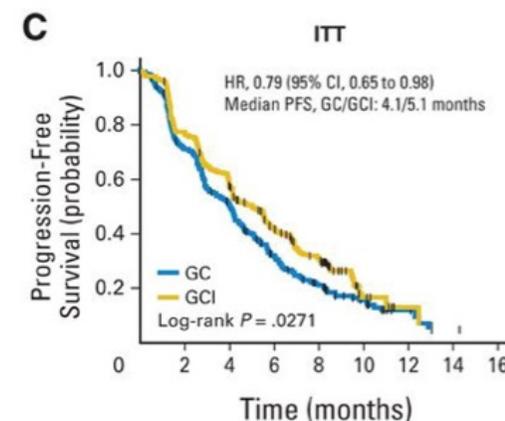
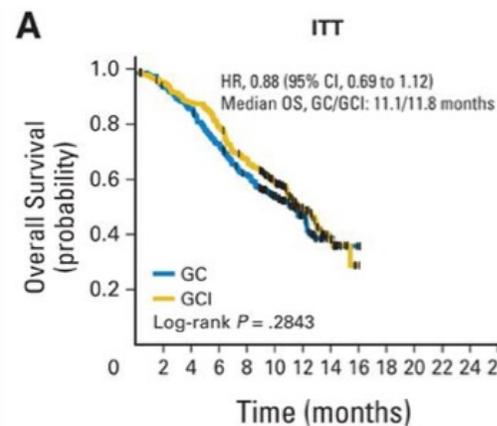
No. at Risk

Gemcitabine-carboplatin plus iniparib	61	60	54	50	46	35	24	17	12	11	6	3	0
Gemcitabine-carboplatin alone	62	59	47	38	29	22	16	12	9	4	1	0	0

ORIGINAL REPORTS | Breast Cancer

## Phase III Study of Iniparib Plus Gemcitabine and Carboplatin Versus Gemcitabine and Carboplatin in Patients With Metastatic Triple-Negative Breast Cancer

Joyce O'Shaughnessy , Lee Schwartzberg, Michael A. Danso, Kathy D. Miller, Hope S. Rugo, Marcus Neubauer, Nicholas Robert, Beth Hellerstedt, Mansoor Saleh, Paul Richards, Jennifer M. Specht, Denise A. Yardley, Robert W. Carlson, Richard S. Finn, Eric Charpentier, Ignacio Garcia-Ribas, Eric P. Winer





NIH Public Access

Author Manuscript

*Clin Cancer Res.* Author manuscript; available in PMC 2013 March 15.

Published in final edited form as:

*Clin Cancer Res.* 2012 March 15; 18(6): 1655–1662. doi:10.1158/1078-0432.CCR-11-2890.

## Failure of Iniparib to Inhibit Poly(ADP-ribose) Polymerase *in Vitro*

Anand G. Patel<sup>1,\*</sup>, Silvana De Lorenzo<sup>1,\*</sup>, Karen S. Flatten<sup>1,\*</sup>, Guy G. Poirier<sup>3</sup>, and Scott H. Kaufmann<sup>1,2</sup>

<sup>1</sup>Division of Oncology Research, Mayo Clinic, Rochester, MN 55905

<sup>2</sup>Department of Molecular Pharmacology, Mayo Clinic, Rochester, MN 55905

<sup>3</sup>Cancer Axis, Laval University Medical Center, Quebec City, Quebec, Canada G1V 4G2

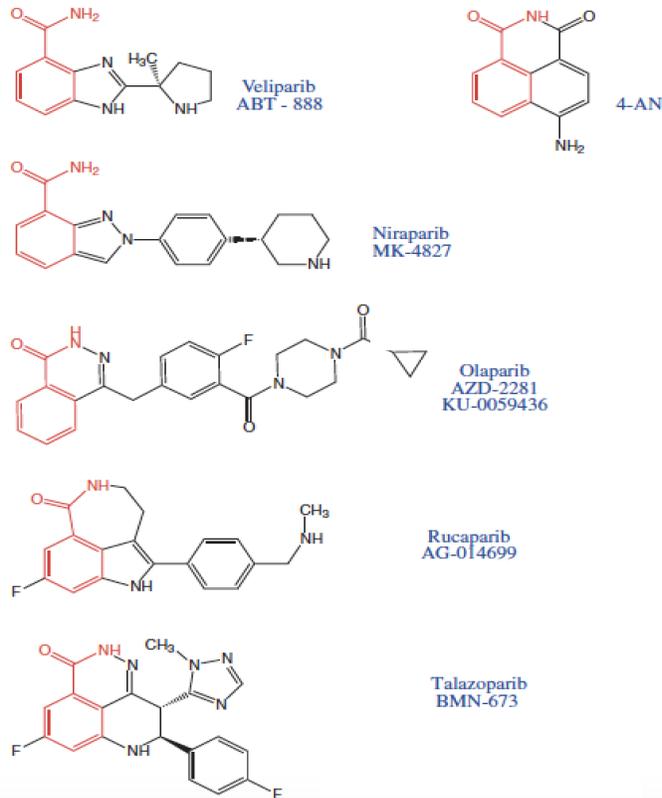
## Downfall of Iniparib: A PARP Inhibitor That Doesn't Inhibit PARP After All

Gunjan Sinha

*JNCI: Journal of the National Cancer Institute*, Volume 106, Issue 1, January 2014, djt447,

<https://doi.org/10.1093/jnci/djt447>

# PARP Inhibitors

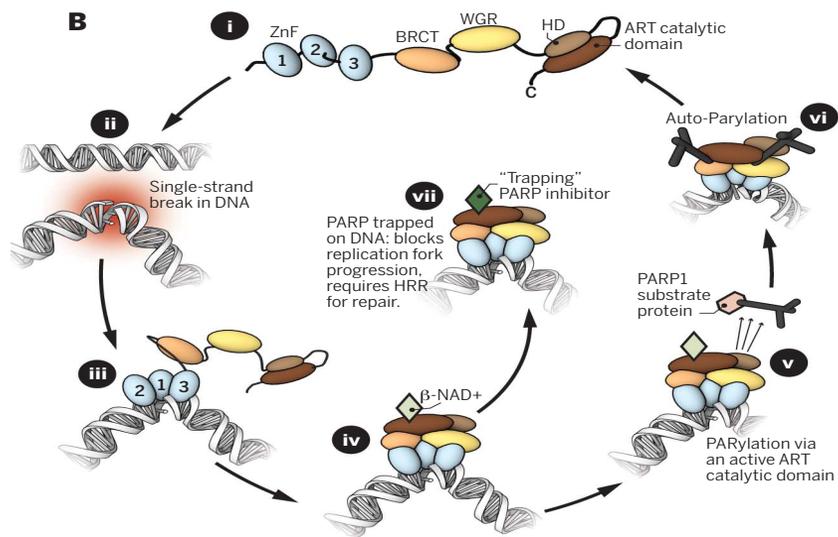


- **Veliparib** — Phase III data presented 9/2019
- **Niraparib**
- **Olaparib** - Approved 1/12/2018
- **Rucaparib**
- **Talazoparib** - Approved 10/16/2018

★ **NCCN guidelines now endorse germline *BRCA1/2* mutation testing for all HER2- MBC patients**

# PARP inhibitor “trapping” of PARP1 on DNA

## Catalytic inhibition

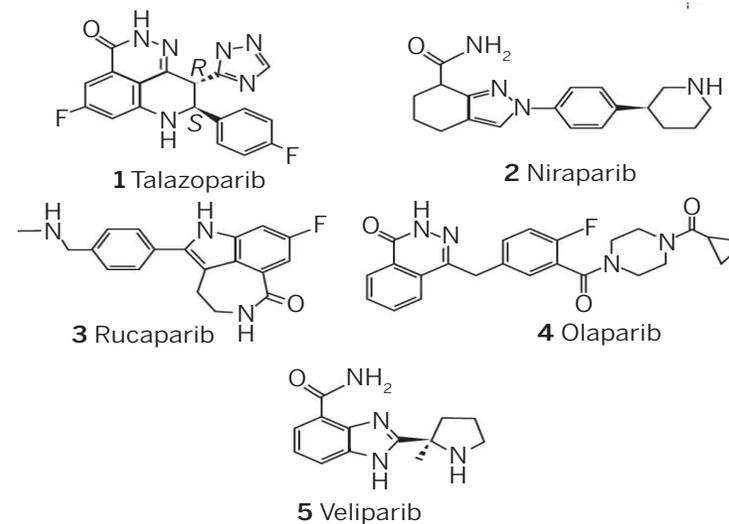
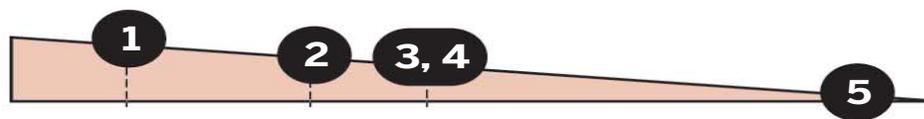


Talazoparib	0.57 nM
Olaparib	1.9 nM
Rucaparib	2.0 nM
Veliparib	4.7 nM

Shen et al. Clin Cancer Res (2013) 19:5003-5015

## Trapping

PARP trapping potency (high to low)

