

Neoadjuvant Versus Adjuvant Therapy for Pancreatic Adenocarcinoma

South Florida GI Cancer Symposium – 2025

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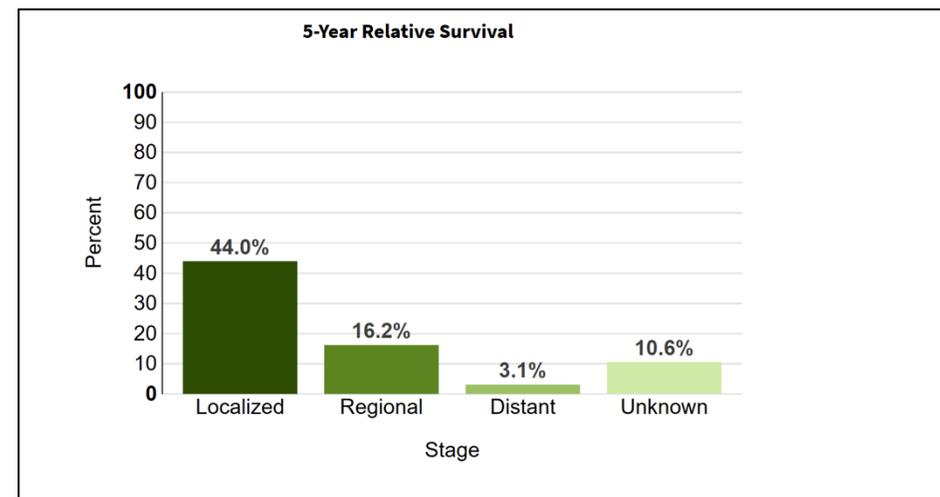
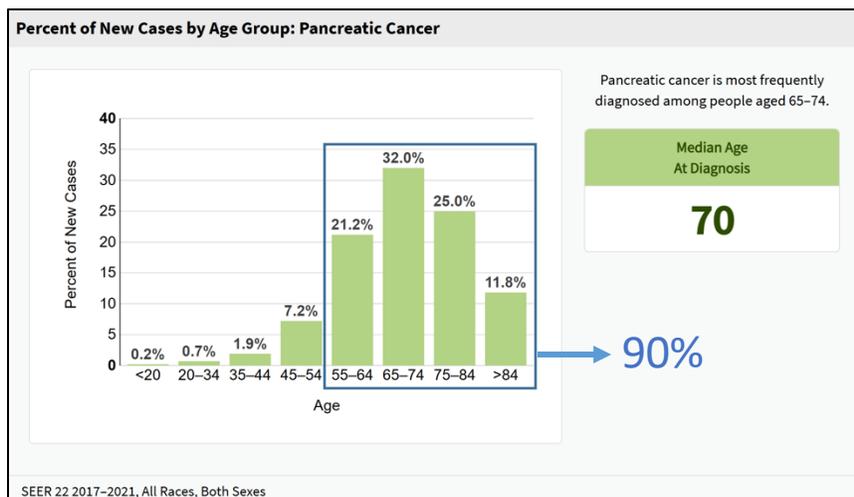
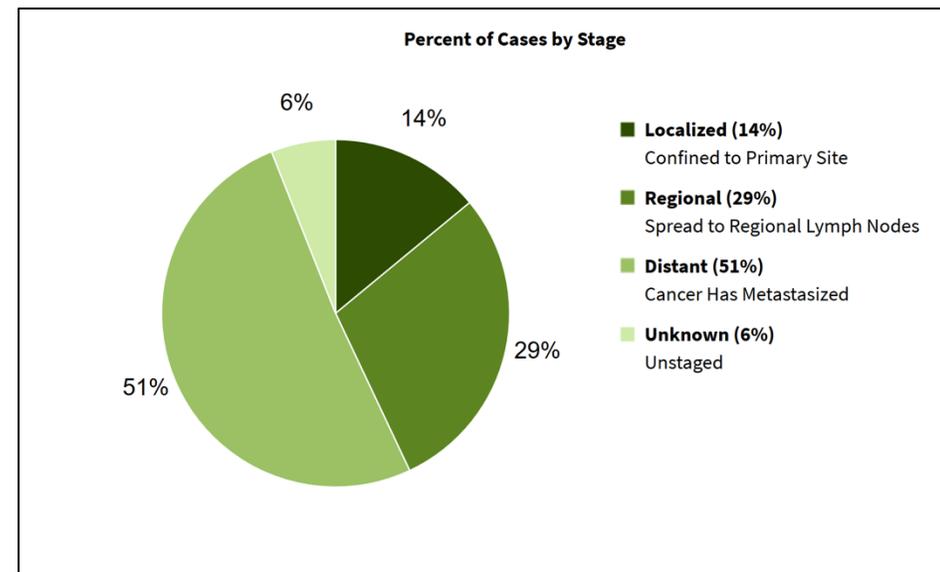
Objectives

