

Mechanisms of Resistance to ALK Inhibitors

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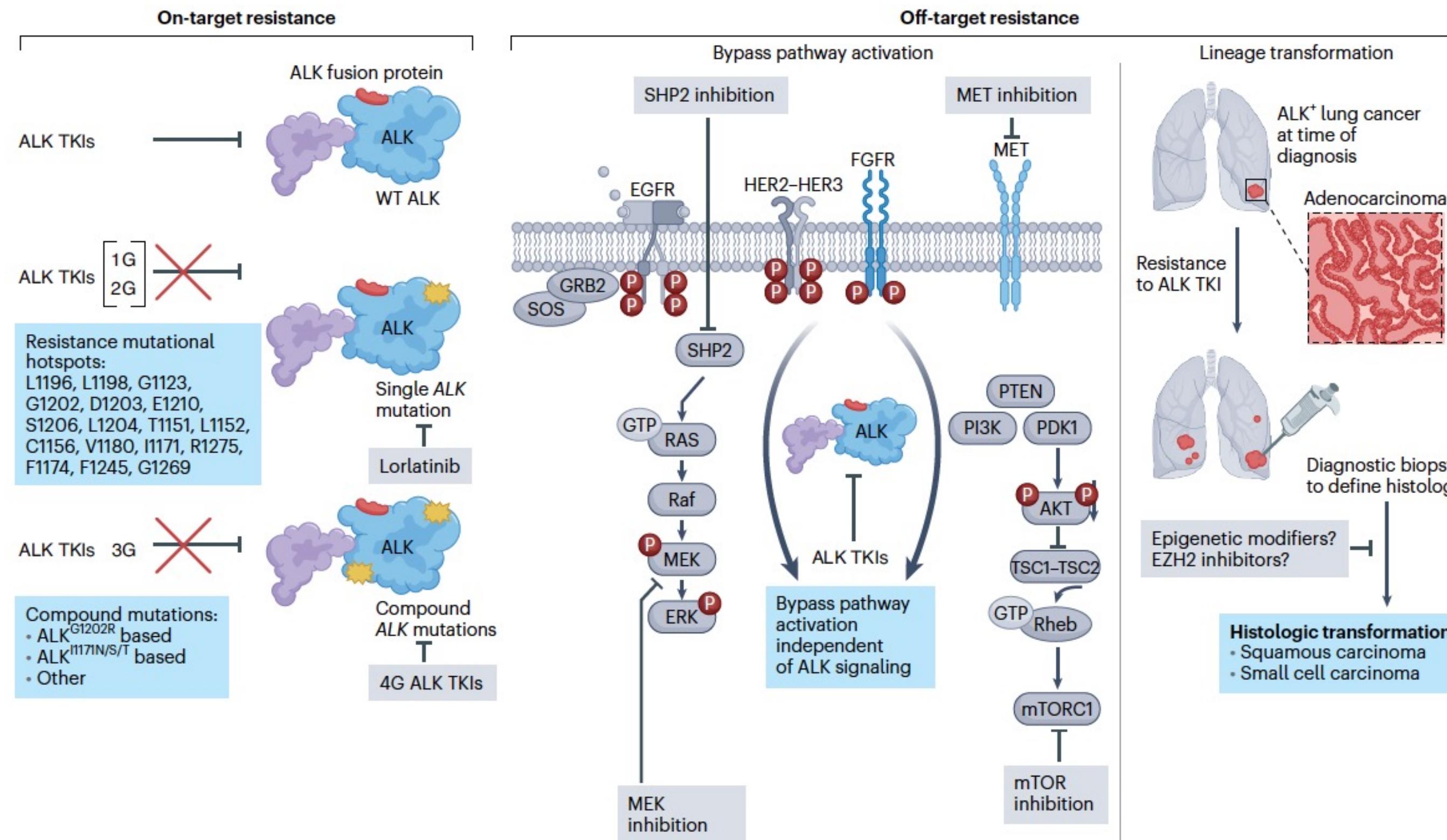
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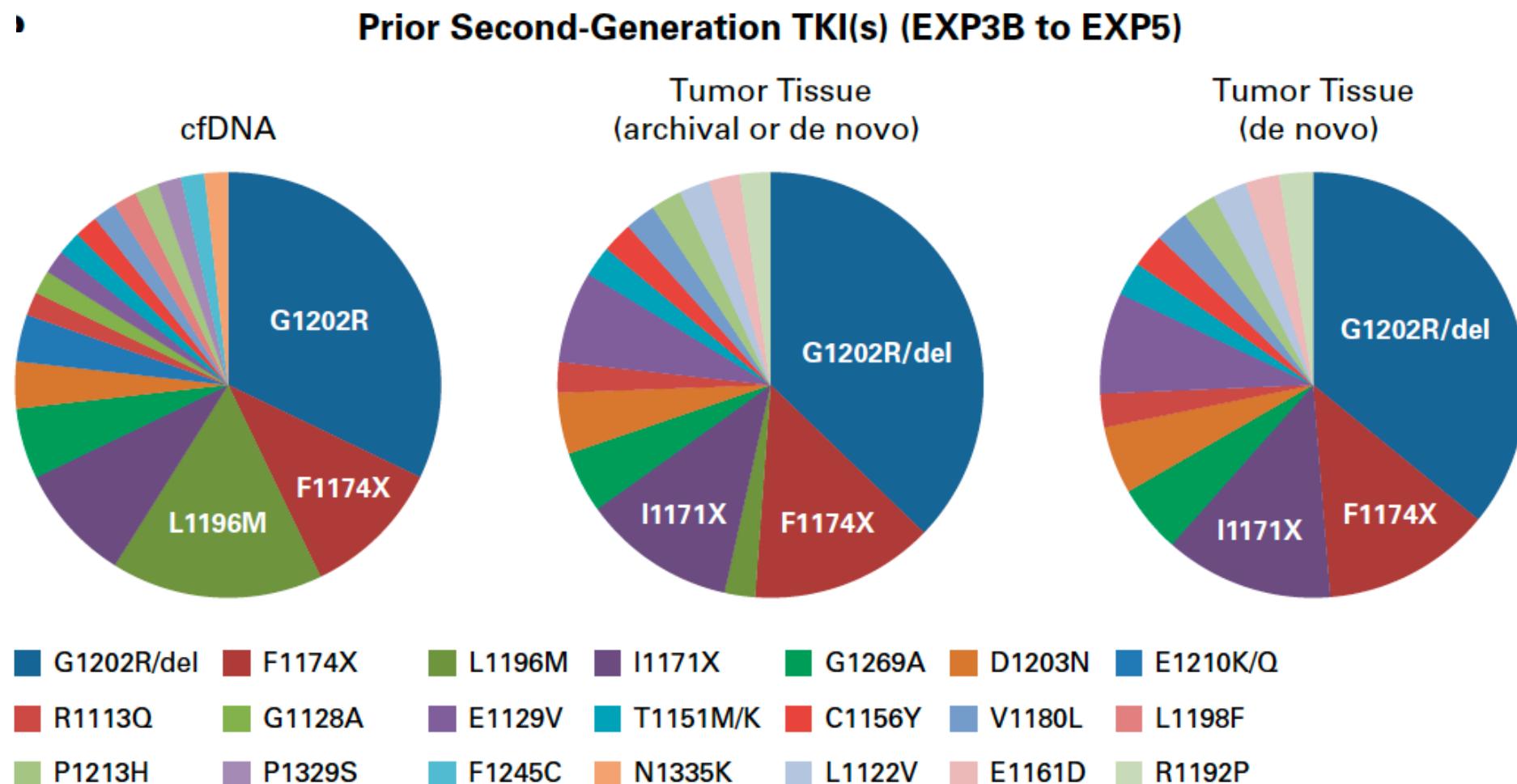
November 17, 2023

Broad Framework for Understanding Mechanisms of Resistance to ALK Inhibitors



On-Target Resistance to 2nd-Generation ALK TKI(s)

Distribution of *ALK* mutations identified in plasma or tumor biopsies from patients after 1 or more prior 2G ALK TKI(s)¹



Most common *ALK* resistance mutations:
G1202R/del (detected in 53% and 55% of cfDNA and tumor tissue with an *ALK* mutation, respectively), I1171X, V1180L

Each ALK TKI harbors a unique spectrum of activity against *ALK* mutations in preclinical models²

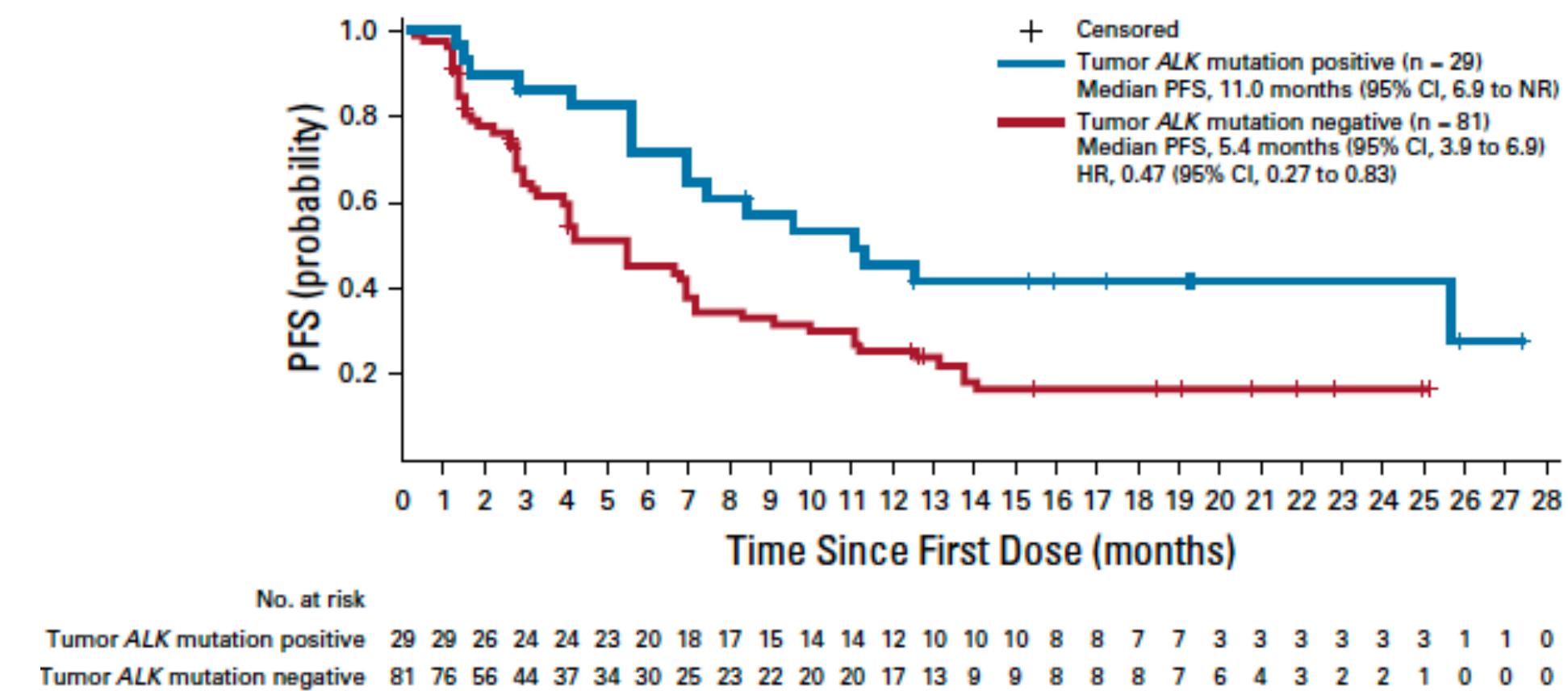
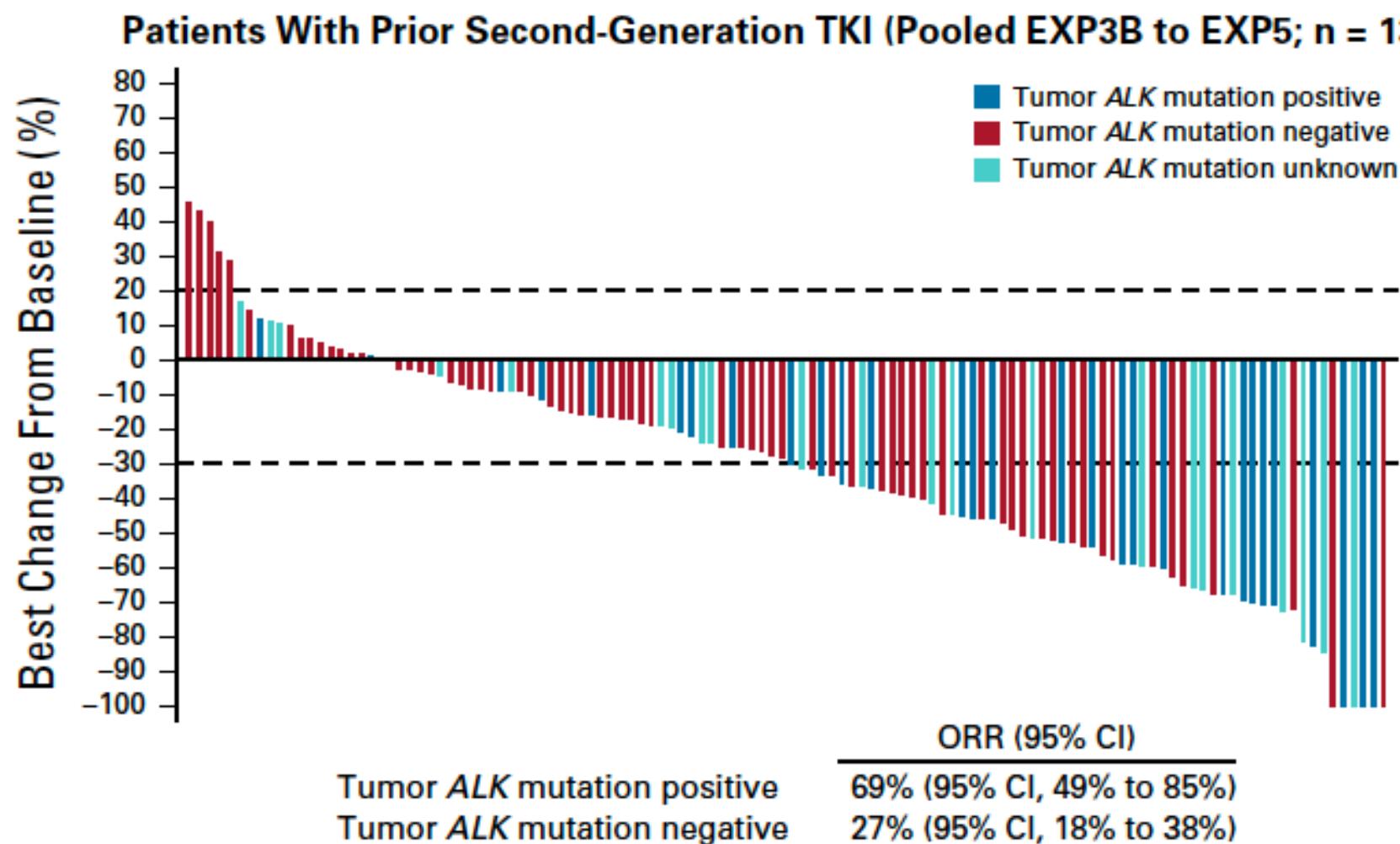
Mutation Status	Cellular ALK Phosphorylation Mean IC ₅₀ (nM)				
	Crizotinib	Ceritinib	Alectinib	Brigatinib	Lorlatinib
Parental BA/F3	763.9	885.7	890.1	2774.0	11293.8
V1	38.6	4.9	11.4	10.7	2.3
C1156Y	61.9	5.3	11.6	4.5	4.6
I1171N	130.1	8.2	397.7	26.1	49.0
I1171S	94.1	3.8	177.0	17.8	30.4
I1171T	51.4	1.7	33.6	6.1	11.5
F1174C	115.0	38.0	27.0	18.0	8.0
L1196M	339.0	9.3	117.6	26.5	34.0
L1198F	0.4	196.2	42.3	13.9	14.8
G1202R	381.6	124.4	706.6	129.5	49.9
G1202del	58.4	50.1	58.8	95.8	5.2
D1203N	116.3	35.3	27.9	34.6	11.1
E1210K	42.8	5.8	31.6	24.0	1.7
G1269A	117.0	0.4	25.0	ND	10.0