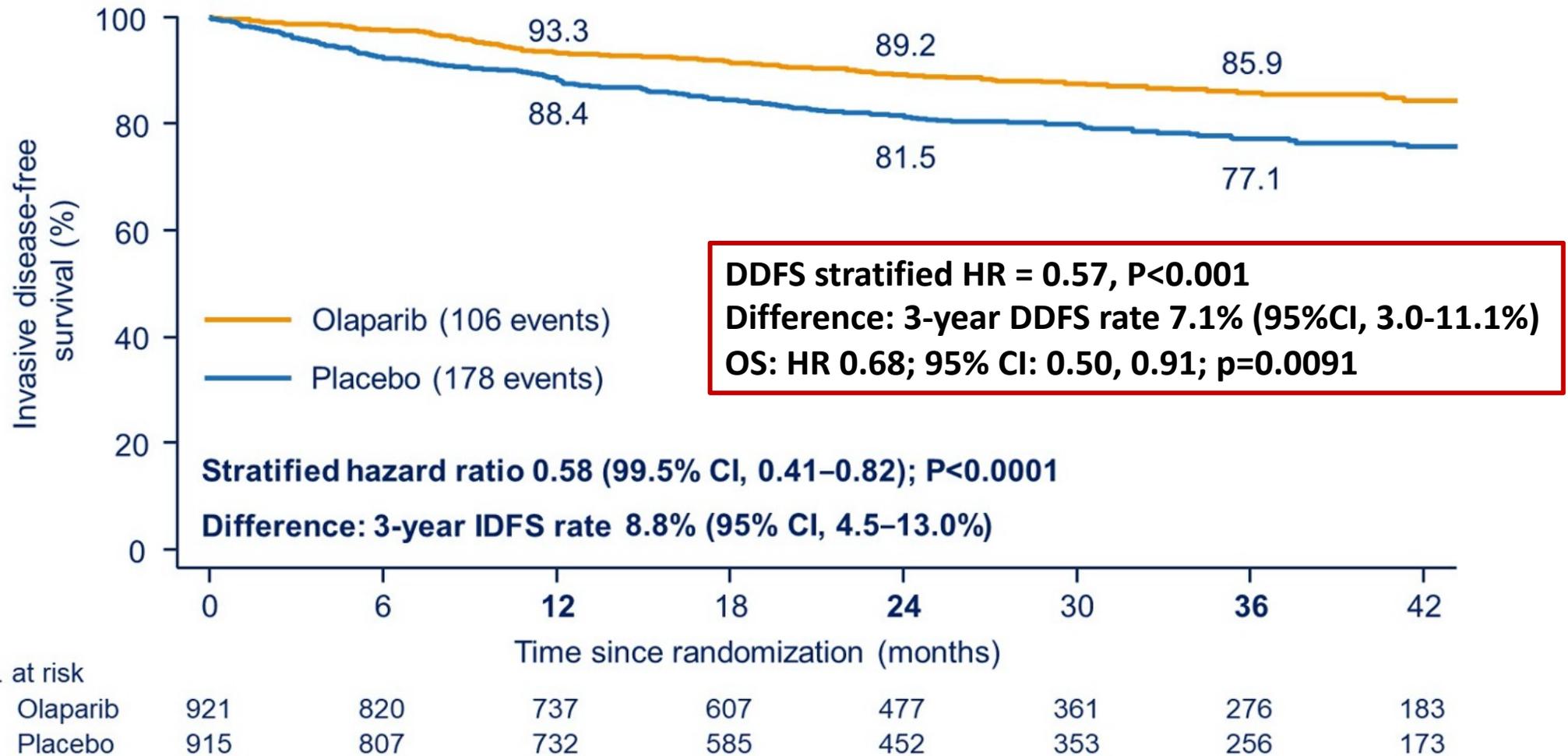


Lord and Ashworth Synthetic lethality in the clinic Science 2017

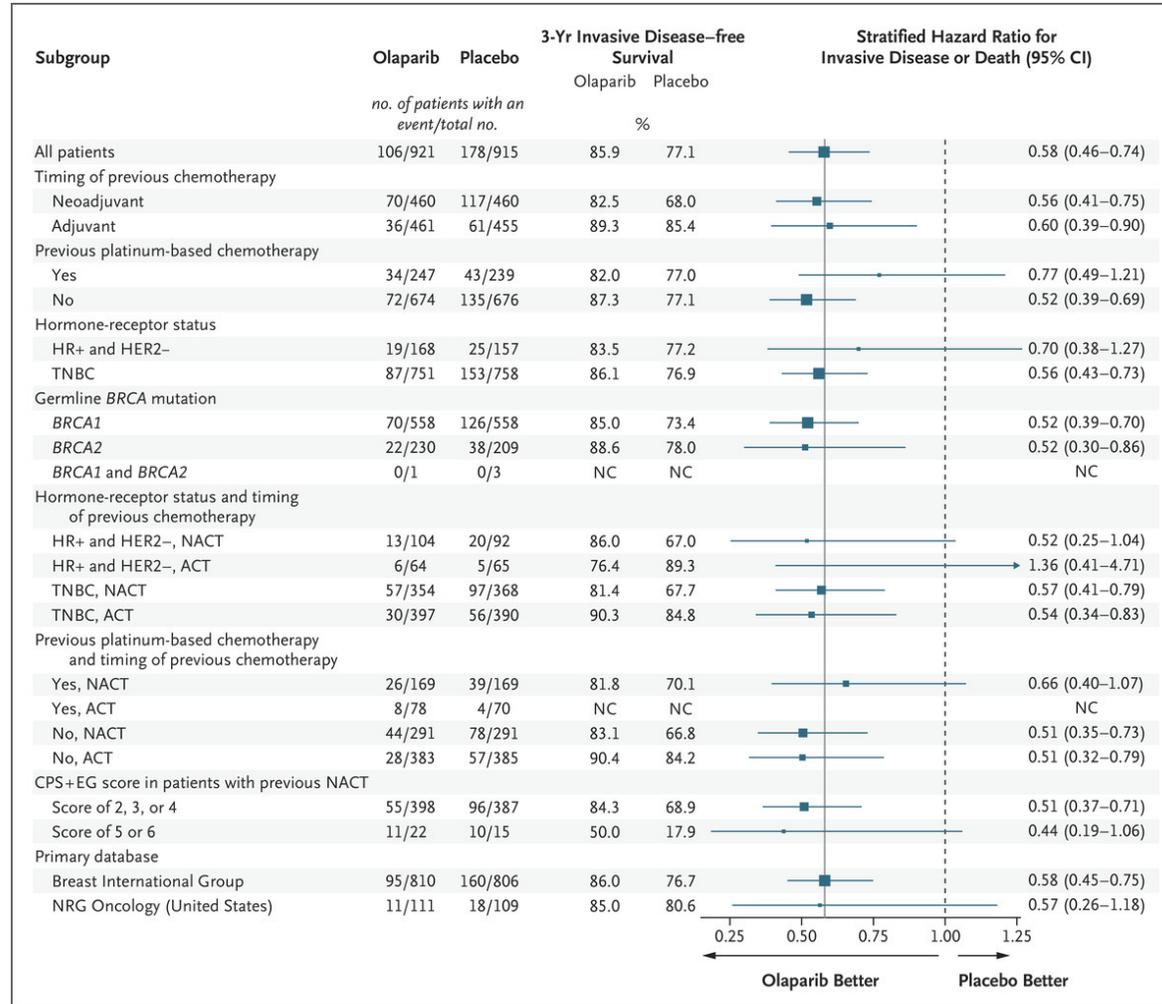
# Oral PARPi Doses and Schedules

Compound	Dose	Phase
Olaparib (AZD2281)	400mg BID	I, II, III
Veliparib (ABT888)	400mg BID	I, II, III
Rucaparib (PF01367338 , AG014699)	600mg BID	I, II, III
Niraparib (MK4827)	300mg BID	I, II, III
Talazoparib (BMN-673)	1mg QD	I, II, III
CEP-9722		I
E7016		I

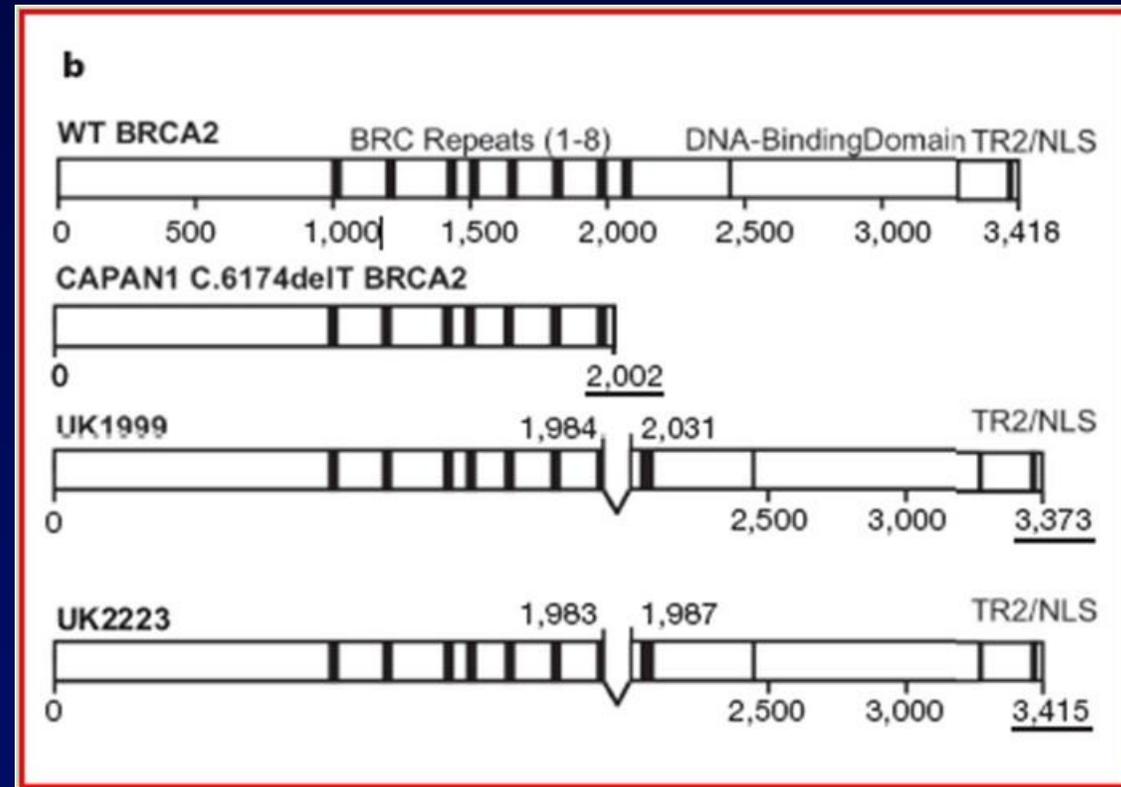
# OlympiA: Invasive disease-free survival (ITT)