- Epidemiology
- Clinical Staging
- Treatment of Pancreas Cancer

Resectable Disease

Borderline Resectable

Epidemiology – SEER (2017 – 2021)



Case Presentation

A 64 year-old man with no significant past medical history presents with 3 months of intermittent epigastric pain. He underwent EGD and found to have biopsy-confirmed *H. pylori* gastritis. Despite treatment, his pain increased and he presented to the Emergency Department for further evaluation.

ECOG Performance Status: 1

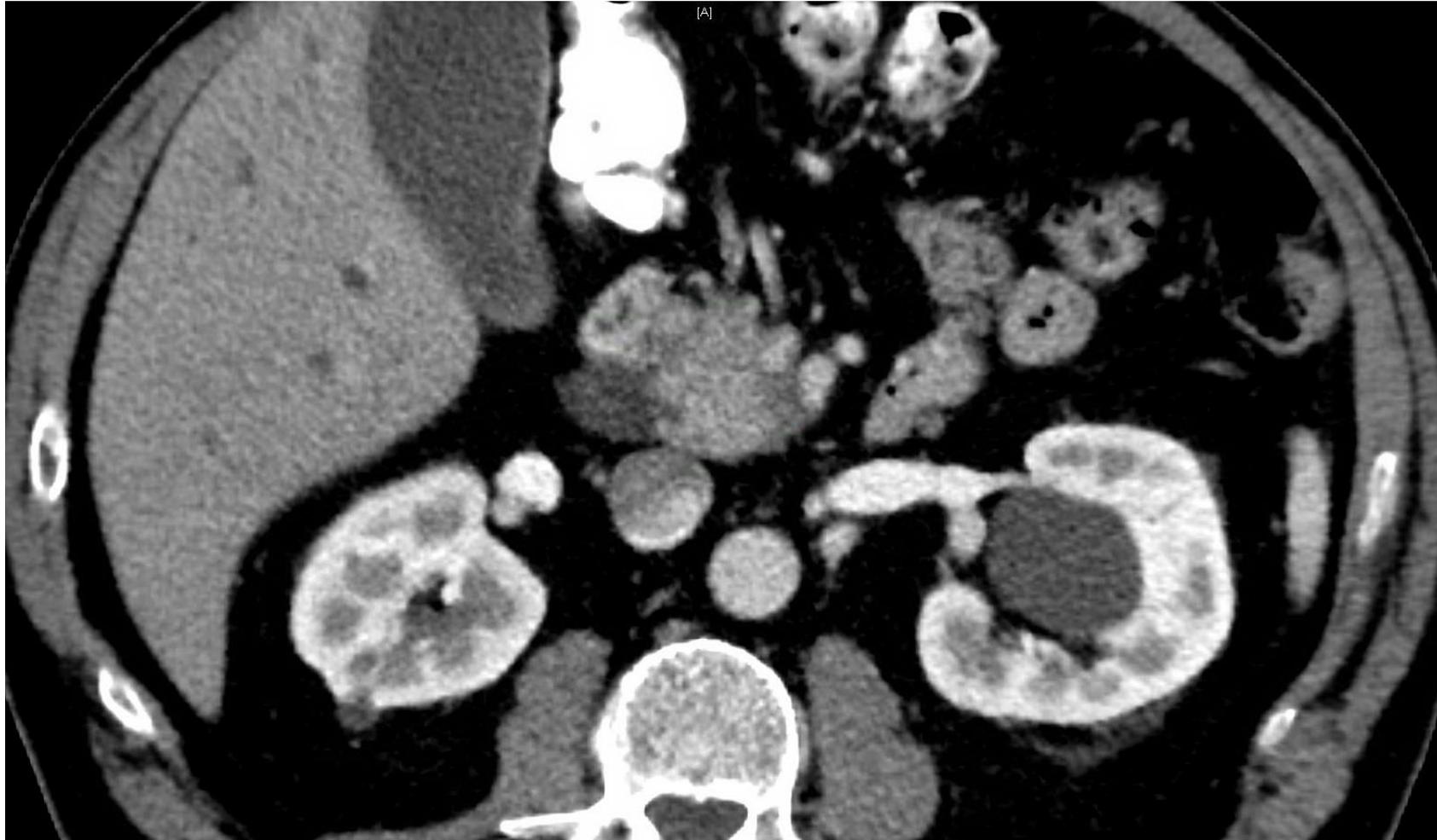
Laboratory Findings:

Blood glucose	753 mg/dL
Alkaline phosphatase	137 IU/L
Bilirubin	0.9 mg/dL
CA 19-9	459 U/mL

Manji GA and Vollmer CM. ASCO Education. 2019.

Case Presentation

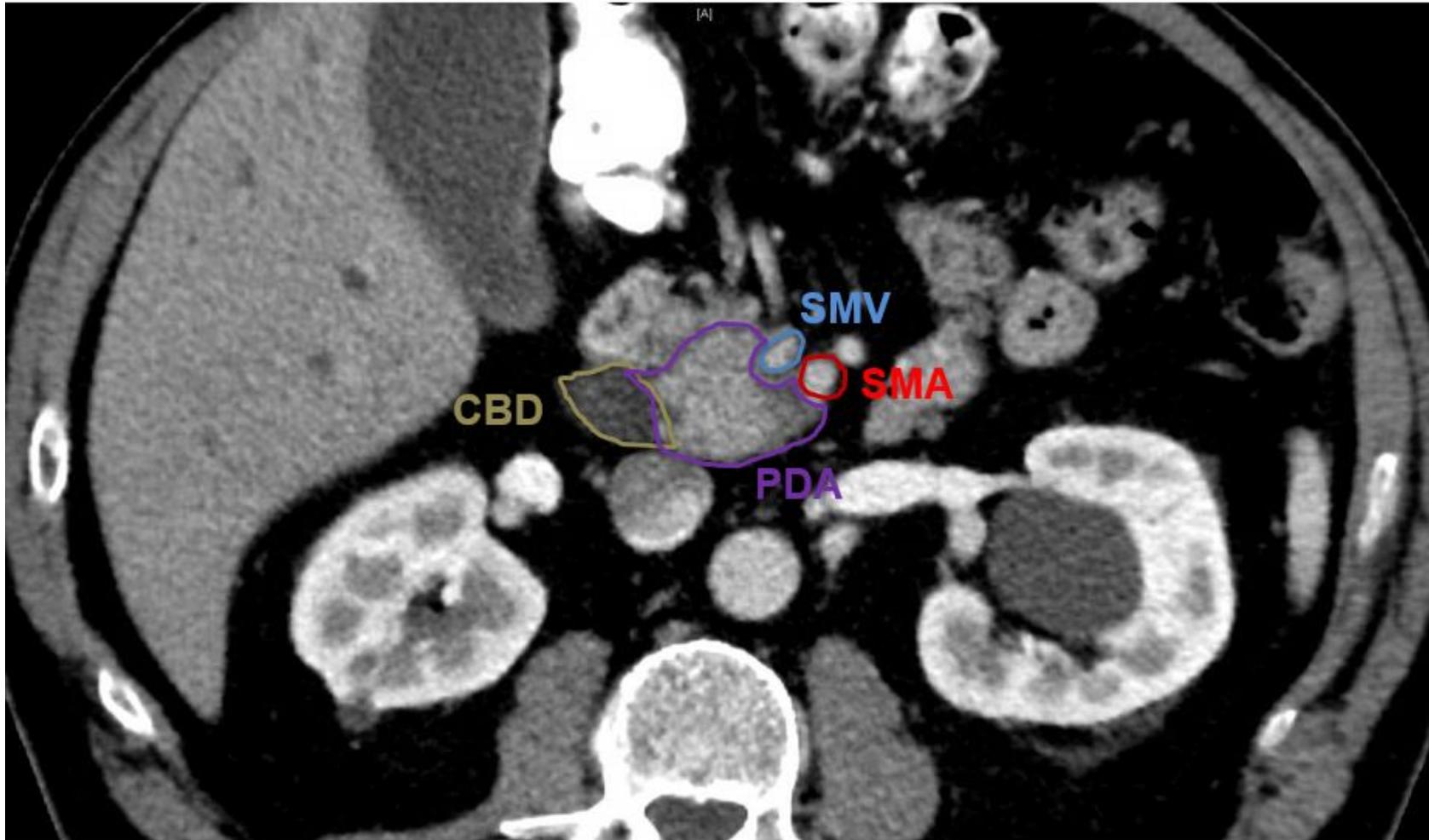
Triple-Phase CT Scan



Manji GA and Vollmer CM. ASCO Education. 2019.