Efficacy of Lorlatinib (3G ALK TKI) After 2G ALK TKIs

Phase II study efficacy data

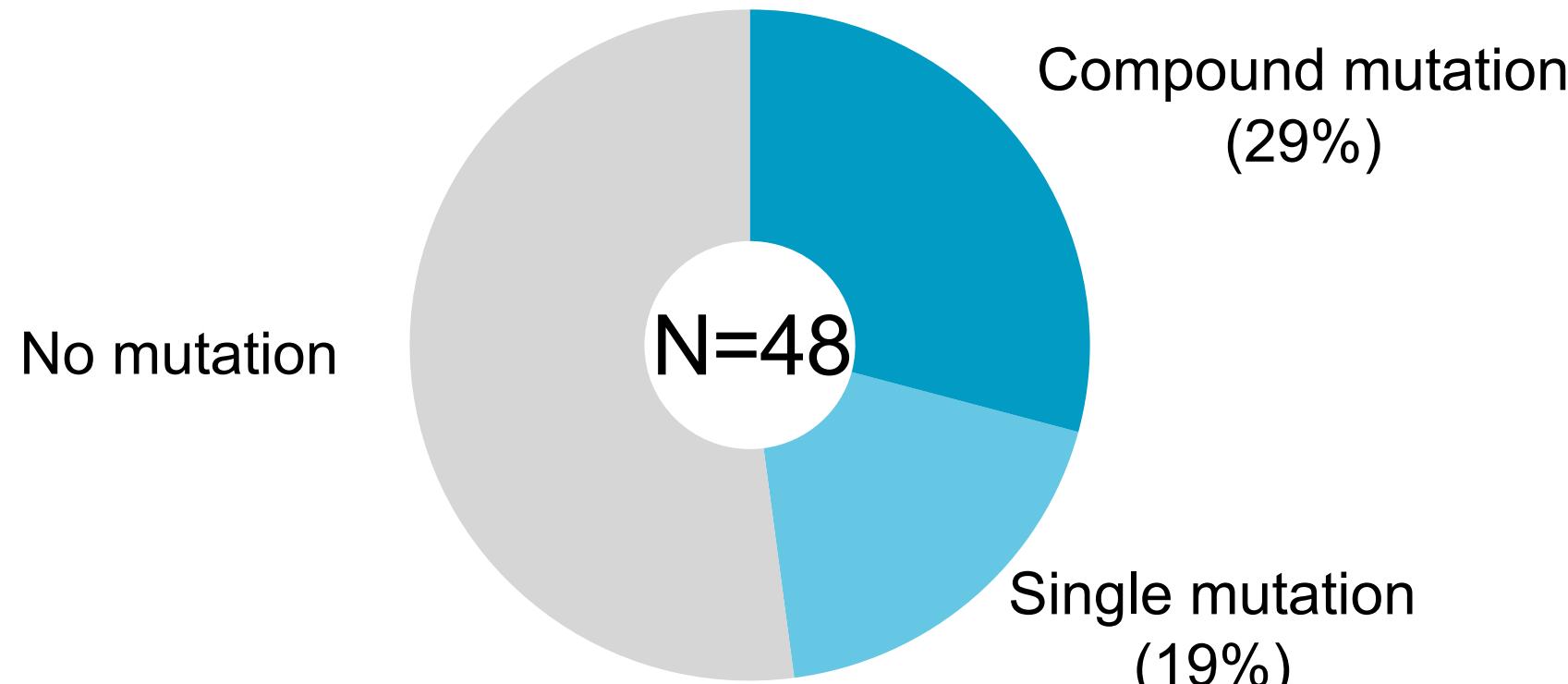
ORR s/p 2G ALK TKI(s) (n=139): 39.6% (31.4-48.2)
Median DOR: 9.6 months (95% CI, 5.6-16.7)
Median PFS: 6.6 months (95% CI, 5.4-7.4)
IC-ORR: 56.1% (42.4-69.3)

Lorlatinib is recommended as a subsequent treatment option after progression on prior ALK TKIs in the NCCN Guidelines

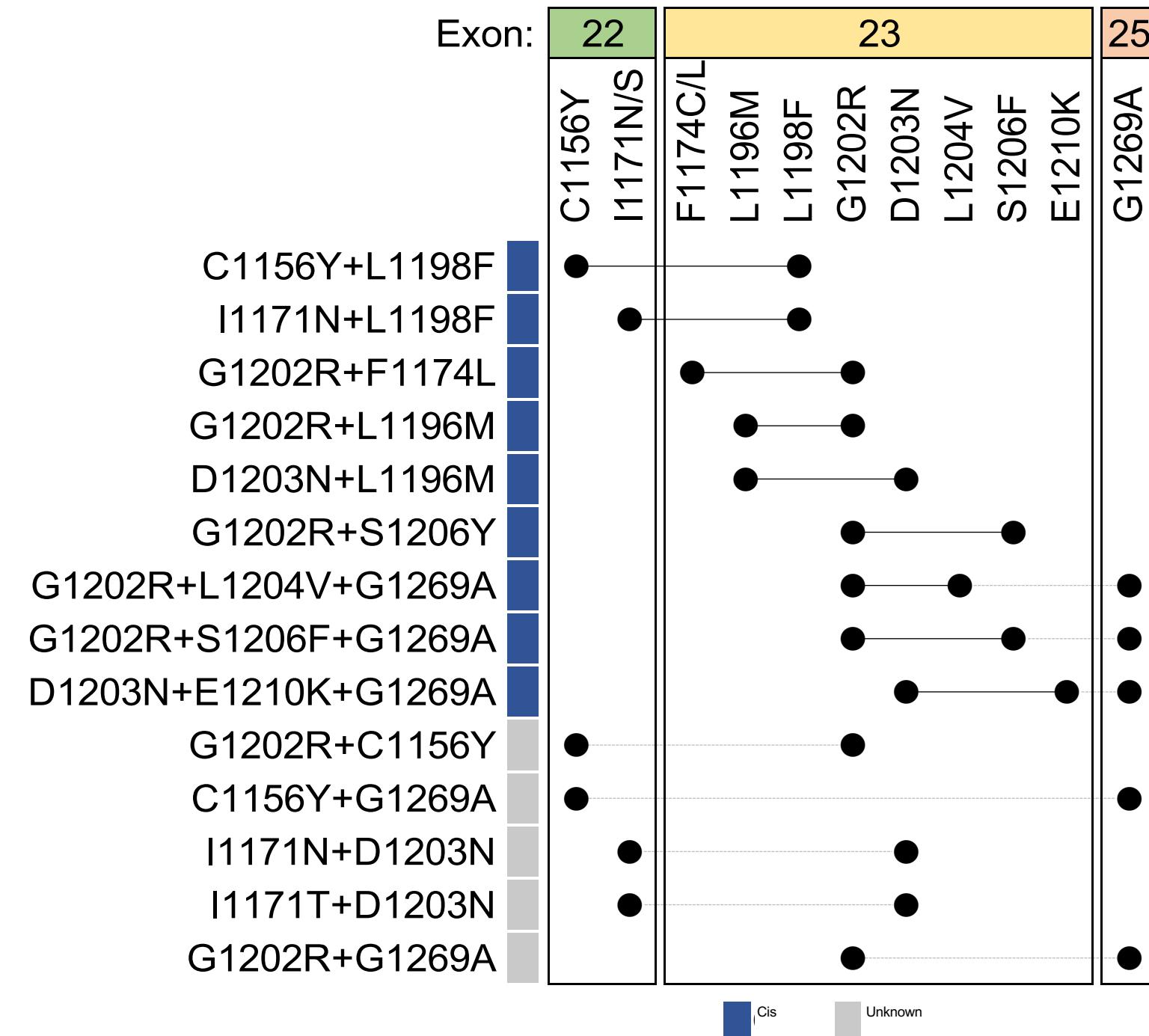


Resistance to Lorlatinib Following Prior ALK TKI(s): Compound *ALK* Mutations

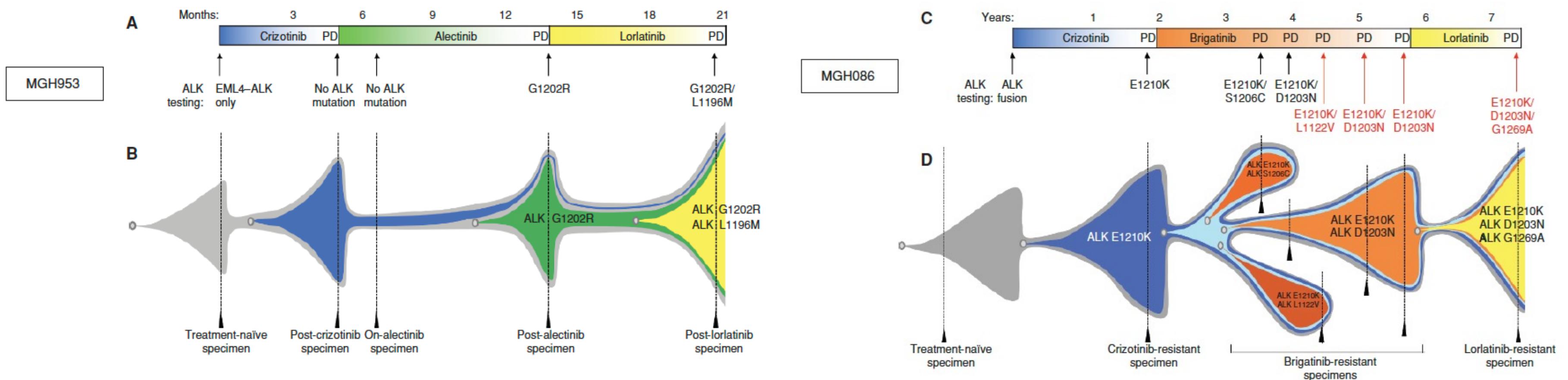
*Post-lorlatinib tissue biopsies
(lorlatinib after prior ALK TKI)*



- No single predominant compound *ALK* mutation identified
- Among the 14 cases with ≥ 2 *ALK* mutations, 8 (57%) harbored *ALK* G1202R and 3 (21%) harbored *ALK* I1171N

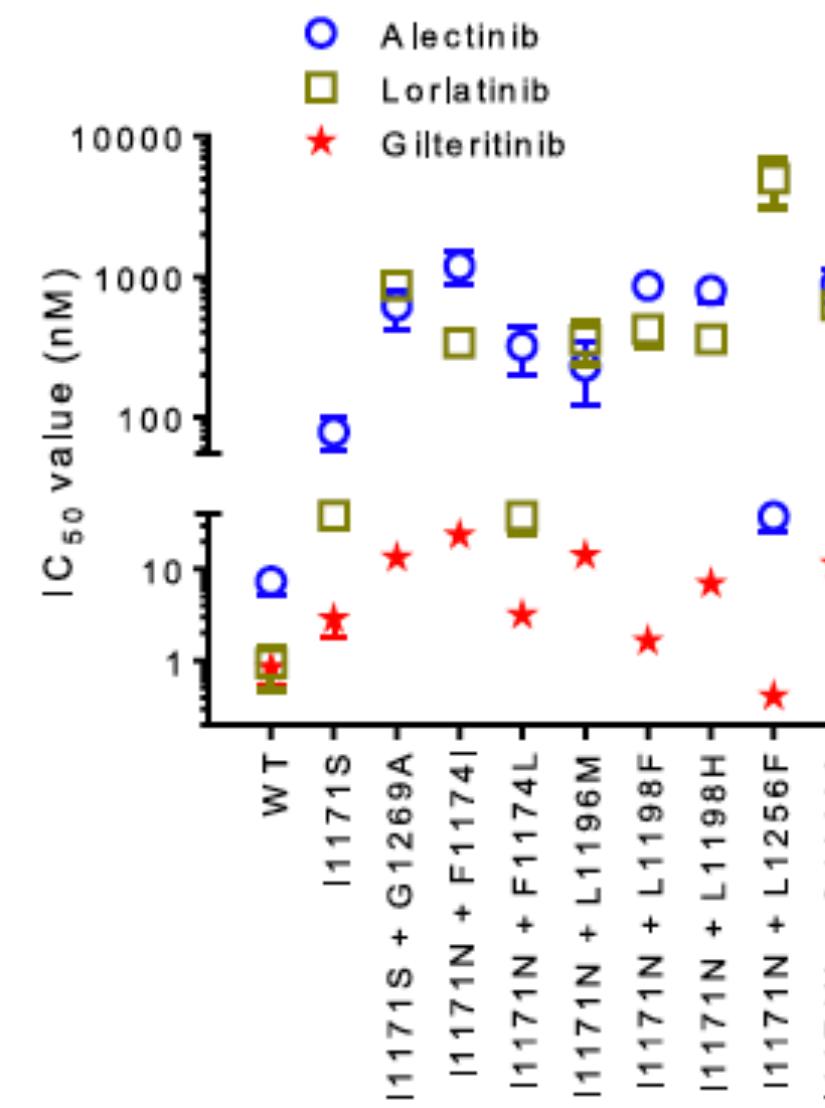
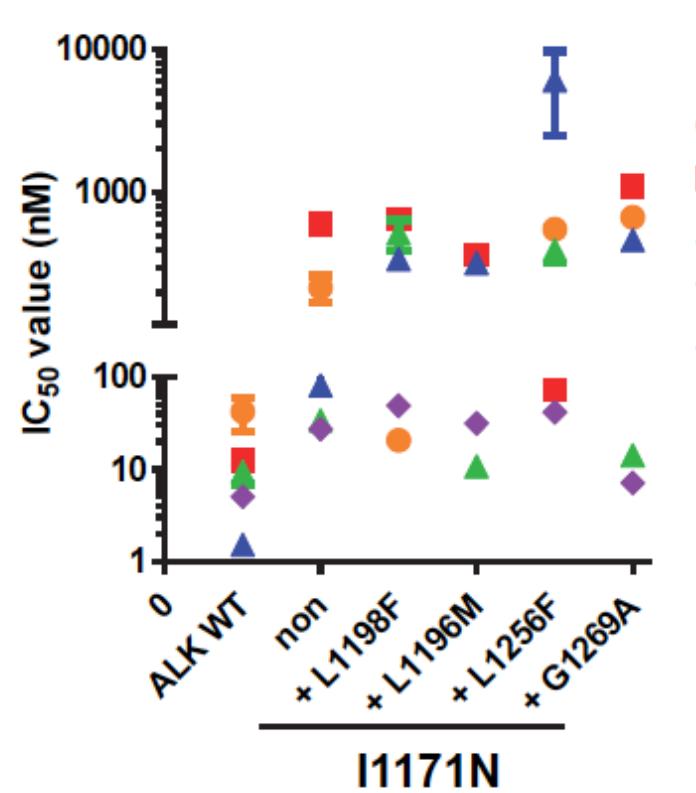