# Adjuvant Olaparib - Subgroup Analysis of Invasive Disease-free Survival.



# Resistance to therapy caused by intragenic deletion in BRCA2

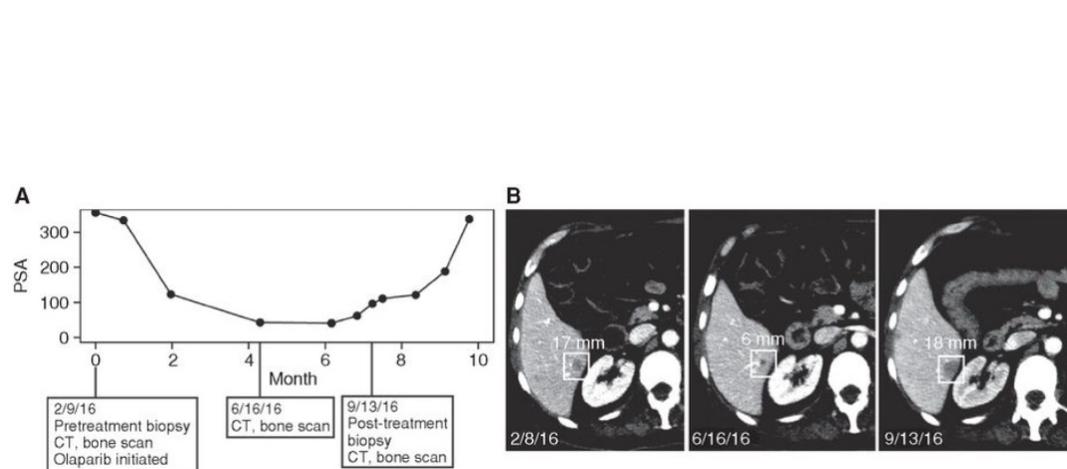


Restored  
ORF

Research Briefs

## Analysis of Circulating Cell-Free DNA Identifies Multiclonal Heterogeneity of *BRCA2* Reversion Mutations Associated with Resistance to PARP Inhibitors

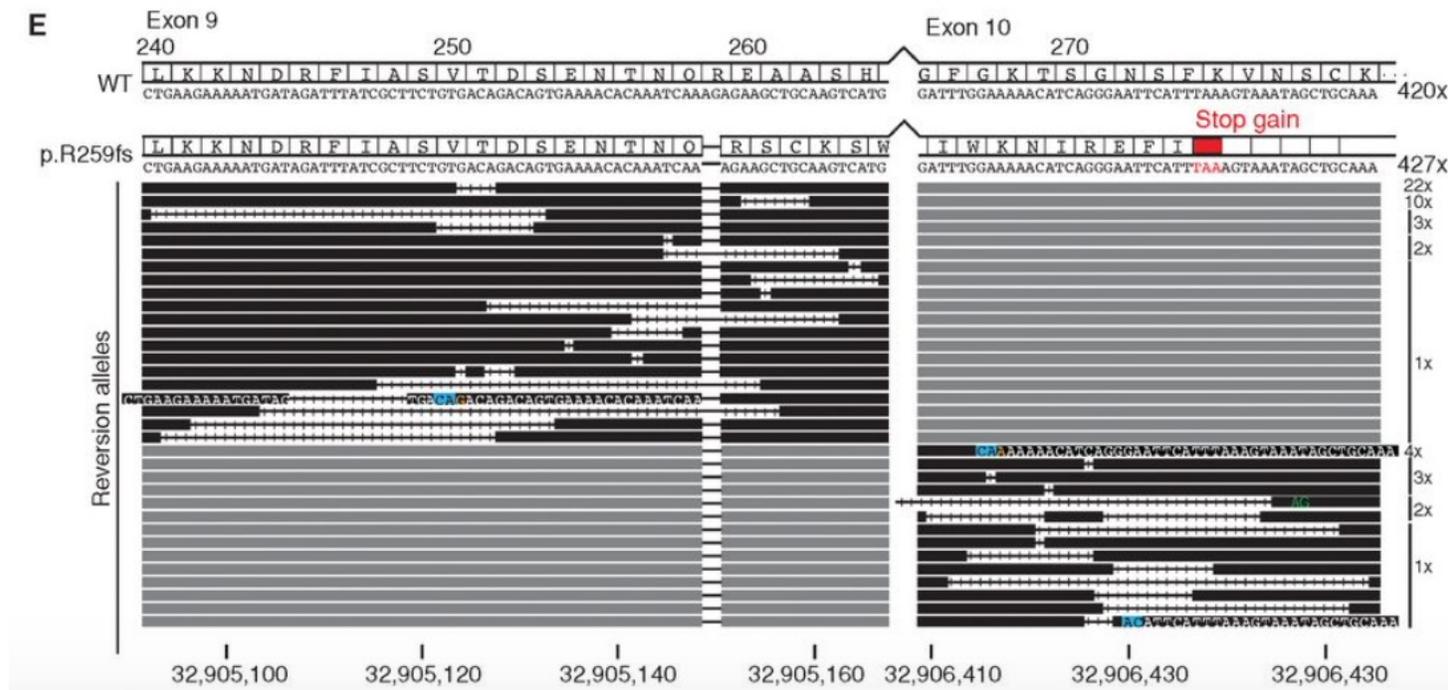
David Quigley, Joshi J. Alumkal, Alexander W. Wyatt, Vishal Kothari, Adam Foye, Paul Lloyd, Rahul Aggarwal, Won Kim, Eric Lu, Jacob Schwartzman, Kevin Beja, Matti Annala, Rajdeep Das, Morgan Diolaiti, Colin Pritchard, George Thomas, Scott Tomlins, Karen Knudsen, Christopher J. Lord, Charles Ryan, Jack Youngren, Tomasz M. Beer, Alan Ashworth, Eric J. Small, and Felix Y. Feng



David Quigley et al. *Cancer Discov* 2017;7:999-1005

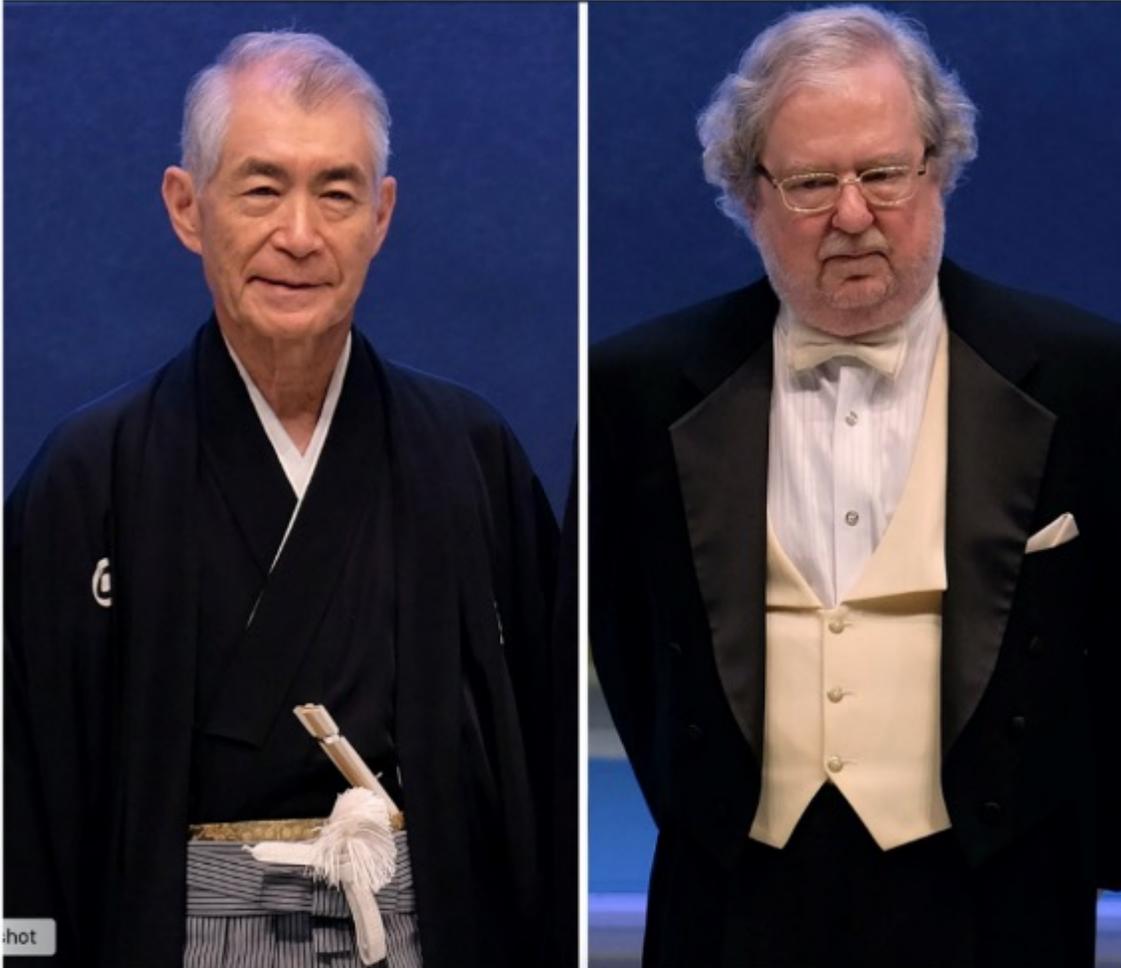
AACR American Association for Cancer Research

CANCER DISCOVERY

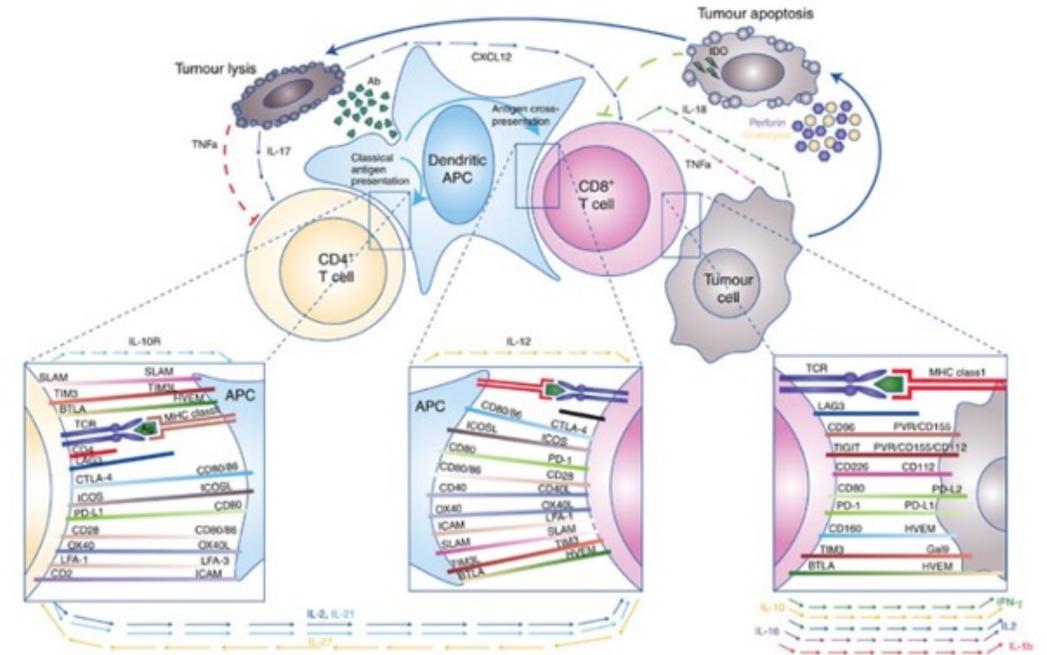


Here, we report the first mechanistic description of talazoparib resistance, the first *BRCA2* reversion mutations identified in prostate cancer, and the first cases of multiclonal *BRCA2* reversion mutations as a mechanism of PARPi resistance. The multiclonal nature resistance in metastatic disease, in the context of a single evolutionary stimulus, was striking.