Case Presentation

Triple-Phase CT Scan



CBD – Common Bile Duct; PDA – Pancreatic Ductal Adenocarcinoma;
SMV – Superior Mesenteric Vein; SMA – Superior Mesenteric Artery

Manji GA and Vollmer CM. ASCO Education. 2019.

Case Presentation

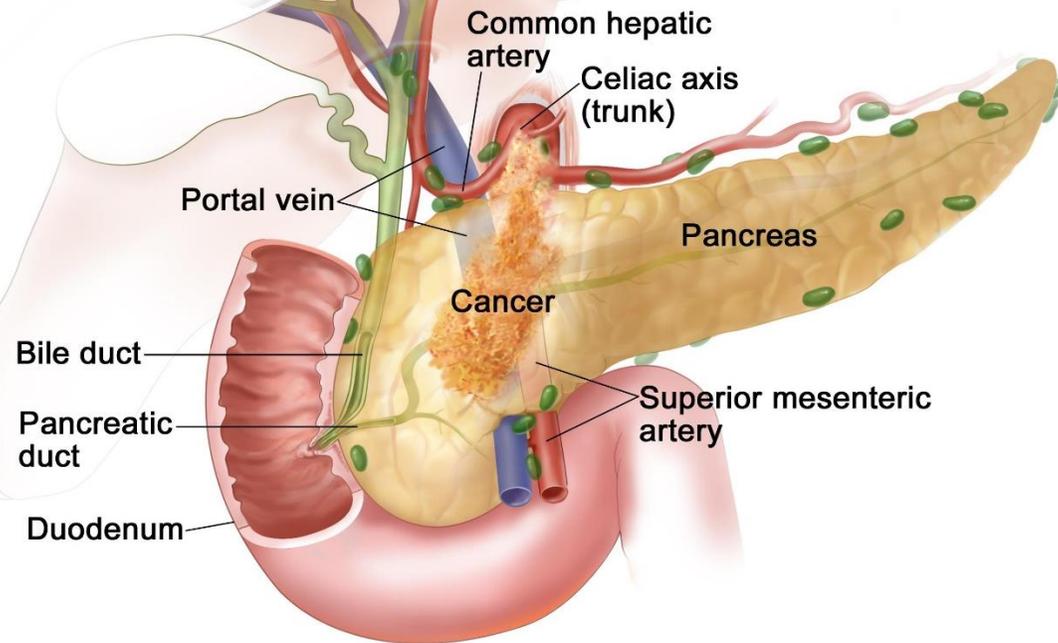
Would you recommend

- A. Surgery
- B. Systemic chemotherapy with mFOLFIRINOX
- C. Chemo-radiotherapy or stereotactic body radiation therapy (SBRT)
- D. Irreversible electroporation (IRE)/Nano-knife surgery

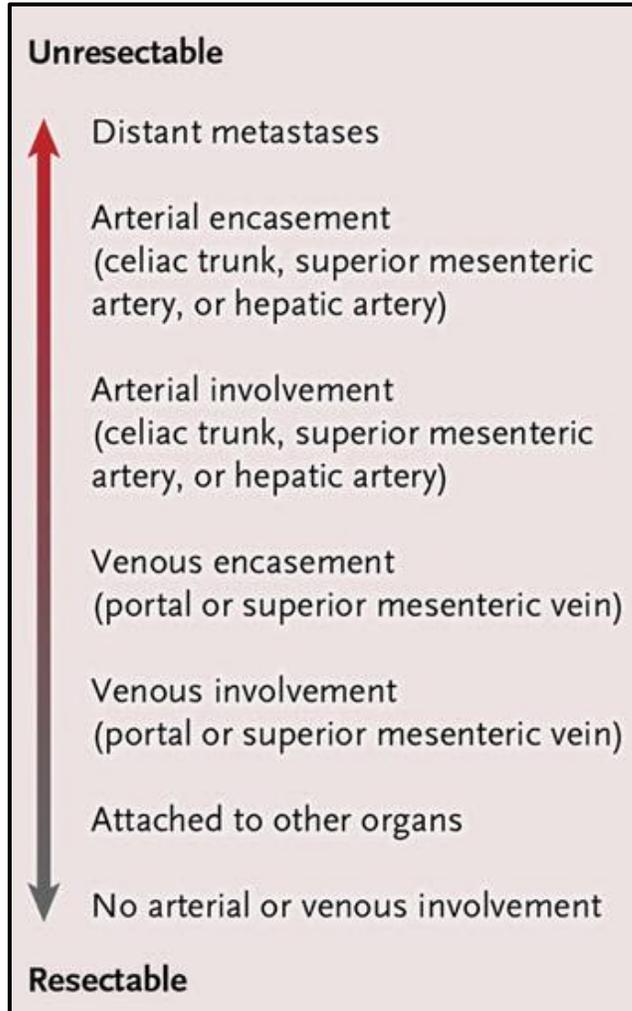
Manji GA and Vollmer CM. ASCO Education. 2019.