Challenge of Compound On-Target Mutations with Sequential Targeted Therapies

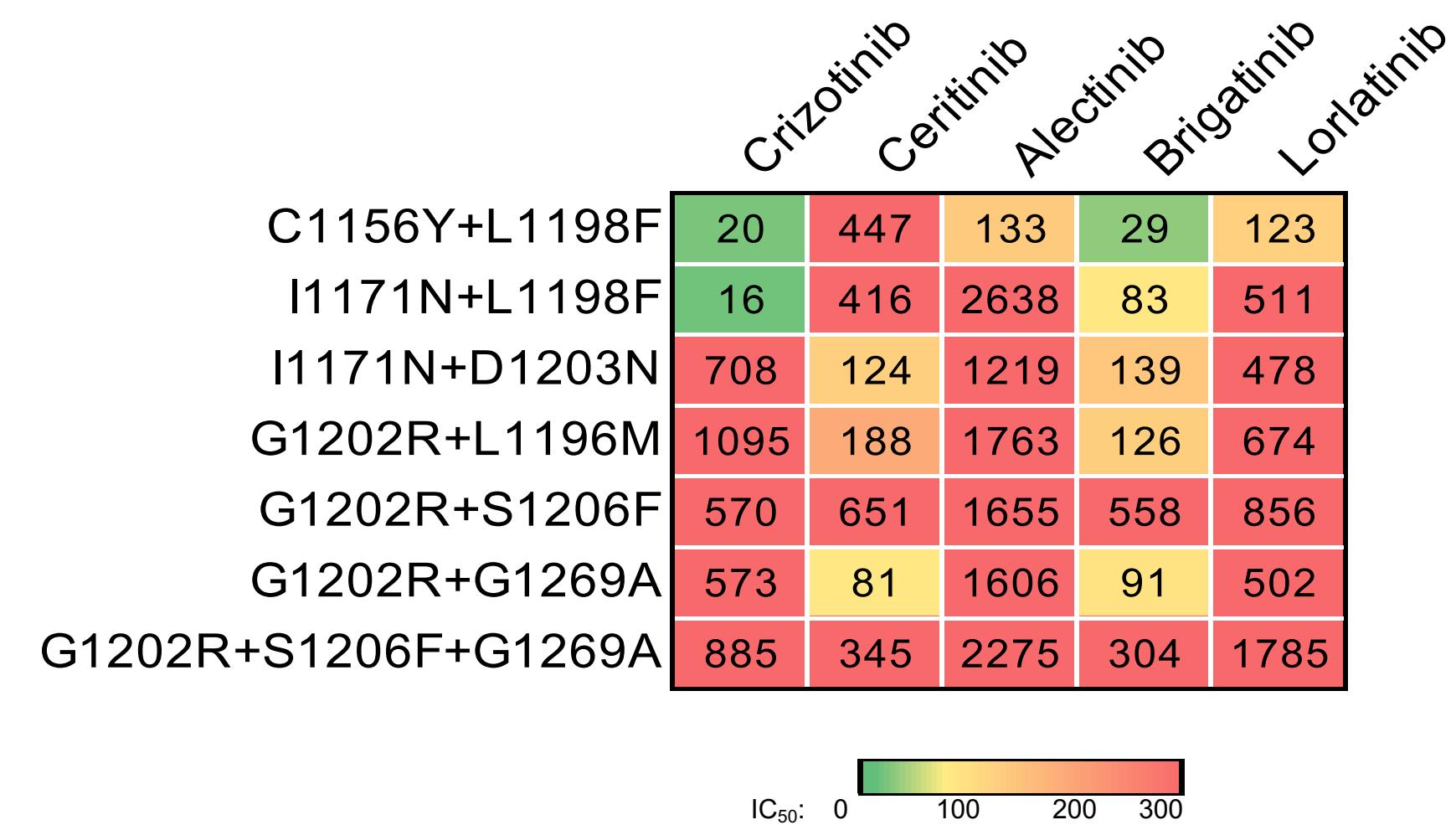


Sensitivity Profile of Lorlatinib-Resistant Compound ALK Mutations

A small subset of compound ALK mutations are sensitive to available ALK TKIs

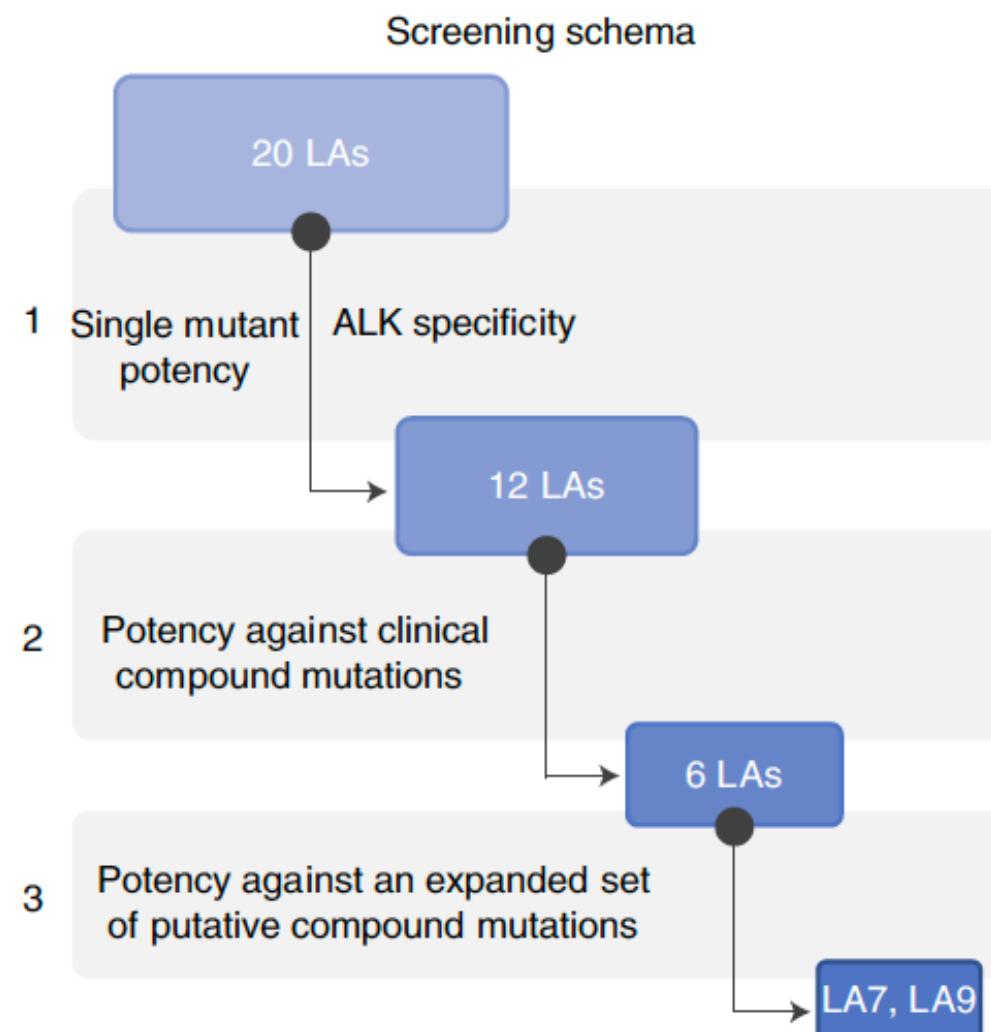


Many compound ALK mutations are refractory to all available ALK TKIs

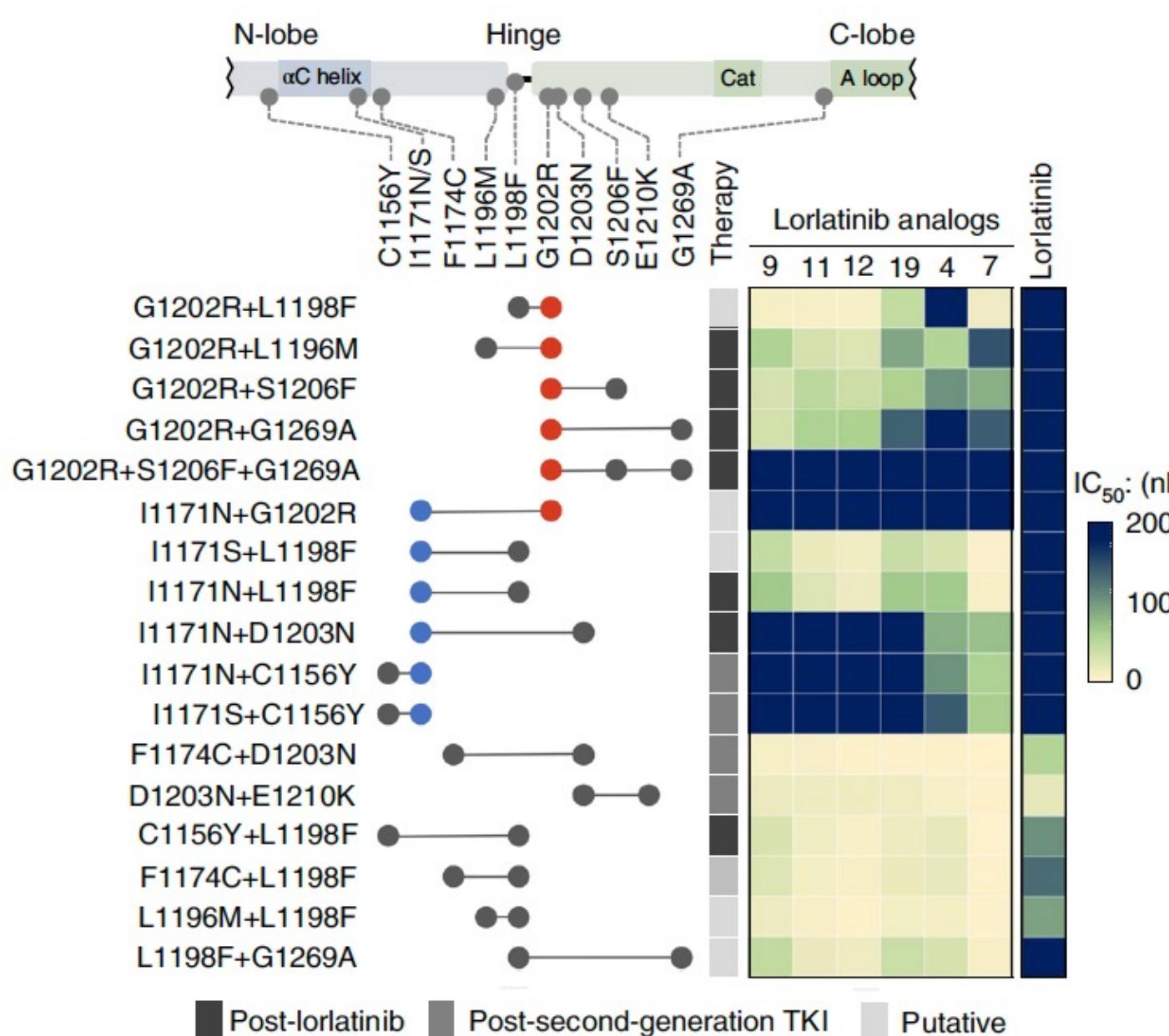


Distinct Therapeutic Strategies May Be Required to Overcome G1202R- vs I1171N/S-Based Compound ALK Mutations