# Nobel Prize in Medicine (2018) – Immune checkpoint blockade<sup>1</sup>



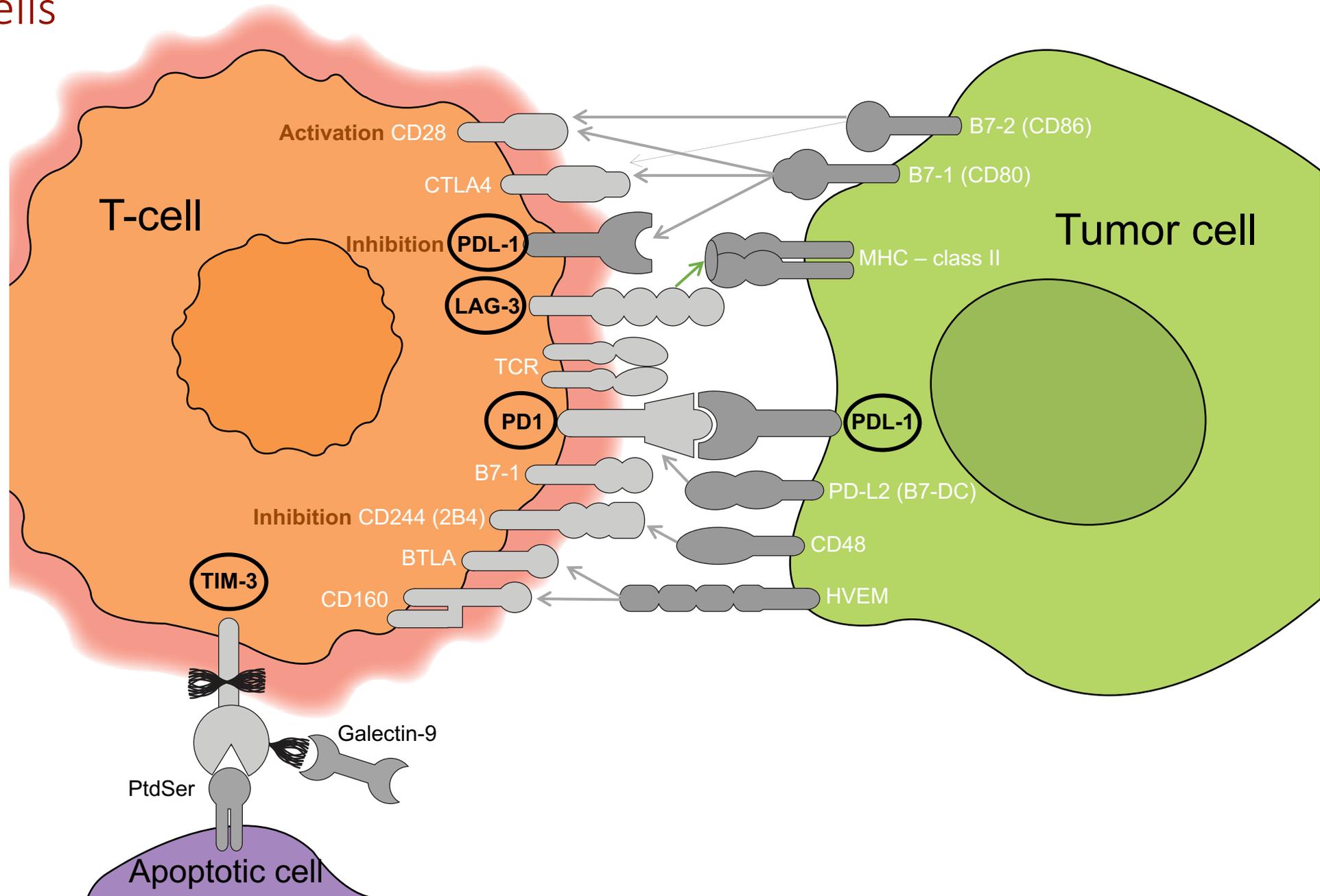
Tasuku Honjo and James Allison



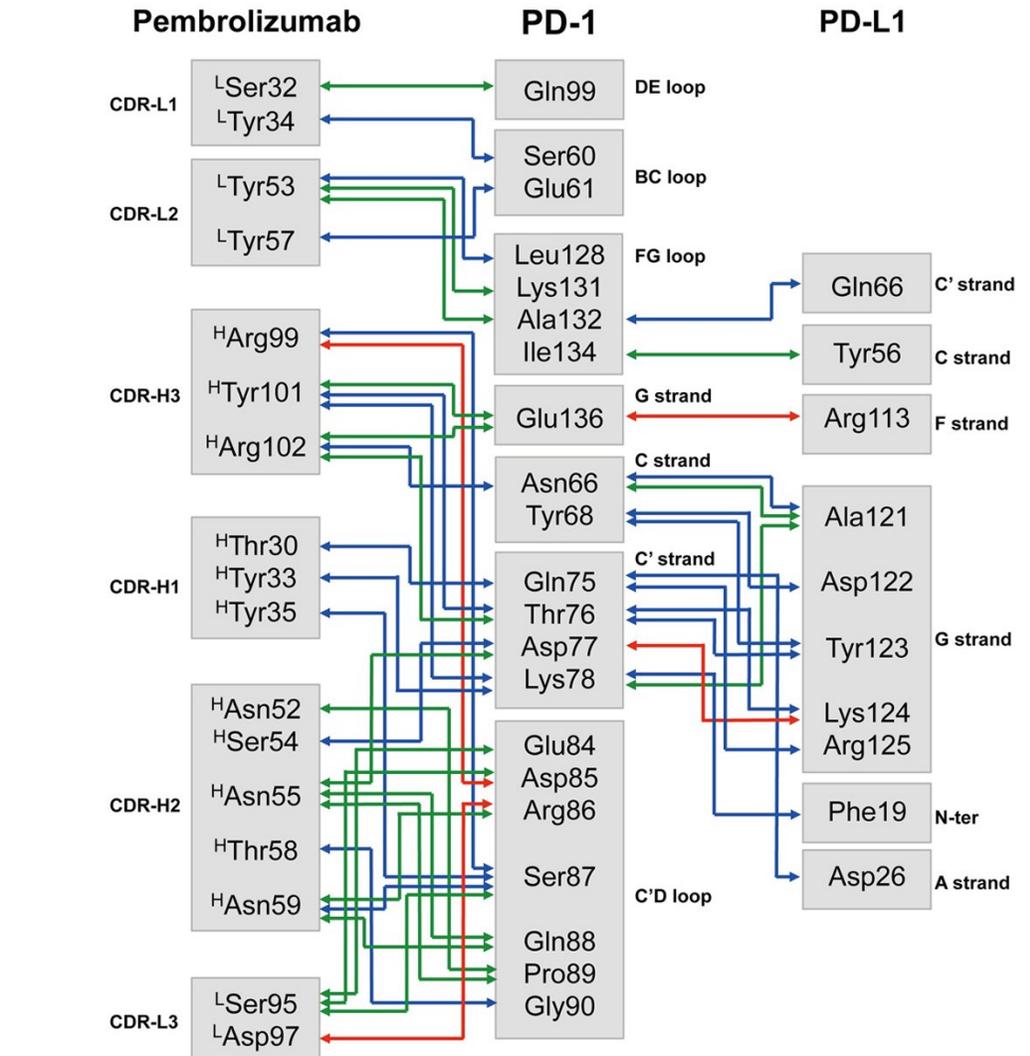
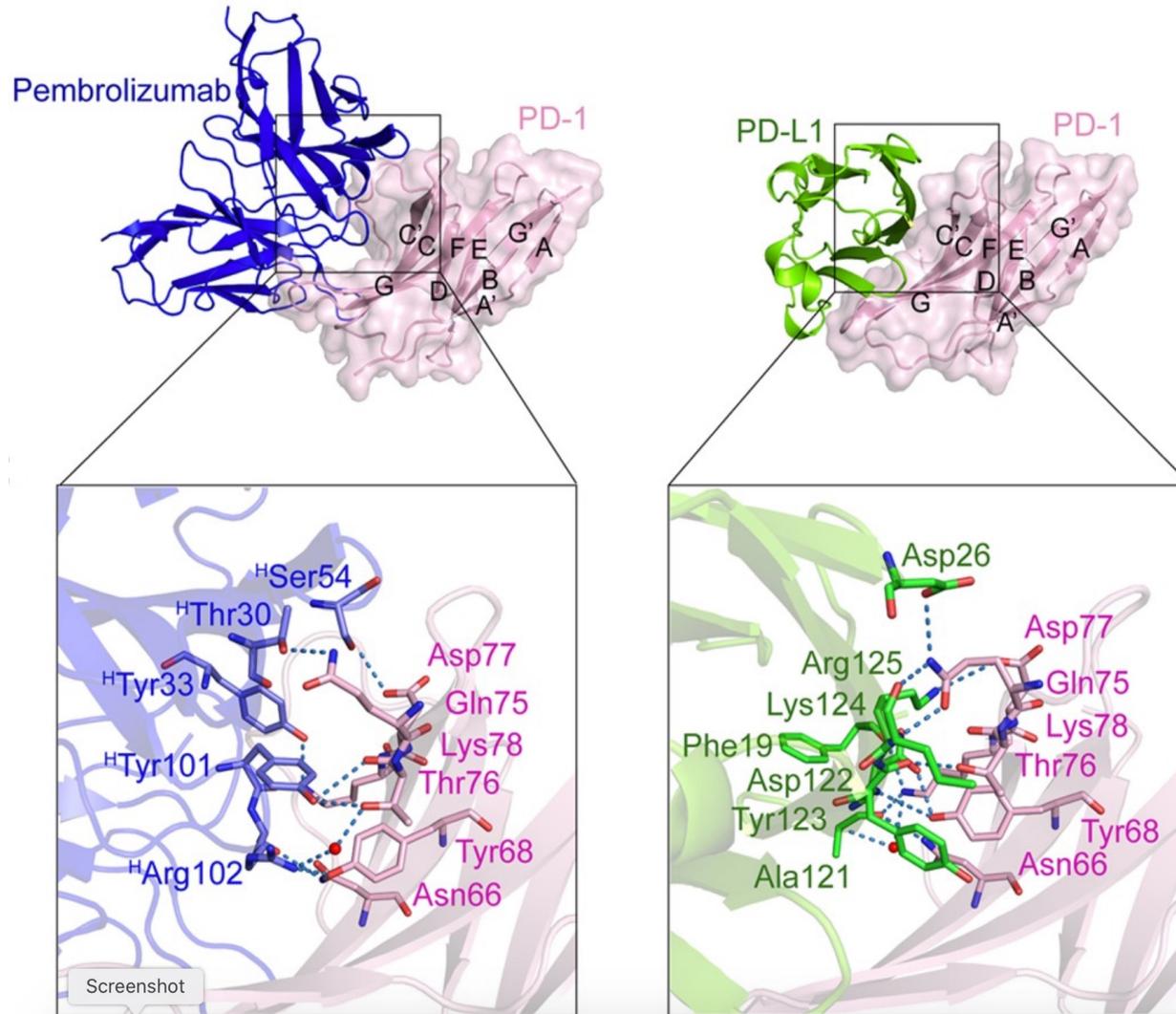
Immunoregulatory interactions principally involving immune checkpoint blockade<sup>2</sup>

1. Huang P-W and Chang J W-C. *Biomed J.* 2019;42(5):299–306. 2. Cogdill AP, et al. *Br J Cancer.* 2017;117(1):1–7.

# Multiple immune signaling pathways modulate interactions between T-cells and tumor cells



# High-resolution crystal structure of the therapeutic antibody pembrolizumab bound to the human PD-1

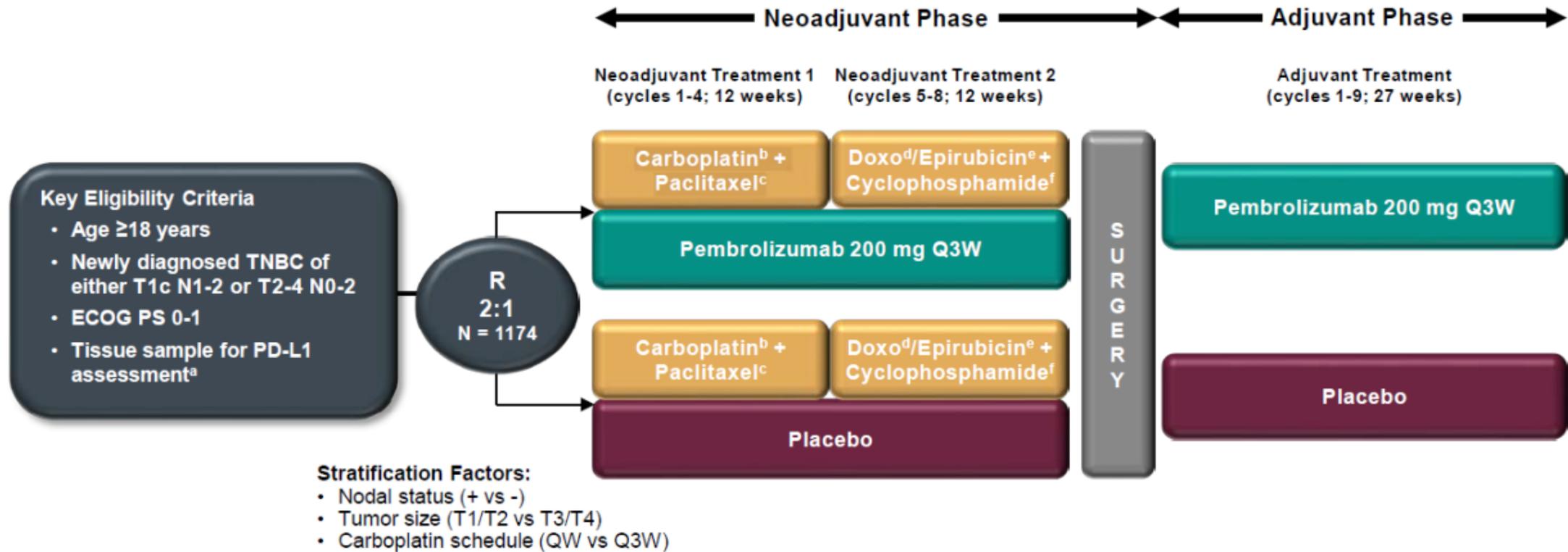


A schematic diagram of polar interactions.

Direct protein/protein hydrogen bonds are in blue; water-mediated hydrogen bonds are in green; and salt bridges are in red.

Horita, S., Nomura, Y., Sato, Y. et al. High-resolution crystal structure of the therapeutic antibody pembrolizumab bound to the human PD-1. *Sci Rep* 6, 35297 (2016).

# KEYNOTE-522 Study Design (NCT03036488)



**Neoadjuvant phase:** starts from the first neoadjuvant treatment and ends after definitive surgery (post treatment included)

**Adjuvant phase:** starts from the first adjuvant treatment and includes radiation therapy as indicated (post treatment included)

Chemo= paclitaxel/carbo → AC Q3 wks x 4

Pembro continued Q3wks adjuvantly x 9 cycles

On July 26, 2021, the Food and Drug Administration approved pembrolizumab for high-risk, early-stage, triple-negative breast cancer (TNBC) in combination with chemotherapy as neoadjuvant treatment, and then continued as a single agent as adjuvant treatment after surgery.

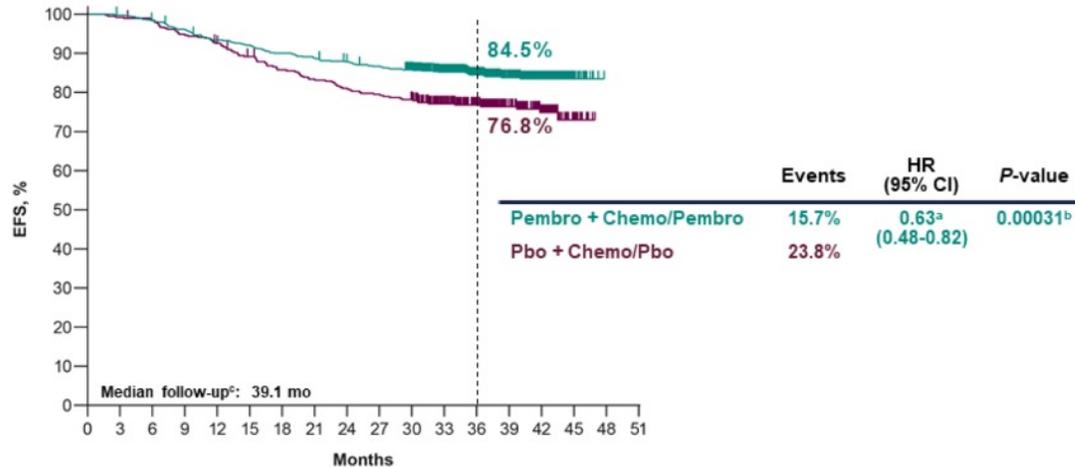
Schmid KN522 ESMO Virtual Plenary 2021

## ESMO VIRTUAL PLENARY

### KEYNOTE-522: Phase 3 Study of Neoadjuvant Pembrolizumab + Chemotherapy versus Placebo + Chemotherapy, Followed by Adjuvant Pembrolizumab versus Placebo for Early-Stage Triple-Negative Breast Cancer

Schmid KN522 ESMO Virtual Plenary 2021

#### Statistically Significant and Clinically Meaningful EFS at IA4

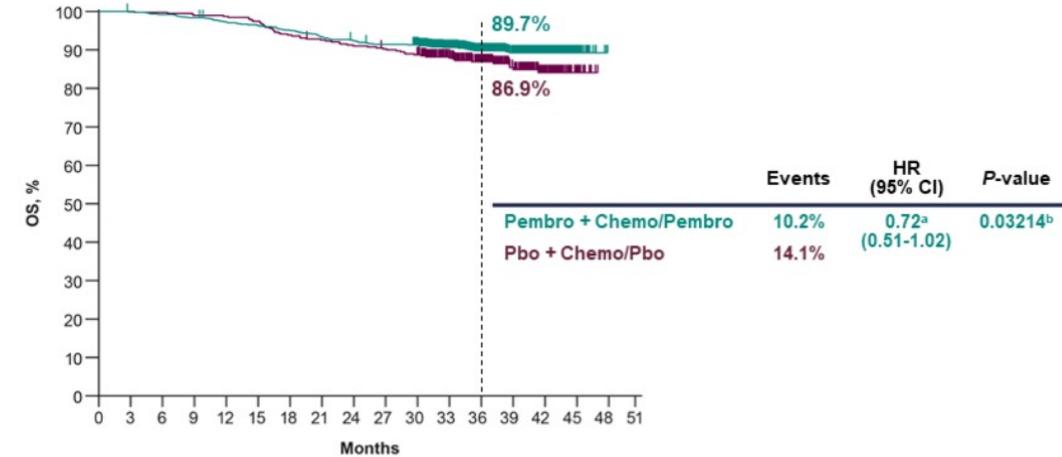


No. at Risk

Months	0	3	6	9	12	15	18	21	24	27	30	33	36	39	42	45	48	51
Pembro + Chemo/Pembro	784	781	769	751	728	718	702	692	681	671	652	551	433	303	165	28	0	0
Pbo + Chemo/Pbo	390	386	382	368	358	342	328	319	310	304	297	250	195	140	83	17	0	0

<sup>a</sup>Hazard ratio (CI) analyzed based on a Cox regression model with treatment as a covariate stratified by the randomization stratification factors. <sup>b</sup>Prespecified P-value boundary of 0.00517 reached at this analysis. <sup>c</sup>Defined as the time from randomization to the data cutoff date of March 23, 2021.