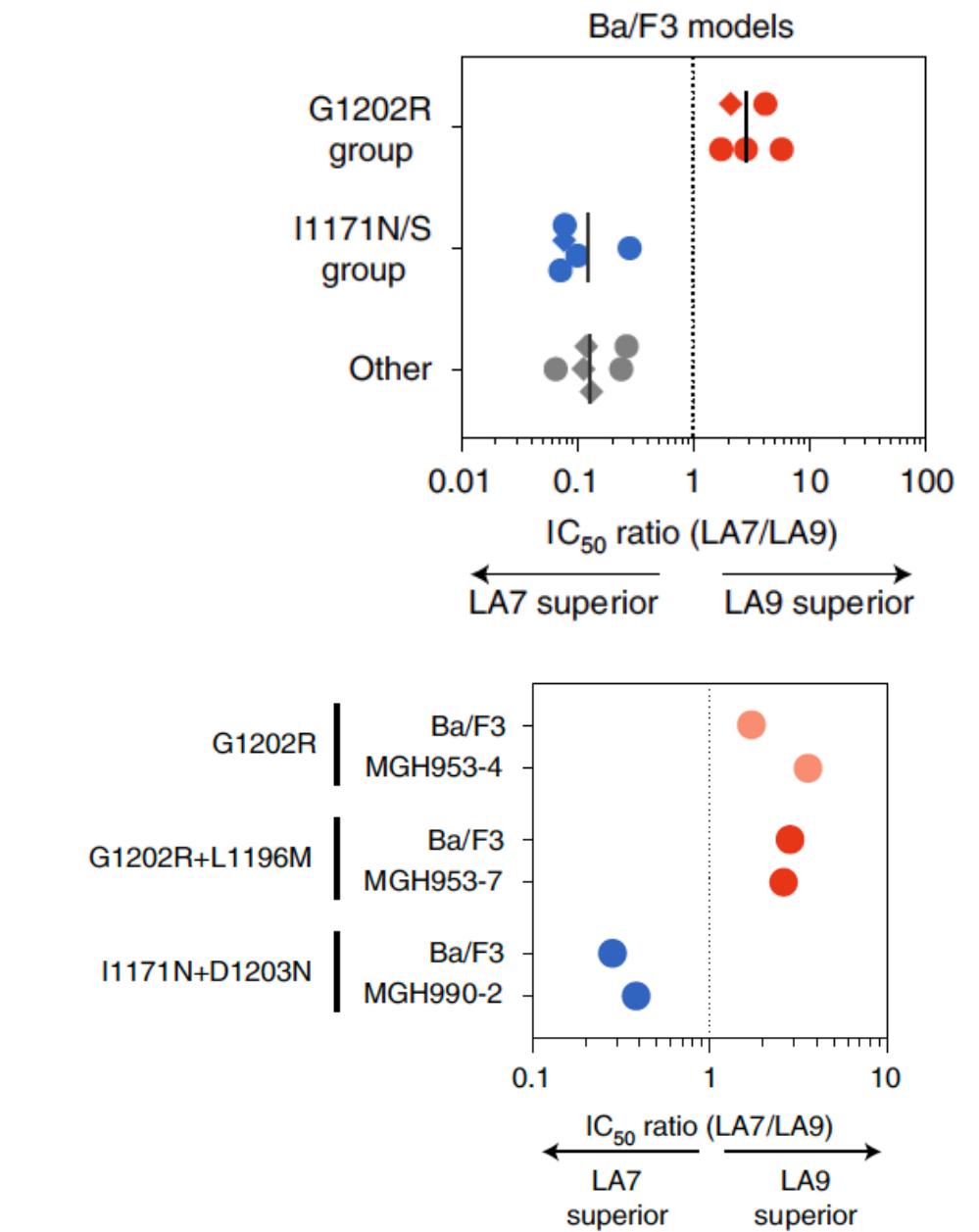
Functional Screening of Lorlatinib Analogs (LAs)



Distinct Patterns of LA Efficacy Against Groups of Compound ALK Mutations



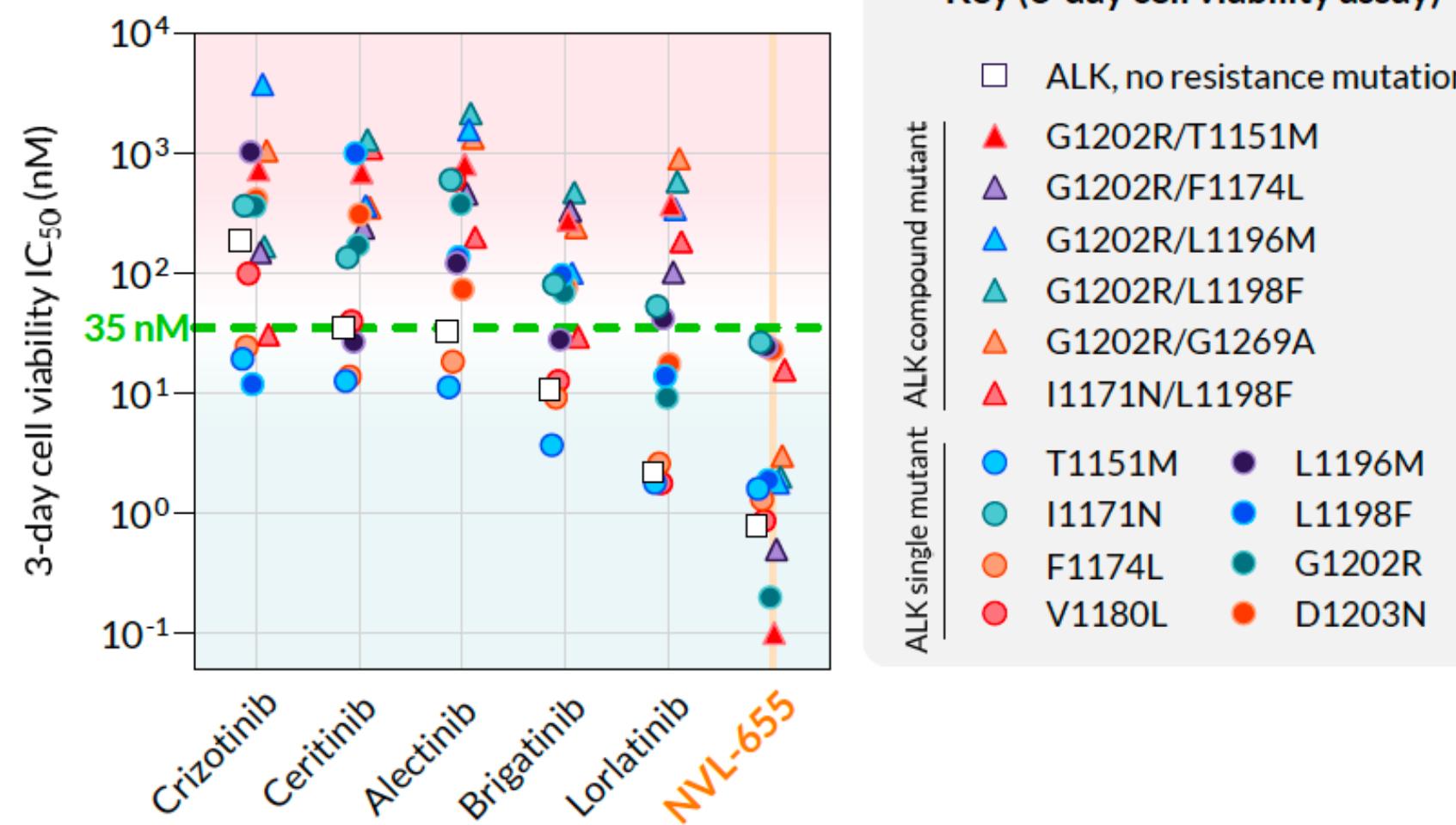
LA7 and LA9 Differentially Target I1171N vs G1202R Compound ALK Mutations



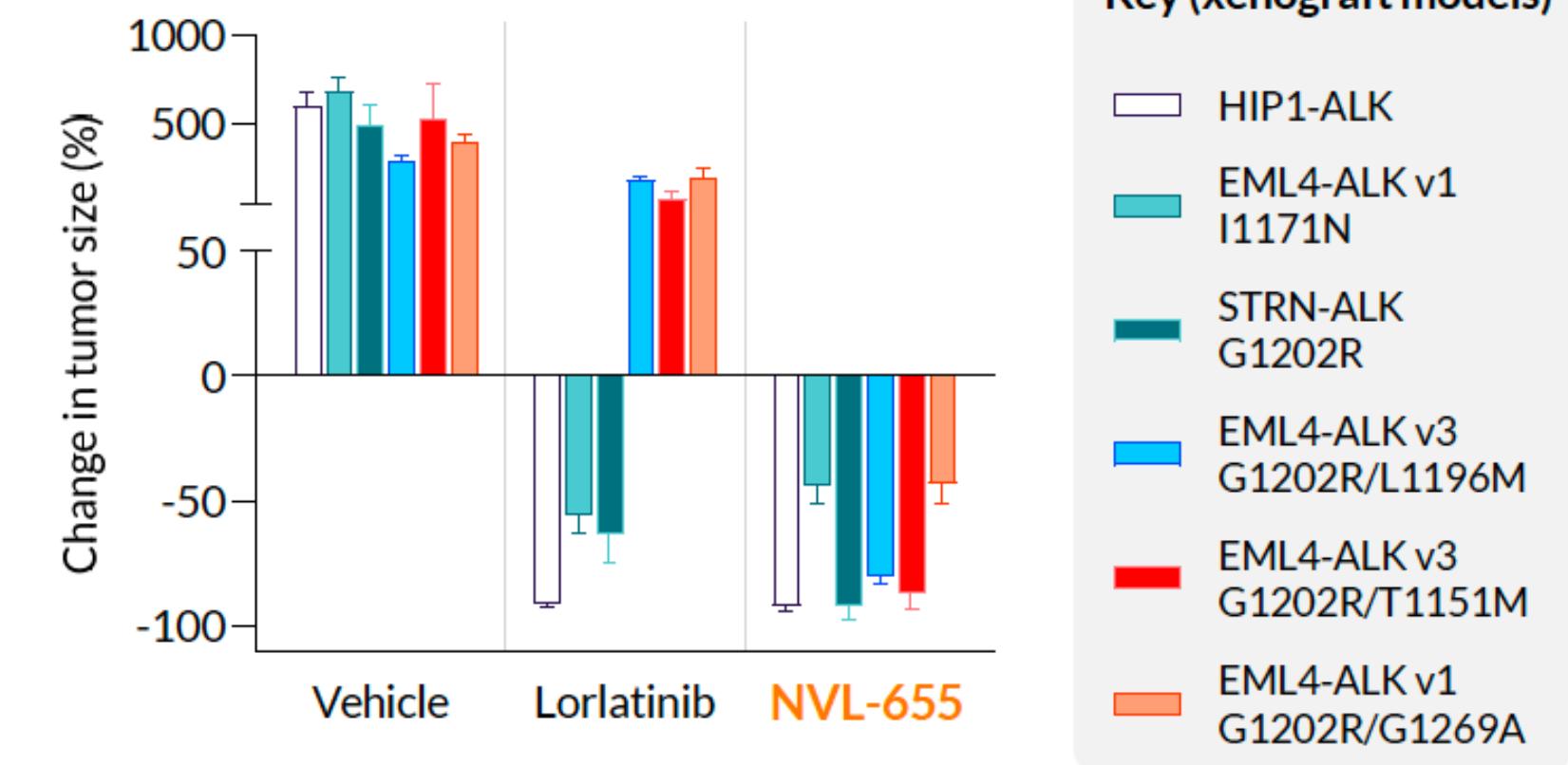
4G ALK TKI: NVL-655

Coverage of Single and Compound *ALK* Mutations

Potent activity ($IC_{50} = 0.1 - 30$ nM) against ALK-driven cell lines, including ALK single and compound mutants



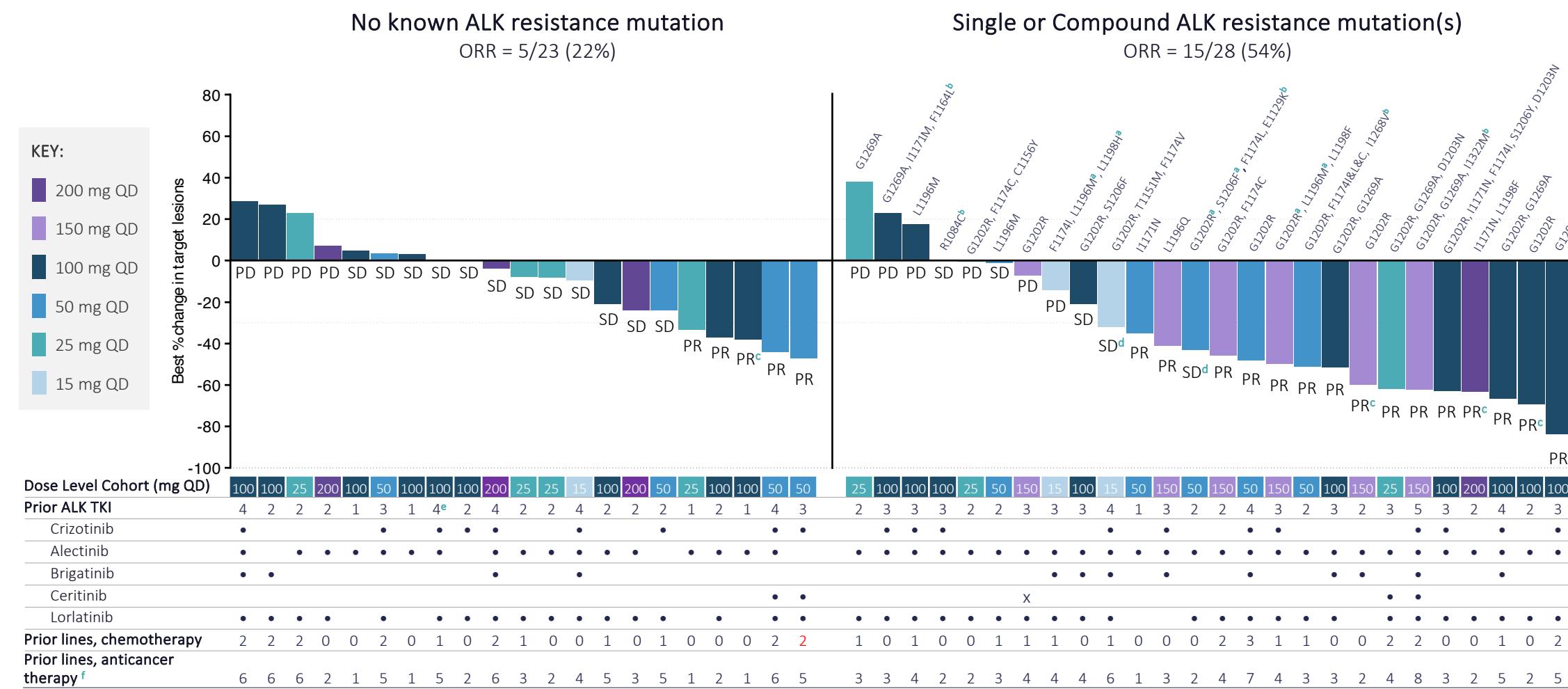
Tumor regression at well-tolerated doses in ALK models, including ALK single and compound mutants



NVL-655: 0.5 - 7.5 mg/kg PO, BID
Lorlatinib: 5 mg/kg, PO, BID or 10 mg/kg, PO, QD
In some cases, dosing was not performed on weekends

4G ALK TKI NVL-655: Preliminary Efficacy and Safety From the Global Phase I/II Study ALKOVE-1

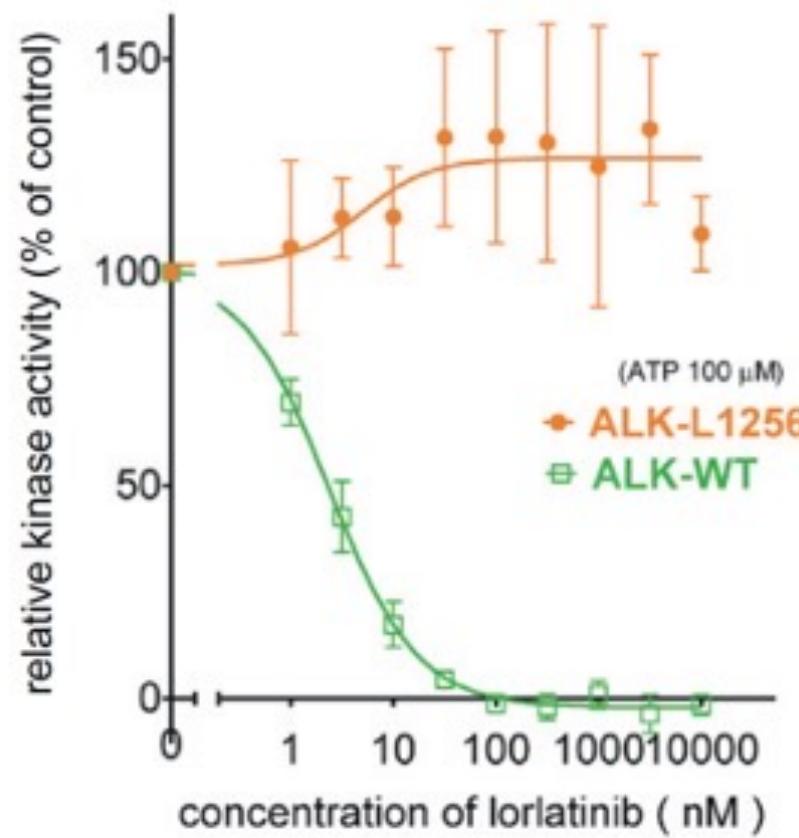
- Coverage of **single and compound ALK mutations** demonstrated clinically
 - Activity in heavily pre-treated patients including those with and without compound ALK resistance mutations [**ORR 56% (9/16) with compound mutations**], those who have received prior **Iorlatinib [ORR 40% (10/25)]**, and those with history of **brain metastases [ORR 52% (15/29)]**



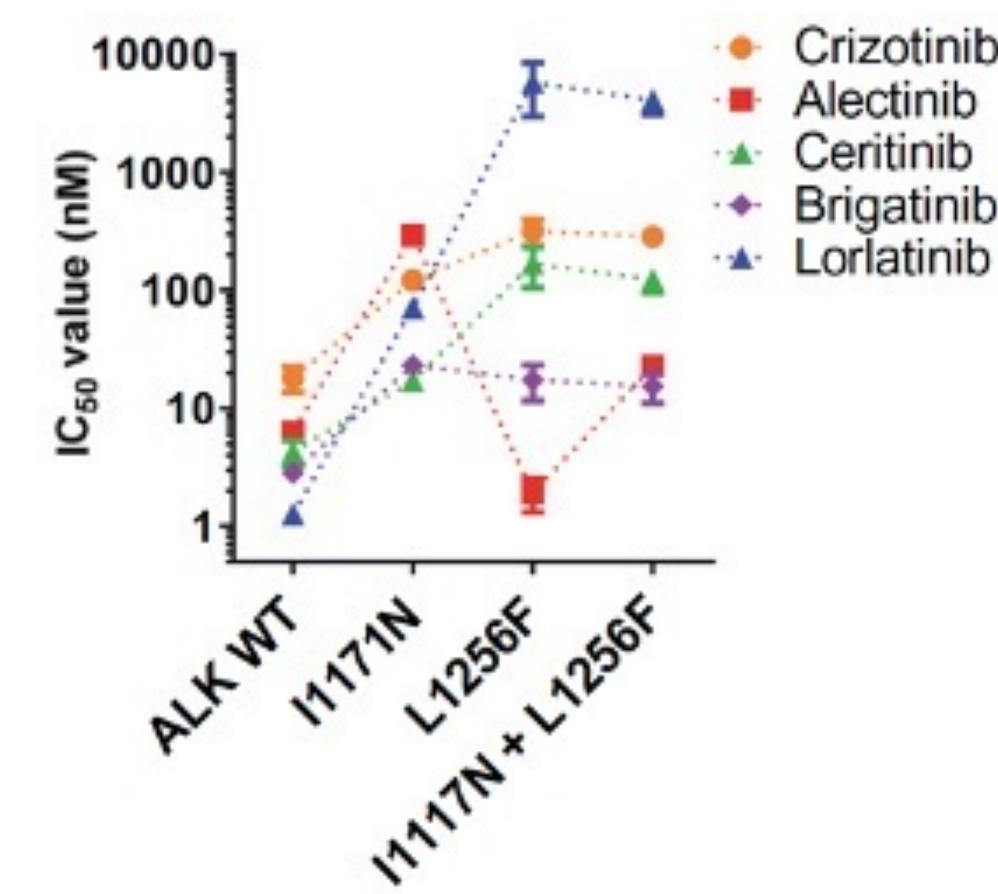
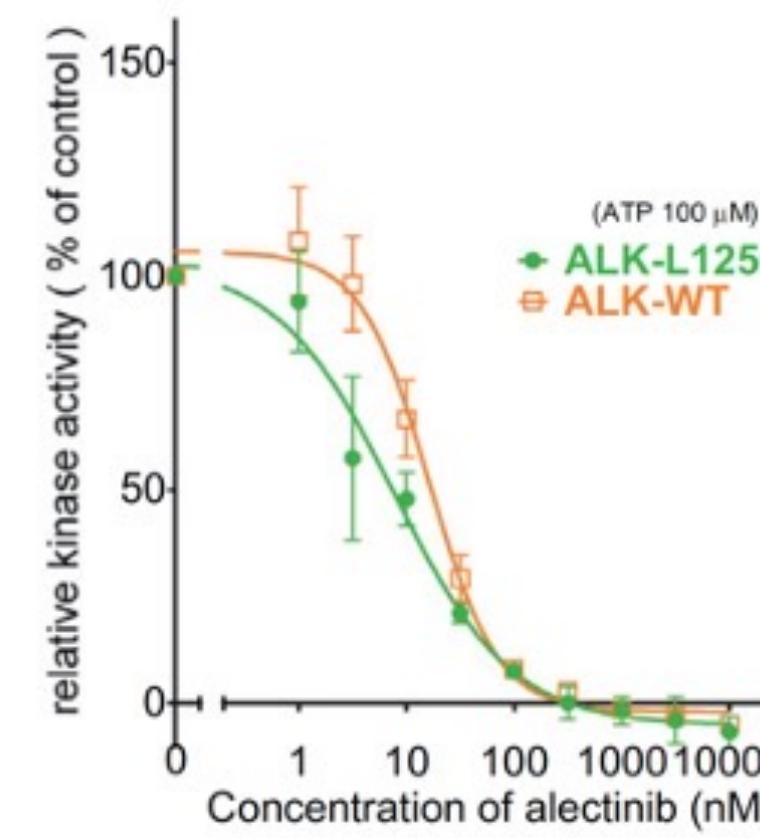
Landscape of Mechanisms of Resistance to 1L Lorlatinib Remains to Be Determined

ALK L1256F Single Mutation Confers Resistance to Lorlatinib But Is Sensitive to Alectinib

In vitro kinase assay



IC₅₀'s in Ba/F3 expressing WT or mutant ALK



Resistance Mechanisms to 1L Lorlatinib: Preliminary Insights from CROWN

Table 3: Summary of potential resistance mechanisms against lorlatinib or crizotinib

Resistance mutation at EOT	Lorlatinib n=26	Crizotinib n=80
New single <i>ALK</i> mutation, n (%)	0	6 (8)
<i>ALK</i> compound mutation, n (%)	0	2 (2)
Bypass mechanism, n (%) ^a	9 (35)	10 (12)
MAPK pathway aberration	3 (12)	1 (1)
PI3K/mTOR/PTEN pathway aberration	2 (8)	0
RTK pathway aberration	4 (15)	5 (6)
Cell cycle pathway aberration	2 (8)	5 (6)
Other mutation, n (%)	9 (35)	15 (19)

^aEach sample could harbor >1 bypass mechanism.

Resistance: Bypass Pathways in TKI-Refractory ALK+ NSCLC

Bypass mechanism	Prior ALK TKI ^a	Prevalence	Refs
<i>MET</i> amplifications	Second-generation TKIs	12% in first or later lines	¹²¹
	Lorlatinib	22% in later lines	¹²¹
<i>MET</i> rearrangements	Alectinib or lorlatinib	3% in later lines	¹²¹
<i>MET</i> exon 14 mutations	Alectinib	Unknown, data limited to case reports	¹⁴⁶
<i>RET</i> rearrangements	Brigatinib	Unknown, data limited to case reports	¹²⁵
EGFR activation	Crizotinib	44% in first line	¹⁵¹
EGFR mutations	Crizotinib	9–14% in first line	^{152,153}
HER2 amplifications	Crizotinib, alectinib	Unknown, data limited to case reports	^{148,149}
<i>KIT</i> amplifications/activation	Crizotinib	15% in first line	¹⁵¹
IGF1R activation	Crizotinib	80% in first line	¹⁵⁴
SHP2 signalling	Ceritinib	Preclinical data only	¹⁵⁷
NF2 mutations	Lorlatinib	20% in later lines	¹⁰⁷
YES1 amplifications	Crizotinib, ceritinib	11.8% in later lines	¹⁴¹
KRAS mutations	Crizotinib	18% in first line	¹⁵³
<i>BRAF</i> ^{V600E} mutations	Alectinib	Unknown, data limited to case reports	¹⁴⁷
MAP2K1 mutations	Ceritinib	Unknown, data limited to case reports	¹⁵⁰
DUSP6 loss	Crizotinib	83%	¹⁶⁶
PIK3CA mutations	Lorlatinib or ceritinib	Unknown, data limited to case reports	^{100,150}
AXL overexpression	Earlier-generation TKIs	Preclinical data only	^{155,156}

This table includes selected studies and is not intended to reflect the entirety of clinical and preclinical work on bypass mechanisms in ALK-rearranged NSCLC. NSCLC, non-small-cell lung cancer; TKI, tyrosine kinase inhibitor. ^aThe ALK TKI received immediately before biopsy sampling is reported here.

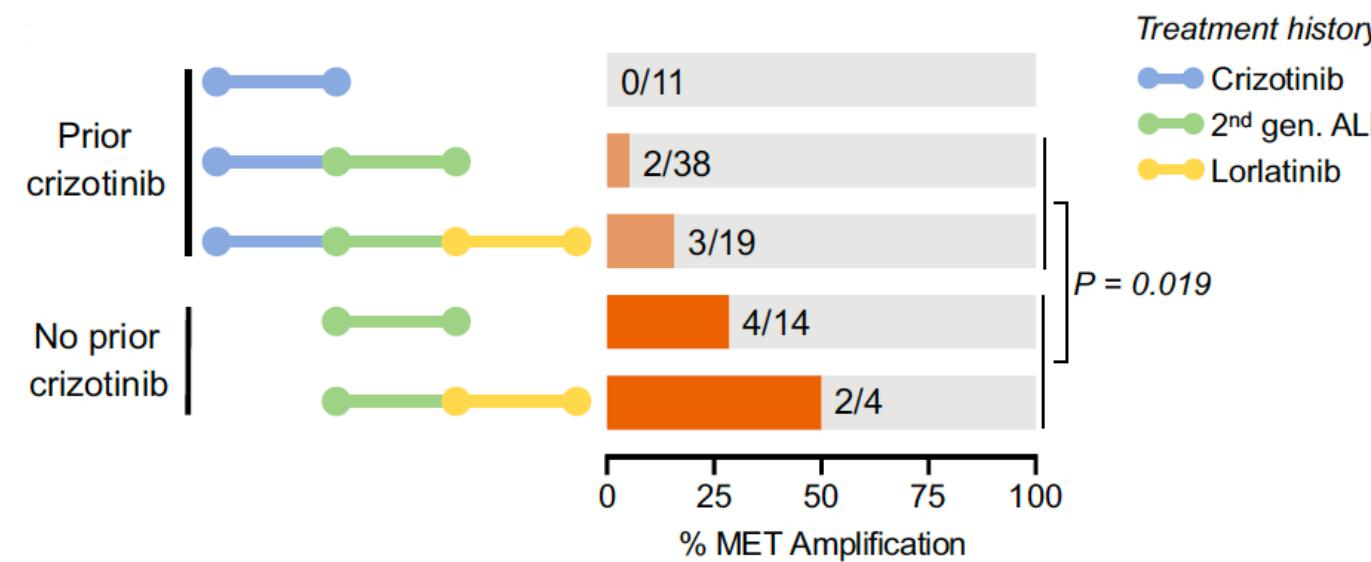
ALK: Addressing Resistance with Combinations

Example of ALKi + METi Targeting *MET* Amplification

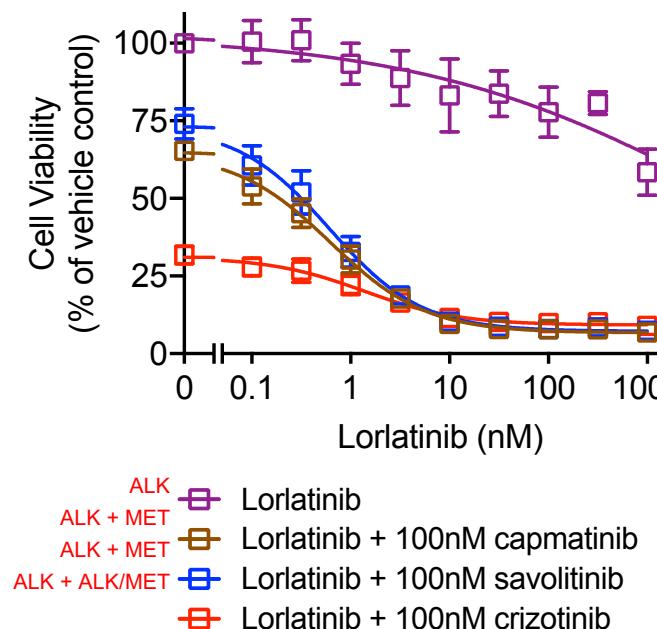
***MET* amplification identified in¹:**

12% of patients progressing on 2G ALK TKI

22% of patients progressing on 3G ALK TKI (lorlatinib)