#### Overall Survival



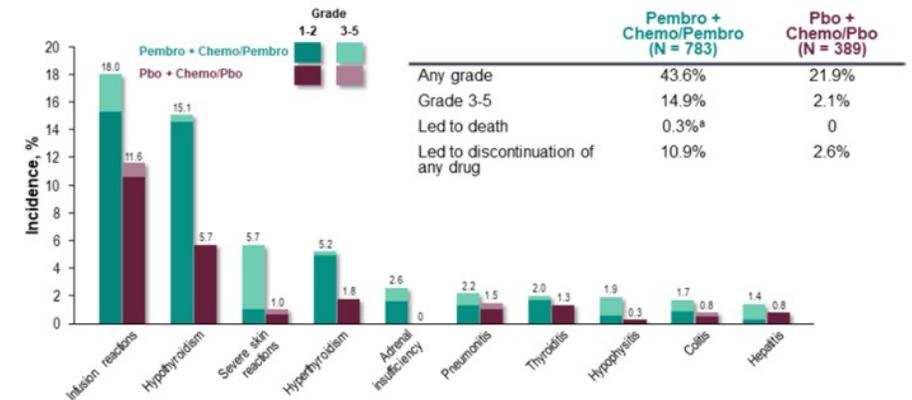
No. at Risk

Months	0	3	6	9	12	15	18	21	24	27	30	33	36	39	42	45	48	51
Pembro + Chemo/Pembro	784	782	777	770	759	752	742	729	720	712	701	586	461	323	178	30	0	0
Pbo + Chemo/Pbo	390	390	389	386	385	380	366	360	354	350	343	286	223	157	89	17	0	0

<sup>a</sup>Hazard ratio (CI) analyzed based on a Cox regression model with treatment as a covariate stratified by the randomization stratification factors. <sup>b</sup>Prespecified P-value boundary of 0.00086 not reached at this analysis. Data cutoff date: March 23, 2021.

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#### Immune-Mediated AEs and Infusion Reactions in Combined Phases

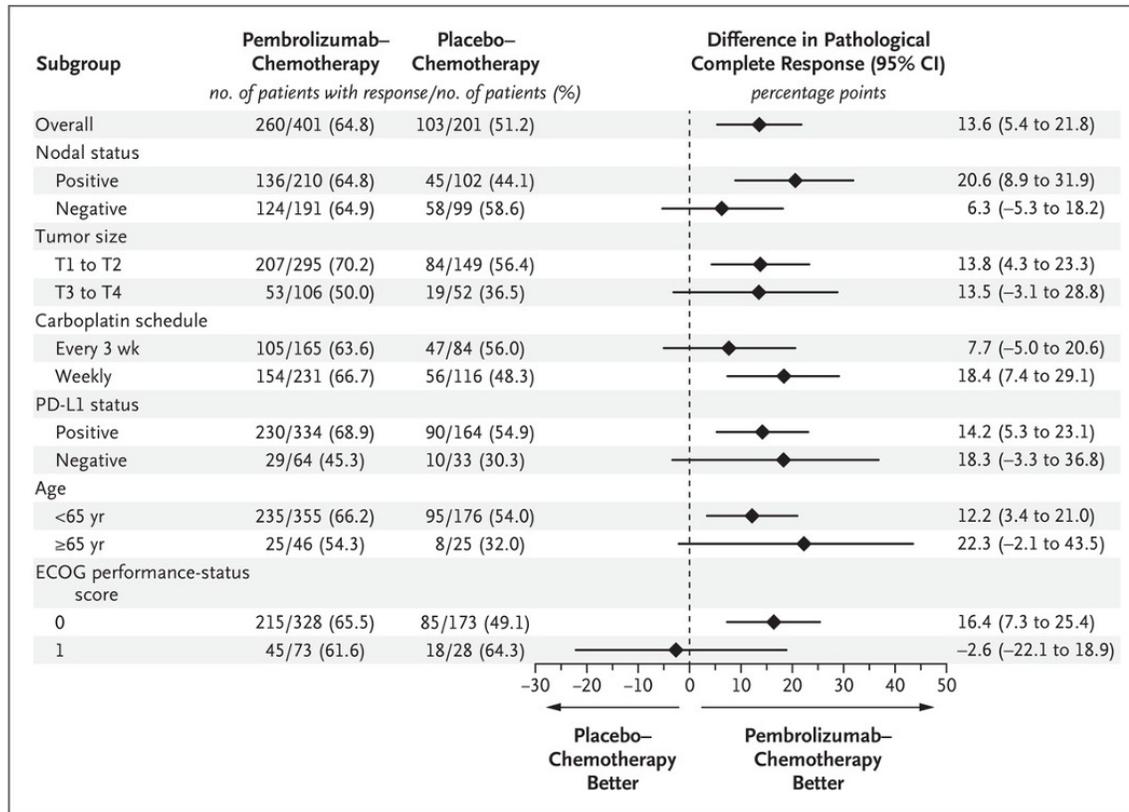


#### Immune-Mediated AEs and Infusion Reactions with Incidence ≥10 Patients

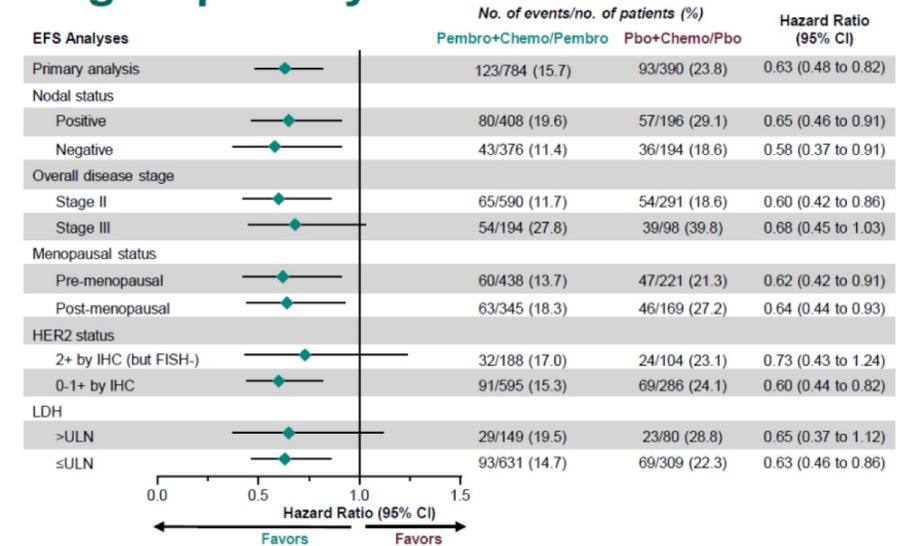
<sup>a</sup>1 patient from pneumonitis and 1 patient from autoimmune encephalitis. Considered regardless of attribution to treatment or immune relatedness by the investigator. Related terms included in addition to preferred terms listed. Data cutoff date: March 23, 2021.

# KN522 Subgroup Analysis

## Subgroup Analysis of Difference in Percentages of Patients with a Pathological Complete Response (Stage ypT0/Tis ypN0).



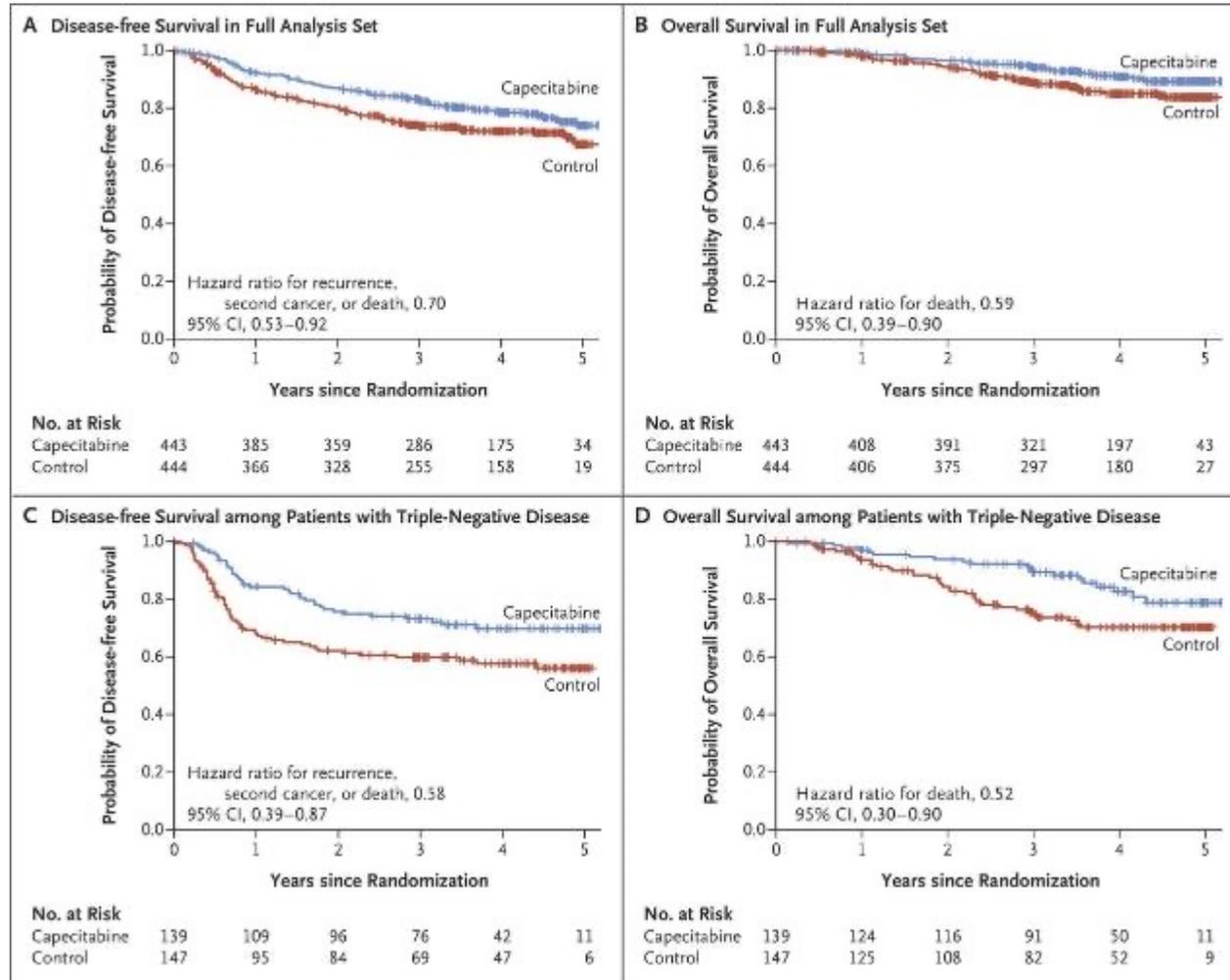
## EFS Subgroup Analyses



Primary analysis based on a Cox regression model with treatment as a covariate stratified by the randomization stratification factors; subgroup analyses based on unstratified Cox

# Adjuvant Capecitabine after Preoperative Chemotherapy

## Kaplan–Meier Estimates of Disease-free Survival and Overall Survival.



Open access

Original research



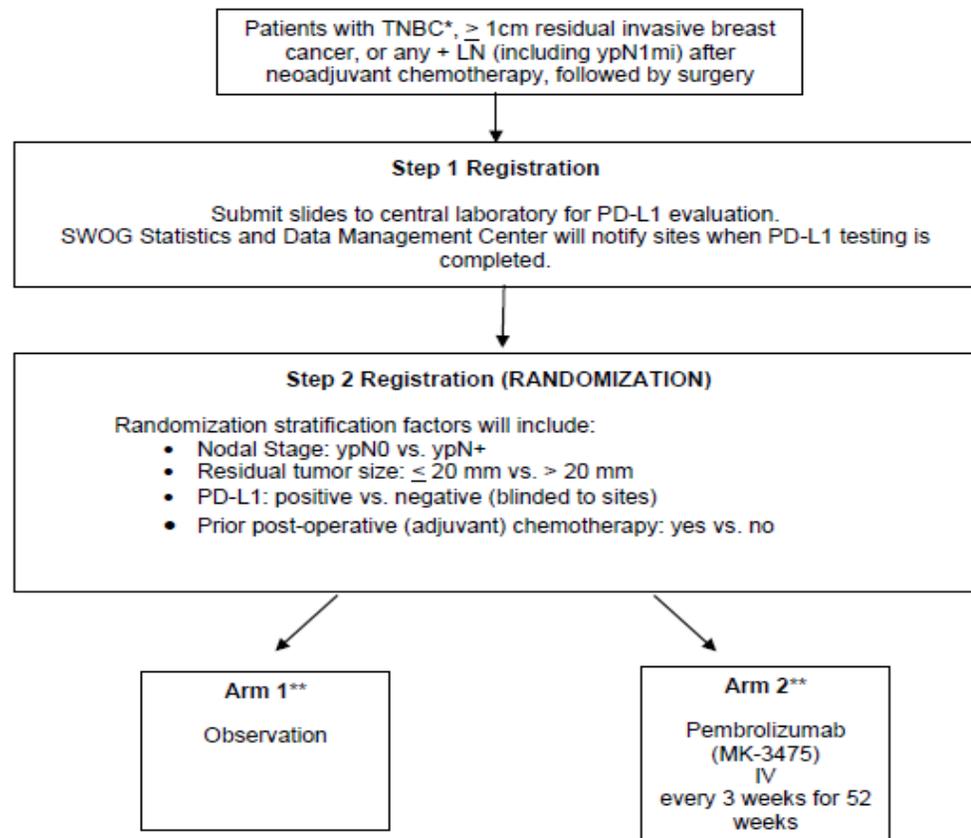
## Phase II study of pembrolizumab and capecitabine for triple negative and hormone receptor-positive, HER2-negative endocrine-refractory metastatic breast cancer

Ami N Shah<sup>1</sup>, Lisa Flaum<sup>1</sup>, Irene Helenowski<sup>1</sup>, Cesar A Santa-Maria<sup>2</sup>, Sarika Jain<sup>1</sup>, Alfred Rademaker<sup>1</sup>, Valerie Nelson<sup>1</sup>, Dean Tsarwhas<sup>1</sup>, Massimo Cristofanilli<sup>1</sup>, William Gradishar<sup>1</sup>

# SWOG S1418:

Version Date 10/15/2021

## SCHEMA



\* Patients with low ER- and/or PR- positive cancers (less than or equal to 5% positivity) and/or HER2 borderline cancers by ASCO CAP guidelines are also eligible.

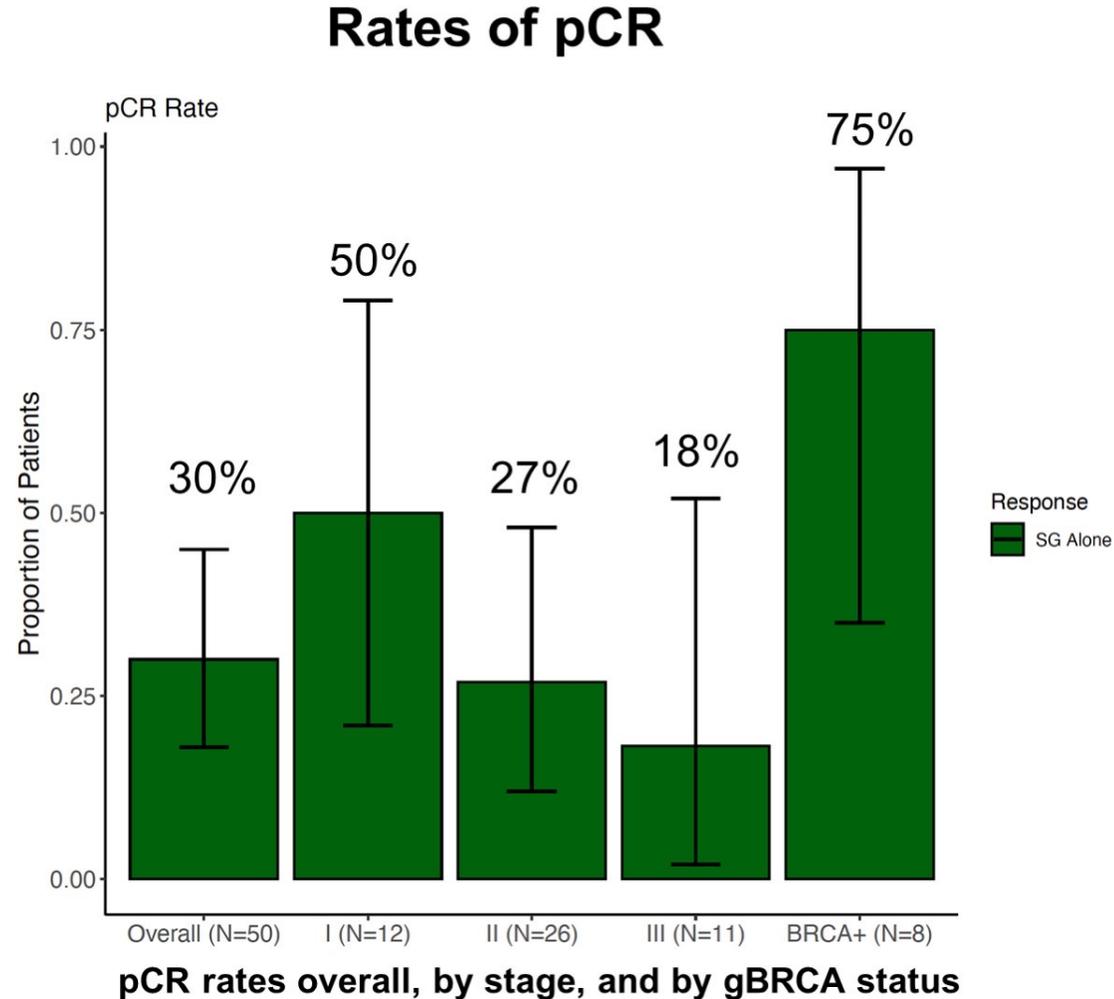
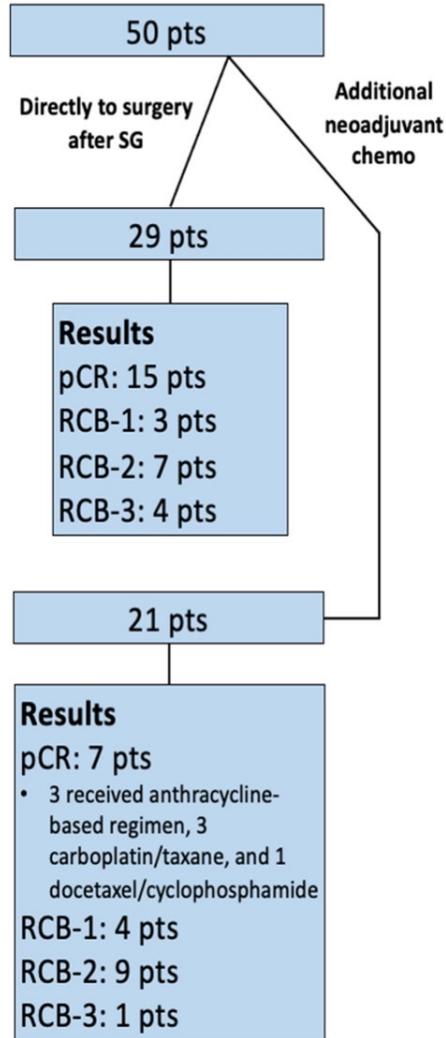
\*\* Patients must complete adjuvant chemotherapy, if given, prior to Step 1 Registration. Radiation therapy may be given concurrently with protocol treatment on Arm 1 or Arm 2 (see [Section 7.0](#)).