Patient-derived ALK+ cell line with *MET* amplification is sensitive to ALK/MET co-inhibition



Case series², n=12

ALK/MET co-targeting strategies, ORR 42% (5/12)

Patient	ALK/MET Therapy	Best Response Time on Treatment	Pre-ALK/MET Targeted Therapy Biopsy Findings
1	Crizotinib 250 mg BID	PD <1 month	MET/CEP7 ≥ 25, TP53 R273C, TP53 Q192*, SETD2 V2280fs*89
2	Crizotinib 250 mg BID	PR (-38%) 3.5 months	MET/CEP7 ≥ 25, TSC2 D1612N, TP53 A161T
3	Lorlatinib 75 mg QD#+ Crizotinib 250 mg BID	PR (-30%) 3 months	MET/CEP7 5.7, ATM S378G, MDM4 splice region variant, ARID1A D1193N, PIK3CA E453K
4	Lorlatinib 50 mg QD+ Crizotinib 250 mg BID	PD <1 month	MET/CEP7 2.4, NF1 G2379R, TP53 V274G, MYC gain
5	Lorlatinib 50 mg QD# + Crizotinib 250 mg BID	PR (-60%) 11 months**	MET/CEP7 ≥ 25, APC Y1642_V1644del
6	Lorlatinib 50 mg QD+ Crizotinib 250 mg BID	PD <1 month	MET/CEP7 5.5, TP53 R273C
7	Lorlatinib 50 mg QD + Crizotinib 250 mg BID	PR (-51%) 6 months	MET amplification (2.5), TP53 E346*, MYC amplification (3.8) by plasma
8	Lorlatinib 50 mg QD + Crizotinib 250 mg BID	PD <1 month	MET amplification (5.4), TP53 C135F (4.6), BRCA2 D3188fs (2.4), APC M314T (0.6), STK11 A43V (0.3)
9	Alectinib 600 mg BID#+ Capmatinib 400 mg BID	SD (Non-CR/Non-PD) 9 months	MET/CEP7 ≥ 25, SMARCA4 P47T, EGFR P596L
10	Alectinib 600 mg BID + Capmatinib 400 mg BID#	SD (-8%) 10 months	MET/CEP7 ≥ 25, TP53 E180*, APC E1156K
11	Alectinib 600 mg BID + Capmatinib 300 mg BID	PR (-70%) 7 months	MET/CEP7 7.7, TP53 N131Y, SMARCA4 D1183N
12	Alectinib 600 mg BID+ Crizotinib 200 mg BID	SD (-26%) 6 months	MET amplification by NGS, TP53 E285K

¹Dagogo-Jack I et al., Clin Cancer Res 2020;26(11):2535-45

²Dagogo-Jack I et al., JTO Clin Res Rep 2023; in press, DOI: 10.1016/j.jtocrr.2023.100534

Overcoming ALK-Independent Resistance:

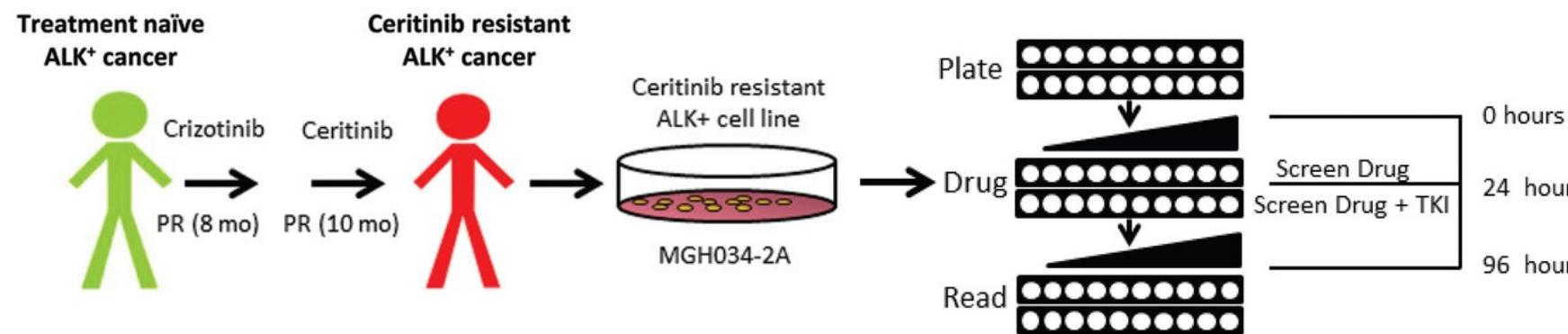
Combination Strategies Outside of ALKi + METi Targeting MET-Driven Resistance

Combination	Bypass Pathway Targeted	Sponsor	ClinicalTrials.gov
Alectinib + Cobimetinib	MEK	MGH	NCT03202940
Brigatinib + Binimatinib	MEK	UCSF	NCT04005144
Ceritinib + Trametinib	MEK	UCSF	NCT03087448
Lorlatinib + Binimatinib	MEK	MGH	NCT04292119
Lorlatinib + PF-07284892	SHP2	Pfizer	NCT04800822
Lorlatinib + TNO155	SHP2	MGH	NCT04292119
Ceritinib + Everolimus	mTOR	MD Anderson	NCT02321501
Brigatinib + Bevacizumab	VEGF	City of Hope	NCT04227028

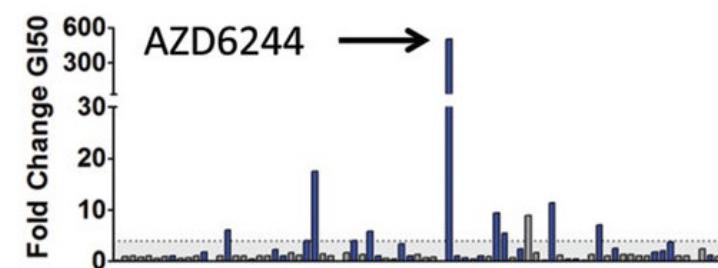
ALK: Addressing Resistance with Combinations

Rationale for ALK-MEK Co-Blockade

MGH034-2A, ceritinib-resistant patient-derived ALK+ cell line*

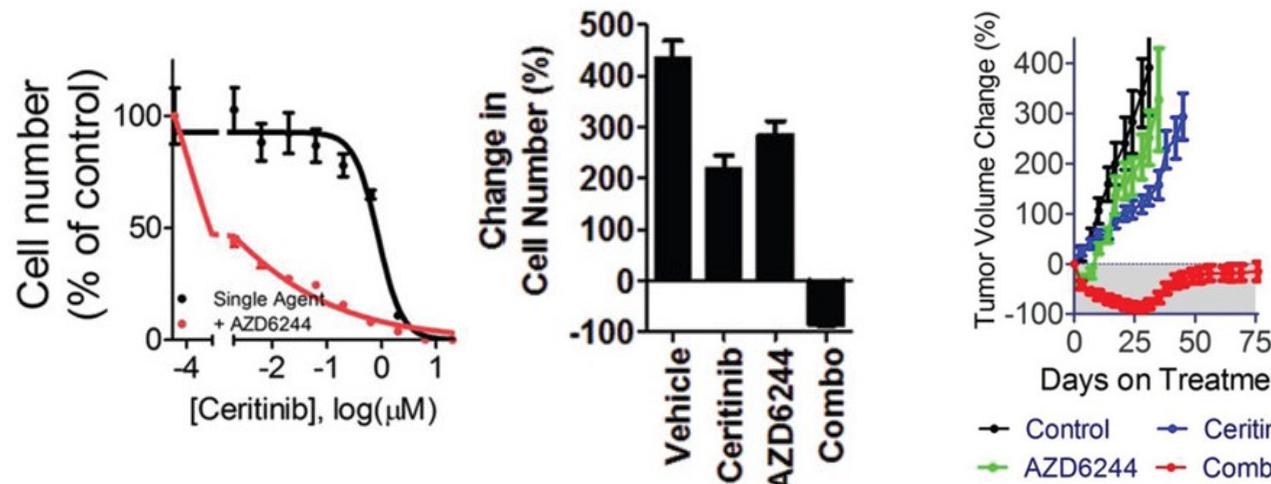


Pharmacologic screen identifies MEKi as a hit in combination with ceritinib



Subsequent tissue NGS reveals an activating MAP2K1 mutation at multiple TKI-resistant sites

ALKi+MEKi overcomes TKI resistance



Site	MAP2K1 K57N	PIK3CA H1047R
Lung - RLL1	0.22	0.00
Lung - RLL2	0.20	0.00
Lung - RLL3	0.27	0.00
Lung - RLL4	0.22	0.00
Lung - Left apex	0.22	0.00
Lung - LUL1	0.00	0.00
Lung - LUL2	0.29	0.00
Lung - LUL3	0.24	0.00
Liver - Medial	0.00	0.28
Liver - Lateral	0.00	0.00
Liver - Posterior	0.00	0.00
Heart (Normal)	0.00	0.00

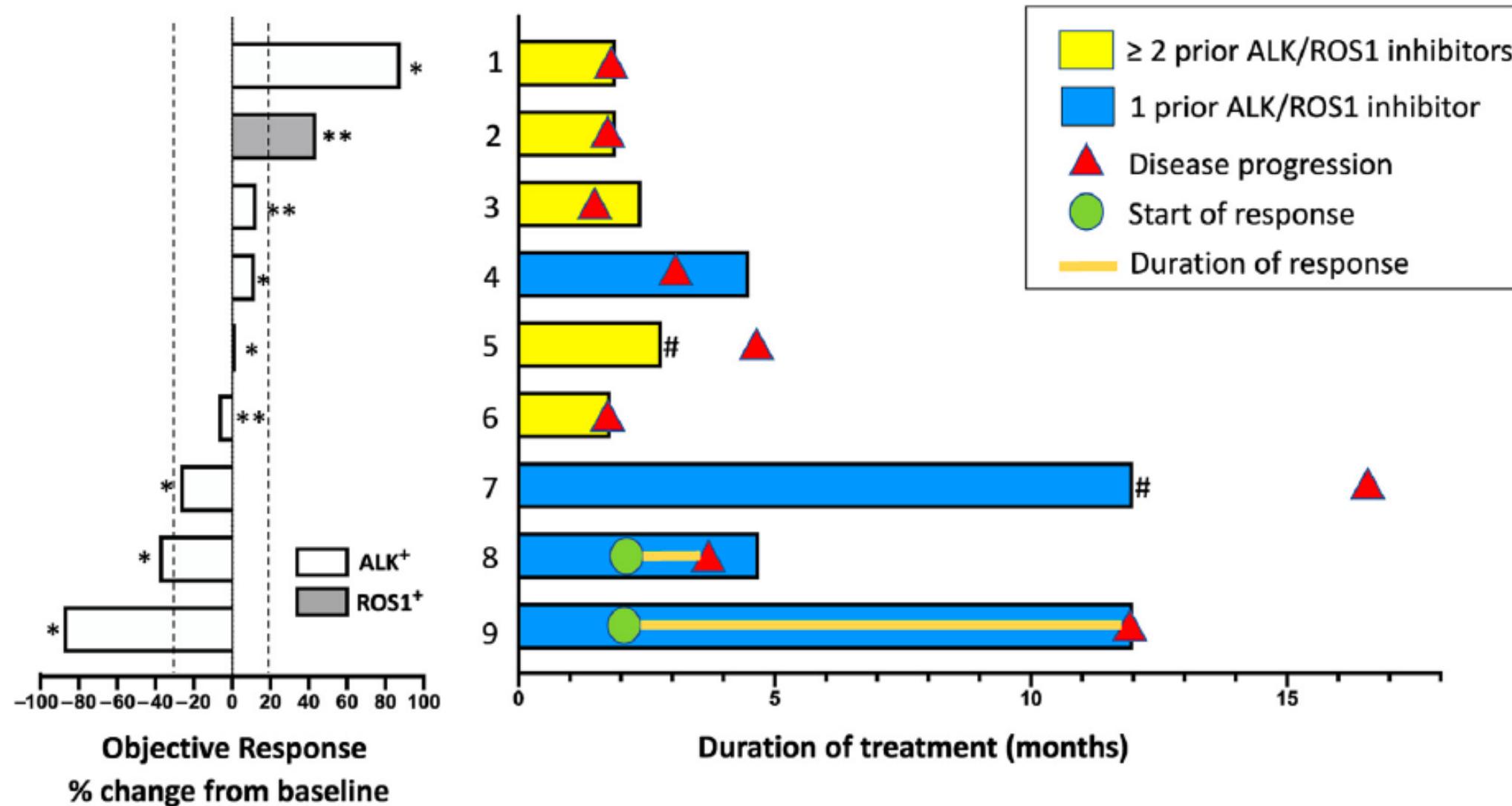
*Crystal AS et al., Science
2014;346(6216):1480-6

RAS-MAPK dependence underlies a rational polytherapy strategy in EML4-ALK-positive lung cancer

Gorjan Hrustanovic^{1,2}, Victor Olivas^{1,2}, Evangelos Pazarentzos^{1,2}, Asmin Tulpule^{1,2}, Saurabh Asthana^{1,2}, Collin M Blakely^{1,2}, Ross A Okimoto^{1,2}, Luping Lin^{1,2}, Dana S Neel^{1,2}, Amit Sabnis^{1,2}, Jennifer Flanagan^{1,2}, Elton Chan^{1,2}, Marileila Varella-Garcia^{3,4}, Dara L Aisner⁴, Aria Vaishnavi³, Sai-Hong I Ou^{5,6}, Eric A Collisson^{1,2}, Eiki Ichihara⁷, Philip C Mack^{8,9}, Christine M Lovly⁷, Niki Karachaliou¹⁰, Rafael Rosell¹⁰, Jonathan W Riess^{8,9}, Robert C Doebele³ & Trevor G Bivona^{1,2}

One strategy for combating cancer-drug resistance is to deploy rational polytherapy up front that suppresses the survival and emergence of resistant tumor cells. Here we demonstrate in models of lung adenocarcinoma harboring the oncogenic fusion of ALK and EML4 that the GTPase RAS-mitogen-activated protein kinase (MAPK) pathway, but not other known ALK effectors, is required for tumor-cell survival. EML4-ALK activated RAS-MAPK signaling by engaging all three major RAS isoforms through the HELP domain of EML4. Reactivation of the MAPK pathway via either a gain in the number of copies of the gene encoding wild-type K-RAS (*KRAS*^{WT}) or decreased expression of the MAPK phosphatase *DUSP6* promoted resistance to ALK inhibitors *in vitro*, and each was associated with resistance to ALK inhibitors in individuals with EML4-ALK-positive lung adenocarcinoma. Upfront inhibition of both ALK and the kinase MEK enhanced both the magnitude and duration of the initial response in preclinical models of EML4-ALK lung adenocarcinoma. Our findings identify RAS-MAPK dependence as a hallmark of EML4-ALK lung adenocarcinoma and provide a rationale for the upfront inhibition of both ALK and MEK to forestall resistance and improve patient outcomes.

Phase I Study of Ceritinib + Trametinib

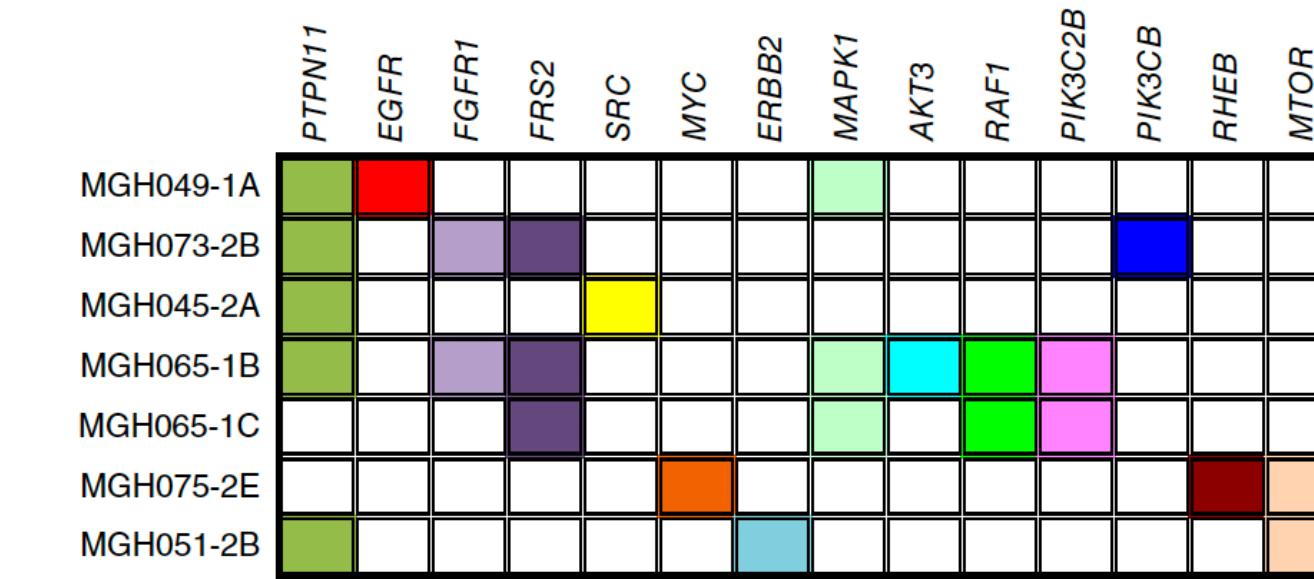
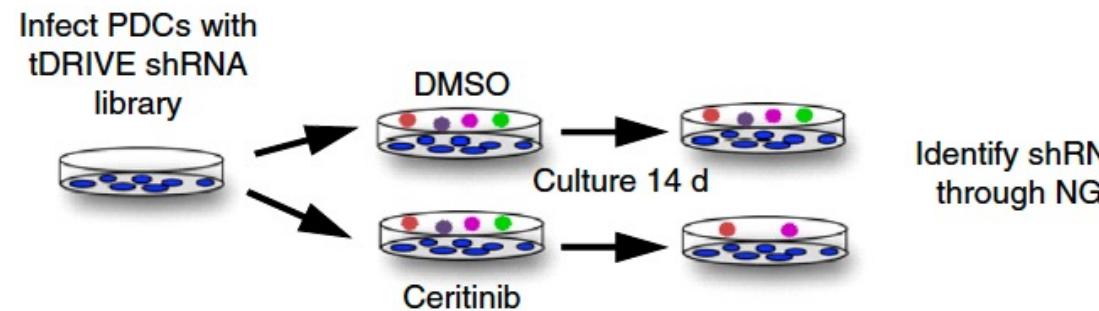


- N=6 at DL1 (ceritinib 300 mg QD with food + trametinib 1.5 mg QD without food)
- N=3 at DL2 (ceritinib 450 mg QD with food + trametinib 1.5 mg QD without food)
- **Study stopped early due to low accrual**
- **ORR 22%**
 - 2/8 patients with ALK+ NSCLC had confirmed PR
 - Both had received 1 prior ALK TKI (alectinib or crizotinib)
 - 4 patients with PD as best response had received 3-5 prior TKIs
- **Median PFS 3.0 months**

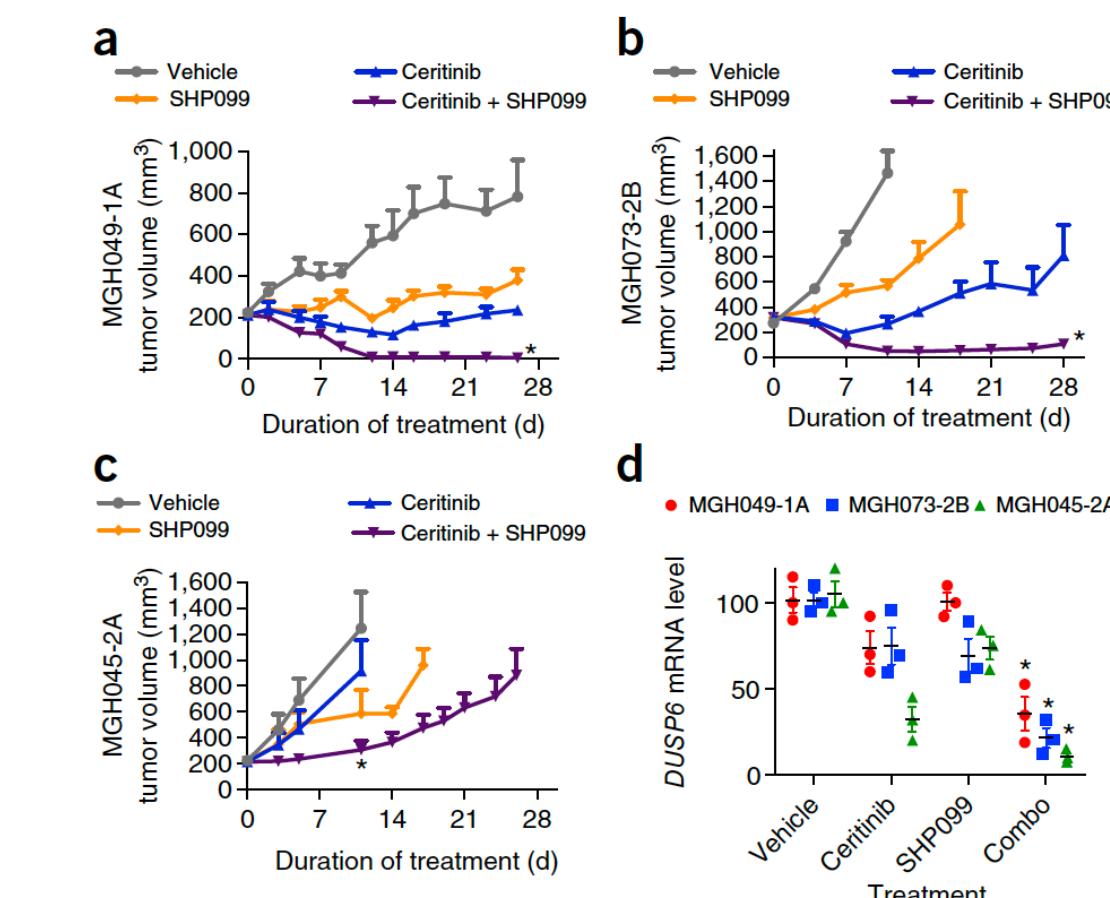
ALK: Addressing Resistance with Combinations

Rationale for ALK-SHP2 Co-Blockade

shRNA screen identifies top candidate genes driving resistance to ceritinib in ALK+ PDCs



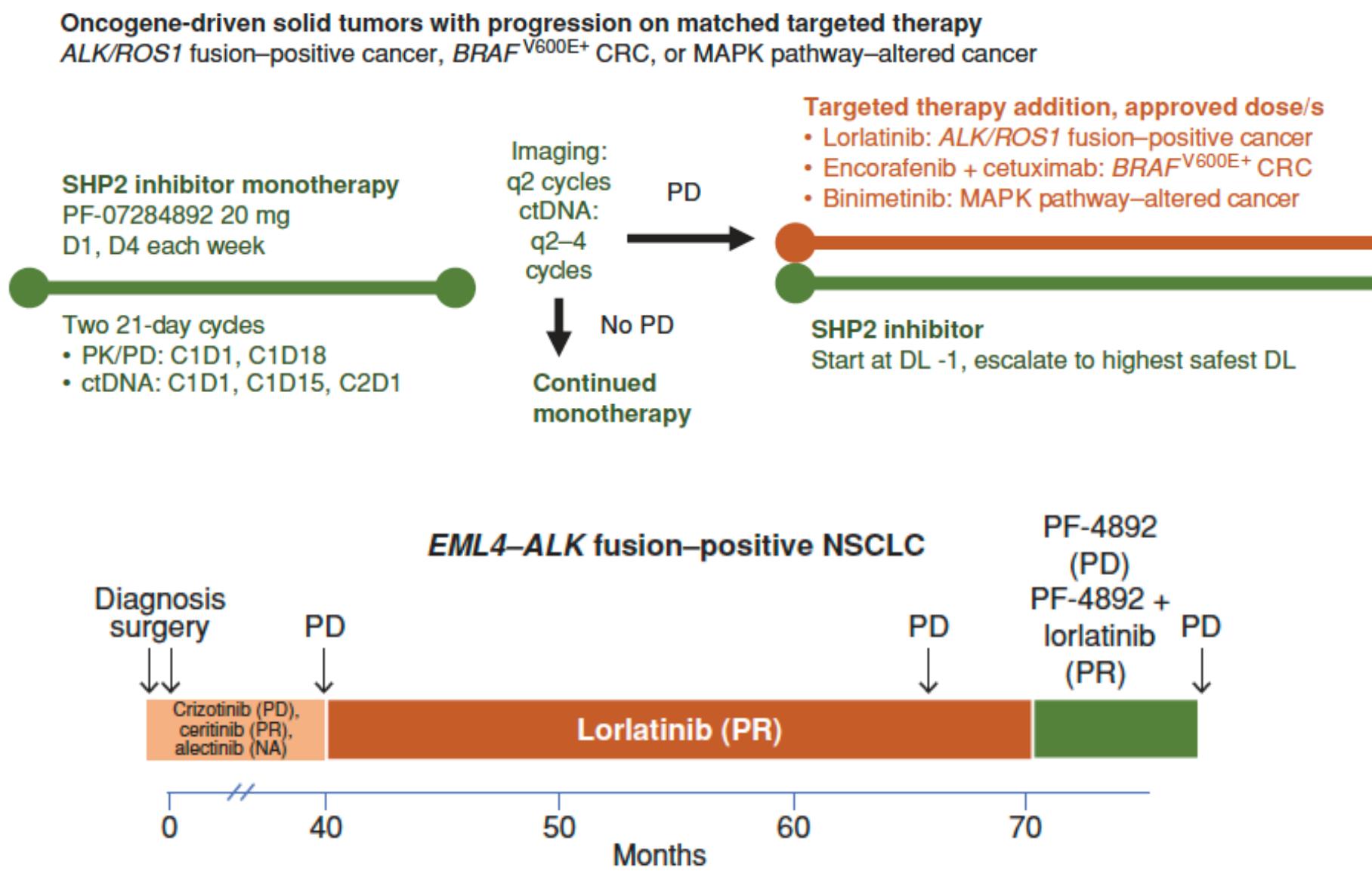
Ceritinib + SHP2 inhibitor overcomes resistance to ALK TKI



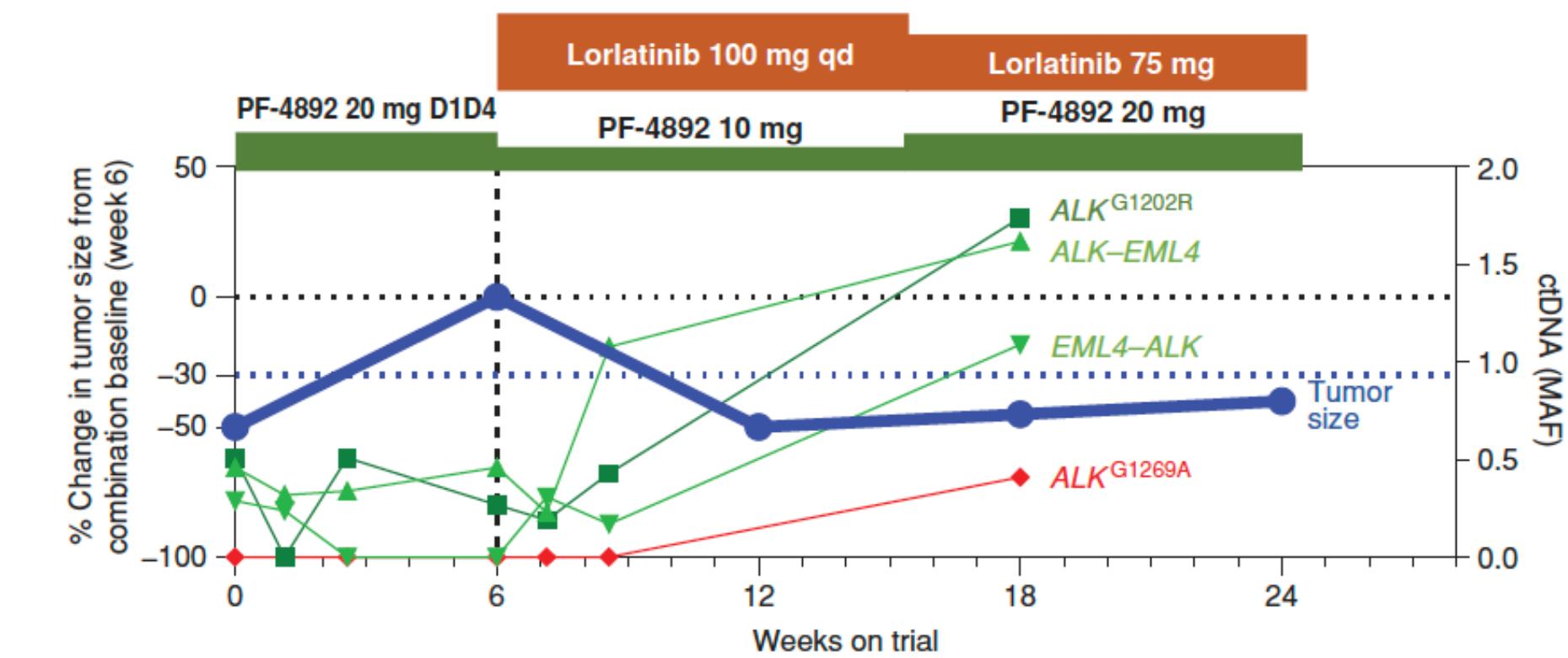
ALK: Addressing Resistance with Combinations