Trial allowed the patients to complete capecitabine and then start Pembro

# Olaparib+Pembro?

- Olaparib Plus Pembrolizumab Treatment Safe in Advanced Cholangiocarcinoma
- KEYLYNK-009: A phase II/III, open-label, randomized study of pembrolizumab (pembro) plus olaparib vs pembro plus chemotherapy after induction with first-line pembro plus chemotherapy in patients with locally recurrent inoperable or metastatic triple-negative breast cancer (TNBC).

Phase 2 study of response-guided neoadjuvant sacituzumab govitecan (IMMU-132) in patients with localized triple-negative breast cancer: results from the NeoSTAR trial.



# Improved Pathologic Complete Response Rates for Triple-Negative Breast Cancer in the I-SPY2 Trial

Douglas Yee, Rebecca Arielle Shatsky, Christina Yau, Denise M. Wolf, Rita Nanda, Laura van 't Veer, Donald A. Berry, Angela DeMichele, Laura Esserman, I-SPY2 Consortium

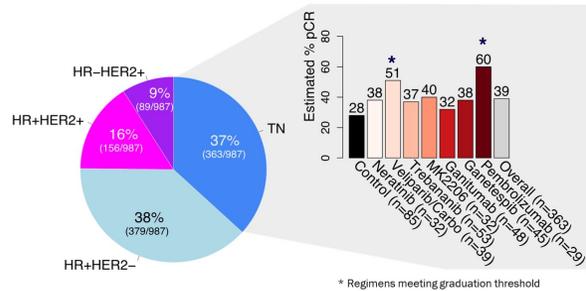
Masonic Cancer Center, University of Minnesota, Minneapolis, MN; UCSD Medical Center, San Diego, CA; UC San Francisco, San Francisco, CA; University of Chicago Medical Center, Chicago, IL; Berry Consultants, Austin, TX; Abramson Cancer Center, University of Pennsylvania, Philadelphia, PA; University of California, San Francisco Helen Diller Family Comprehensive Cancer Center, San Francisco, CA

## BACKGROUND

- Triple Negative Breast Cancer (TNBC) is composed of multiple distinct biologic and genomic subtypes.
- Recent trials have shown that drugs targeting DNA repair, immune activators, and conventional cytotoxic agents all improve outcomes.
- I-SPY2 is a platform phase 2 trial utilizing an adaptive design to compare new regimens with conventional chemotherapy using the primary endpoint of pathologic complete response (pCR).
- To date, 7 investigational agents have been tested in I-SPY 2 trial (I-SPY2-990) and compared to control chemotherapy. All agents have numerically superior pCR rates compared to control.

## RESULTS

### Prevalence of TNBC in I-SPY2 and Bayesian-estimated pCR rates



### Classification of TNBC by Enhanced Immune (Immune+) and DNA Repair Deficient (DRD+) gene signatures

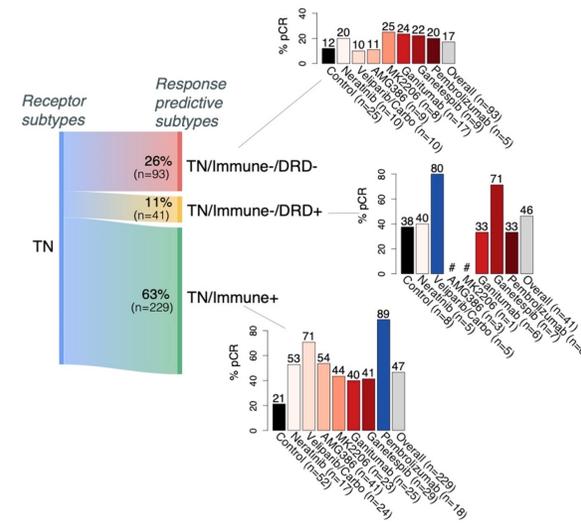
	TN/Immune-	TN/Immune+
TN/DRD-	26% (n=93)	7% (n=26)
TN/DRD+	11% (n=41)	56% (n=189)

Enhanced Immune+ signature = average of Dendritic Cell (Danaher, et al. J Immunother Cancer 5:18 2017 PMID: 28239471) and STAT1 (Rody, et al. Breast Cancer Res 11:R15 2009 PMID: 19272155) signatures

DNA Repair Deficient+ signature = PARP1 signature (Daemen, et al. Breast Cancer Res Treat 135:505 2012 PMID: 22875744)

## RESULTS

### TNBC pCR rates based on Immune+ and DRD+ signatures



## METHODS

- Eligible patients had tumors with one of the following: Stage II or III, or T4, any N, M0, or Regional Stage IV, where supraclavicular lymph nodes are the only metastatic sites.
- The I-SPY2 platform trial tests novel agents given neo-adjuvantly with a control backbone of paclitaxel (T) followed by doxorubicin and cyclophosphamide. Agents investigated in TNBC breast cancer were (control), neratinib (N), veliparib/carboplatin (VC), Trebananib, MK2206, ganitumab, ganetespib, and pembrolizumab. Molecular subtyping based on gene expression was utilized to categorize tumors into 5 response predictive subtypes (RPS-5) Wolf, D., et al *Cancer Cell*, 2022.
- MammaPrint categorization is by Agendia, Inc., using a predefined threshold applied to the MP 70-gene risk score evaluated on Agilent 44K arrays.

## I-SPY2's ADAPTIVE TRIAL DESIGN

I-SPY2 is a multicenter, phase 2 trial using response-adaptive randomization within biomarker subtypes to evaluate a series of novel agents when added to standard neoadjuvant therapy for women with high-risk stage II/III breast. Within each patient subtype, participants are assigned to one of several investigational therapies or the control regimen (4:1). Randomization probabilities are proportional to current probabilities that the respective therapies have a higher pCR rate than control rate in the respective subtypes. *The primary endpoint is pathologic complete response (pCR, no residual disease in breast or nodes) at surgery.*

The goal is to identify/graduate regimens that have ≥85% Bayesian predictive probability of success (statistical significance) in a 300-patient phase 3 neoadjuvant trial, defined by hormone-receptor (HR) & HER2 status & MammaPrint (MP).

Regimens may leave the trial for one of four reasons: Graduate, Drop for futility (< 10% probability of success), Drop for safety issues, or accruing maximum sample size (10% probability of success < 85%).



I-SPY2 study schema and adaptive randomization based on probabilities of agents of achieving pCR within a given subtype

### Regimen specific pCR rates for TNBC based on Immune+ and DRD+ signatures

pCR rates	Control	Neratinib	V/C	Trebananib	MK2206	Ganitumab	Ganetespib	Pembro
All TNBC	28% (21-35%)	38% (22-50%)	51% (36-66%)	37% (21-53%)	40% (25-55%)	32% (17-46%)	38% (23-53%)	60% (44-75%)
Immune-/DRD-	12% (3-31%)	20% (3-56%)	10% (0-45%)	11% (0-48%)	25% (3-65%)	24% (7-50%)	22% (3-60%)	20% (1-72%)
Immune-/DRD+	38% (9-76%)	40% (5-85%)	80% (28-99%)	#	#	33% (4-78%)	71% (29-96%)	33% (4-78%)
Immune+	19% (10-33%)	53% (28-77%)	71% (49-87%)	54% (37-69%)	43% (23-66%)	40% (21-61%)	41% (24-61%)	89% (65-99%)

\* Observed pCR rate with 95% binomial exact (Clopper and Pearson) confidence interval  
# Not evaluated. Subset with <5 samples

Graduated

## SUMMARY

- Only pembrolizumab and veliparib/carboplatin reached the threshold for graduation in TNBC.
- Gene expression profiling identified tumors with an Enhanced Immune and DNA Damage Repair Deficient signatures.
- 56% of TNBC were both Immune+ and DRD+.
- TNBC with Immune+ signatures had a high pCR rate in patients treated with paclitaxel/pembrolizumab.
- TNBC with DRD+ signatures had a high pCR rate to veliparib/carboplatin therapy. These tumors also had a higher pCR rate to control chemotherapy; pembrolizumab did not have superior pCR rates compared to non-pembrolizumab therapies.
- Classification of TNBC into subtypes may reveal efficacy for specific drugs that are not evident in the entire group, e.g. ganetespib has a pCR rate of 71% (5/7) in the Immune-/DRD+ group.
- TNBC with neither signature have poor responses to the drugs tested thus far in I-SPY2.

## CONCLUSIONS

- TNBC is a molecular heterogeneous disease.
- Classification of TNBC into specific subtypes associates with response to individual therapies.
- Identifying molecular subtypes of TNBC shows that not all subtypes benefit from addition of an immune checkpoint inhibitor to neoadjuvant treatment.
- Advances in molecular classification will allow improved precision application of neoadjuvant therapy.

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## Pembrolizumab label language:

### Triple-Negative Breast Cancer (TNBC)

- for the treatment of patients with high-risk early-stage TNBC in combination with chemotherapy as neoadjuvant treatment, and then continued as a single agent as adjuvant treatment after surgery. (1.18)

## Olaparib label language:

### Breast cancer

- for the adjuvant treatment of adult patients with deleterious or suspected deleterious *gBRCAm* human epidermal growth factor receptor 2 (HER2)-negative high risk early breast cancer who have been treated with neoadjuvant or adjuvant chemotherapy. Select patients for therapy based on an FDA-approved companion diagnostic for olaparib ([1.5](#), [2.1](#)).

# ep·i·logue

*/ˈepəˌlɒɡ, ˈepəˌlæɡ/*

noun: epilogue; plural noun: epilogues; noun: epilogs; plural noun: epilogs

-- a section or speech at the end of a book or play that serves as a comment on or a conclusion to what has happened.

- TNBC is not just one disease. Clinical trial designs that include *all* TNBC subtypes are naïve
- PARP inhibition is synthetic lethal with homologous recombination repair deficiency (e.g. BRCA mutation); BRCA reversion mutations are scary – “one dumb tumor is smarter than 10 oncologists” (G Sledge, Stanford)
- Immune checkpoint inhibition is now standard of care in early and late-stage TNBC; biomarker(s) for patient selection remains a high unmet need – I-SPY2 data challenges dogma that all TNBC subsets benefit from ICI
- ADCs will likely eventually replace standard chemotherapy; all the same principles of chemo will still apply
- How to best integrate PARPi and ICI into current treatment paradigms remains controversial for specific patients