ALK-SHP2 Co-Blockade

FIH Phase 1 Study of SHP2i PF-07284892 as monotherapy and in combination with targeted therapies in treatment-resistant oncogene-driven solid tumors



PF-07284892 + lorlatinib in ALK+ NSCLC, prior ALK TKIs including lorlatinib



Overcoming ALK-Independent Resistance: Combination Strategies Outside of ALKi + METi Targeting MET-Driven Resistance

Combination	Bypass Pathway Targeted	Sponsor	ClinicalTrials.gov
Alectinib + Cobimetinib	MEK	MGH	NCT03202940
Brigatinib + Binimatinib	MEK	UCSF	NCT04005144
Ceritinib + Trametinib	MEK	UCSF	NCT03087448
Lorlatinib + Binimatinib	MEK	MGH	NCT04292119
Lorlatinib + PF-07284892	SHP2	Pfizer	NCT04800822
Lorlatinib + TNO155	SHP2	MGH	NCT04292119
Ceritinib + Everolimus	mTOR	MD Anderson	NCT02321501
Brigatinib + Bevacizumab	VEGF	City of Hope	NCT04227028

None with clinical biomarker

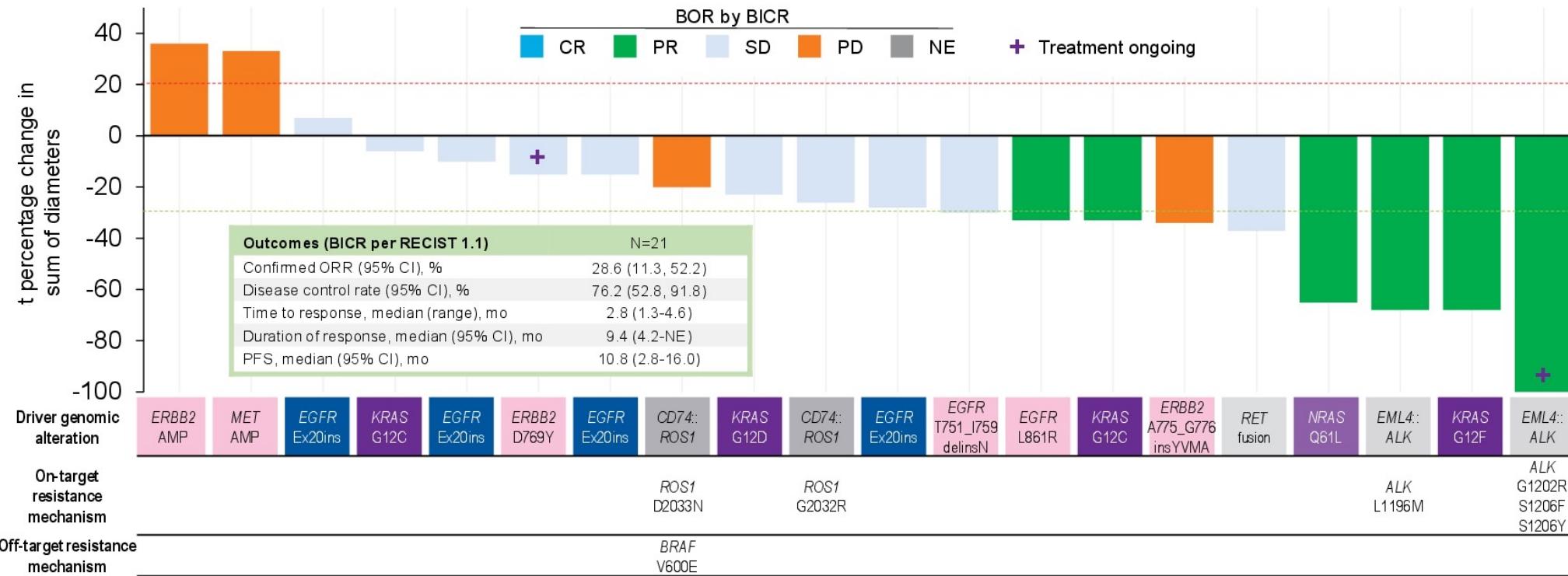
Limited Evidence Regarding Role for Chemo + Anti-PD(L)1 +/- Anti-VEGF – Borrowing Data Mostly from EGFRmut NSCLC

Trial	Treatment	Genotype	N	ORR	PFS	OS
KEYNOTE-789 ¹	Pembrolizumab + platinum/pem vs platinum/pem	EGFR	245 vs 247	29.0% vs 27.1%	HR 0.80 (0.65-0.97) 5.6 mo vs 5.5 mo	HR 0.84 (0.69-1.02) 15.9 mo vs 14.7 mo
CheckMate-722 ²	Nivolumab + platinum/pem vs platinum/pem	EGFR	144 vs 150	31% vs 27%	HR 0.75 (0.56-1.00) 5.6 mo vs 5.4 mo	HR 0.82 (0.61-1.10) 19.4 mo vs 15.9 mo
ORIENT-31 ³	Sintilimab + IBI305 + cis/pem (arm A) vs sintilimab + cis/pem (arm B) vs cis/pem (arm C)	EGFR	158 vs 158 vs 160	48.1% vs 34.8% vs 29.4%	Arm A vs C: HR 0.51 (0.39-0.67) Arm B vs C: HR 0.72 (0.55-0.94) 7.2 mo vs 5.5 mo vs 4.3 mo	Arm A vs C: HR 0.98 (0.72-1.34) Arm B vs C: HR 0.97 (0.71-1.32) 21.1 mo vs 20.5 mo vs 19.2 mo
IMpower150 ^{4,5}	Atezolizumab + bev + carbo/pac vs atezolizumab + carbo/pac vs bev + carbo/pac	EGFR subgroup	34 vs 45 vs 44	70.6% vs 35.6% vs 41.9%	ABCP vs BCP HR 0.61 (0.36-1.03) ACP vs BCP HR 1.14 (0.73-1.78) 10.2 mo vs 6.9 mo vs 6.9 mo	ABCP vs BCP HR 0.91 (0.53-1.59) ACP vs BCP HR 1.16 (0.71-1.89) 26.1 mo vs 21.4 mo vs 20.3 mo
IMpower151 ⁶	Atezolizumab + bev + carbo + pem/pac vs bev + carbo + pem/pac	EGFR/ALK subgroup	81 vs 82	-----	HR 0.86 (0.61, 1.21) 8.5 mo vs 8.3 mo	-----
ATTLAS, KCSG-LU19-04 ⁷	Atezolizumab + bev + carbo/pac vs PT/pem	EGFR/ALK	154 vs 74	69.5% vs 41.9%	HR 0.62 (0.45-0.86) 8.48 mo vs 5.62 mo	HR 1.01 (0.69-1.46) 20.63 mo vs 20.27 mo

¹Yang J et al., ASCO 2023; ²Mok T et al., ESMO Asia 2022; ³Lu S et al., Lancet Respir Med 2023;11(7):624-36; ⁴Reck M et al., Lancet Respir Med 2019;7(5):387-401; ⁵Nogami N et al., J Thorac Oncol 2022;17(2):309-23; ⁶Zhou C et al., WCLC 2023; ⁷Ahn MJ et al., ESMO 2023

Exploring resistance mechanism-agnostic approaches ADCs in TKI-Resistant Fusion-Addicted NSCLC

Activity of patritumab deruxtecan in NSCLC with non-classical EGFRmut AGAs¹



Activity of datopotamab deruxtecan in NSCLC with AGAs² including EGFR and ALK

Response per BICR	All treated patients (N=137)	Patients with EGFR mutations (N=78)	Patients with ALK rearrangement (N=34)
ORR confirmed, n (%) [95% CI] ^a	49 (35.8) [27.8-44.4]	34 (43.6) [32.4-55.3]	8 (23.5) [10.7-41.2]
Median DOR (95% CI), months	7.0 (4.2-9.8)	7.0 (4.2-10.2)	7.0 (2.8-8.4)
DCR confirmed, n (%) [95% CI] ^a	108 (78.8) [71.0-85.3]	64 (82.1) [71.7-89.8]	25 (73.5) [55.6-87.1]
Median PFS, (95% CI), months ^b	5.4 (4.7-7.0)	5.8 (5.4-8.3)	4.3 (2.6-6.9)

- Patritumab deruxtecan (anti-HER3 ADC)¹ & datopotamab deruxtecan (anti-TROP2 ADC)² have shown signals of activity in patients with fusion-driven NSCLC (small n's)
- In early data, clinical activity of ADCs across AGA subsets appears **irrespective of the spectrum of known or unknown resistance mechanisms**¹⁻³

¹Steuer C et al., ASCO 2022; ²Paz Ares L et al., ESMO 2023

³HA et al., J Clin Oncol 2023; doi: 10.1200/JCO.23.01476

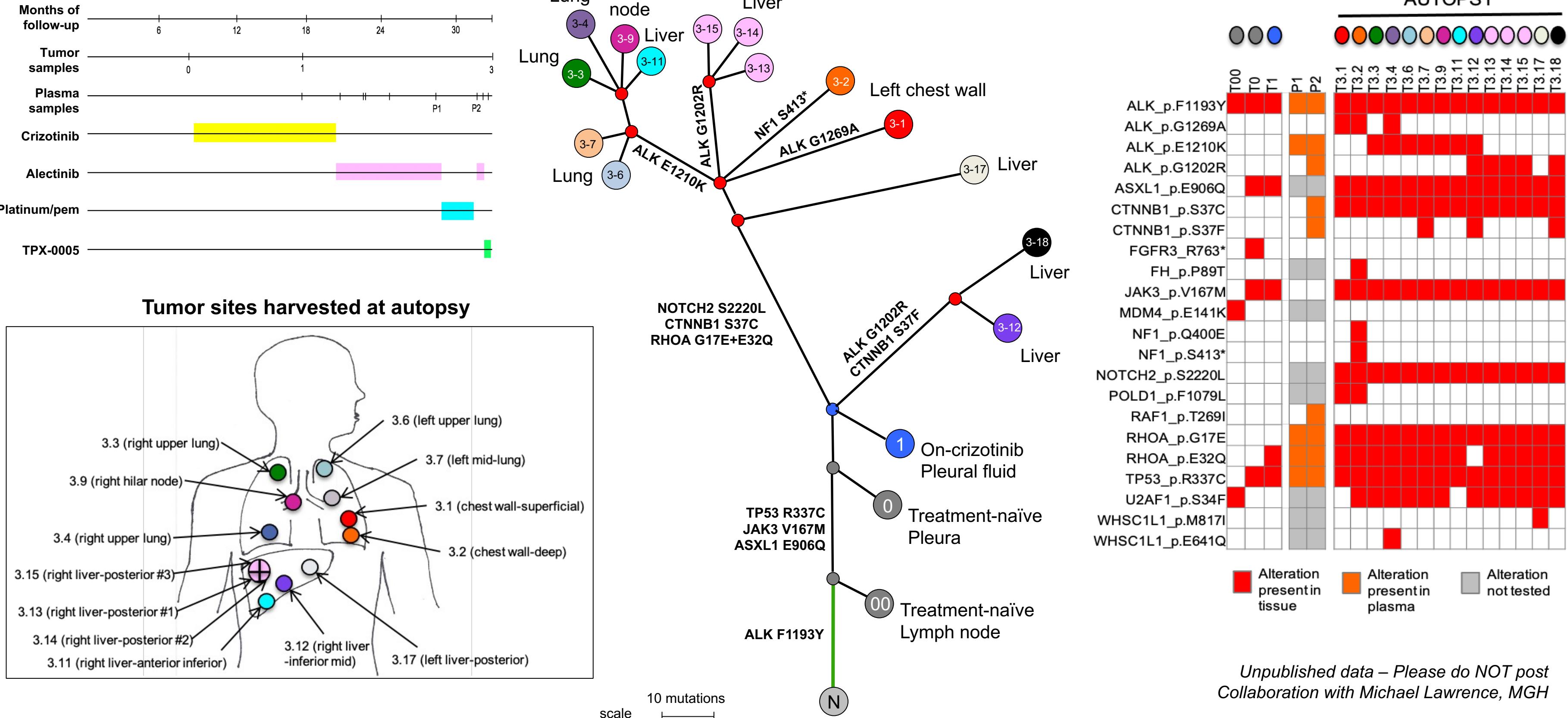
Histologic Transformation in ALK+ NSCLC

- **Small cell transformation** is a well recognized phenomenon across TKI-refractory oncogene-addicted lung cancers including ALK+ NSCLC:
 - ALK+ NSCLC refractory to next-generation ALK TKIs: 1.2% (2/168)¹
- **Squamous cell transformation** has also been reported in TKI-refractory ALK+ NSCLC²
- Above frequencies most likely represent **underestimates** given the overall low prevalence of **repeat biopsies following disease progression resulting in under-diagnosis**

¹Lin JJ et al., NPJ Precis Oncol 2020;4:21

²Schneider JL et al., Nat Cancer 2023;4(3):330-43

Intra-Patient Heterogeneity in Mechanisms of Resistance After ALK TKIs



Summary

- Sequential ALK TKI therapy can result in the successive acquisition of on-target (*ALK*) resistance mutations leading to refractory compound *ALK* resistance mutations
- Next-generation ALK TKIs with activity against single and compound ALK mutations are in clinical development
- Off-target mechanisms of resistance are common after potent ALK TKIs, only some of which are actionable (e.g., *MET* amplification), with combination strategies being evaluated in clinical trials
- Standard-of-care for patients with TKI-refractory ALK+ NSCLC remains chemotherapy [limited evidence to support addition of anti-PD(L)1]
- An unmet need exists for resistance mechanism-agnostic therapeutic strategies (such as ADCs) and for approaches to overcome or prevent polyclonal resistance (e.g., upfront rational combination approaches)