Anatomy and Staging

Retroperitoneal organ that has close anatomic relationships with multiple major blood vessels.



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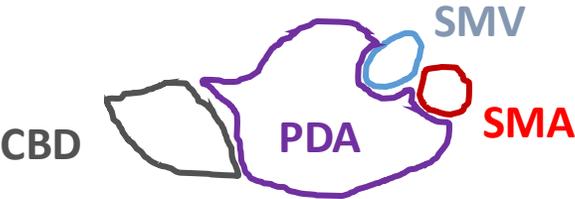
Locally Advanced

Borderline Resectable

Ryan DP et al., NEJM 2014;371:1039-1049
https://www.cancer.gov/types/pancreatic/patient/pancreatic-treatment-pdq#section/_139

Manji GA and Vollmer CM. ASCO Education. 2019.

Case Presentation

Resectability Status	Arterial	Venous
Resectable	<ul style="list-style-type: none"> No arterial tumor contact (celiac axis [CA], superior mesenteric artery [SMA], or common hepatic artery [CHA]). 	<ul style="list-style-type: none"> No tumor contact with the superior mesenteric vein (SMV) or portal vein (PV) or $\leq 180^\circ$ contact without vein contour irregularity.
Borderline Resectable ^b	<p>Pancreatic head/uncinate process:</p> <ul style="list-style-type: none"> Solid tumor contact with CHA without extension to CA or hepatic artery bifurcation allowing for safe and complete resection and reconstruction. Solid tumor contact with the SMA of $\leq 180^\circ$ Solid tumor contact with variant arterial anatomy (ex: accessory right hepatic artery, replaced right hepatic artery, replaced CHA, and the origin of replaced or accessory artery) and the presence and degree of tumor contact should be noted if present, as it may affect surgical planning. <p>Pancreatic body/tail:</p> <ul style="list-style-type: none"> Solid tumor contact with the CA of $\leq 180^\circ$ Solid tumor contact with the CA of $>180^\circ$ without involvement of the aorta and with intact and uninvolved gastroduodenal artery thereby permitting a modified Appleby procedure (some panel members prefer these criteria to be in the locally advanced category). 	<ul style="list-style-type: none"> Solid tumor contact with the SMV or PV of $>180^\circ$, contact of $\leq 180^\circ$ with contour irregularity of the vein or thrombosis of the vein but with suitable vessel proximal and distal to the site of involvement allowing for safe and complete resection and vein reconstruction. Solid tumor contact with the inferior vena cava (IVC).  <p>The diagram illustrates the anatomical relationship between the pancreas and major vessels. The CBD (Common Bile Duct) is shown on the left. The PDA (Pancreaticoduodenal Artery) is shown in the center. The SMV (Superior Mesenteric Vein) is shown in blue on the right, and the SMA (Superior Mesenteric Artery) is shown in red on the right. The pancreas is outlined in purple.</p>
Locally Advanced ^{b,c}	<p>Head/uncinate process:</p> <ul style="list-style-type: none"> Solid tumor contact with SMA $>180^\circ$ Solid tumor contact with the CA $>180^\circ$ <p>Pancreatic body/tail:</p> <ul style="list-style-type: none"> Solid tumor contact of $>180^\circ$ with the SMA or CA Solid tumor contact with the CA and aortic involvement 	<ul style="list-style-type: none"> Unreconstructible SMV/PV due to tumor involvement or occlusion (can be due to tumor or bland thrombus)



**NCCN Guidelines Version 1.2021
Pancreatic Adenocarcinoma**

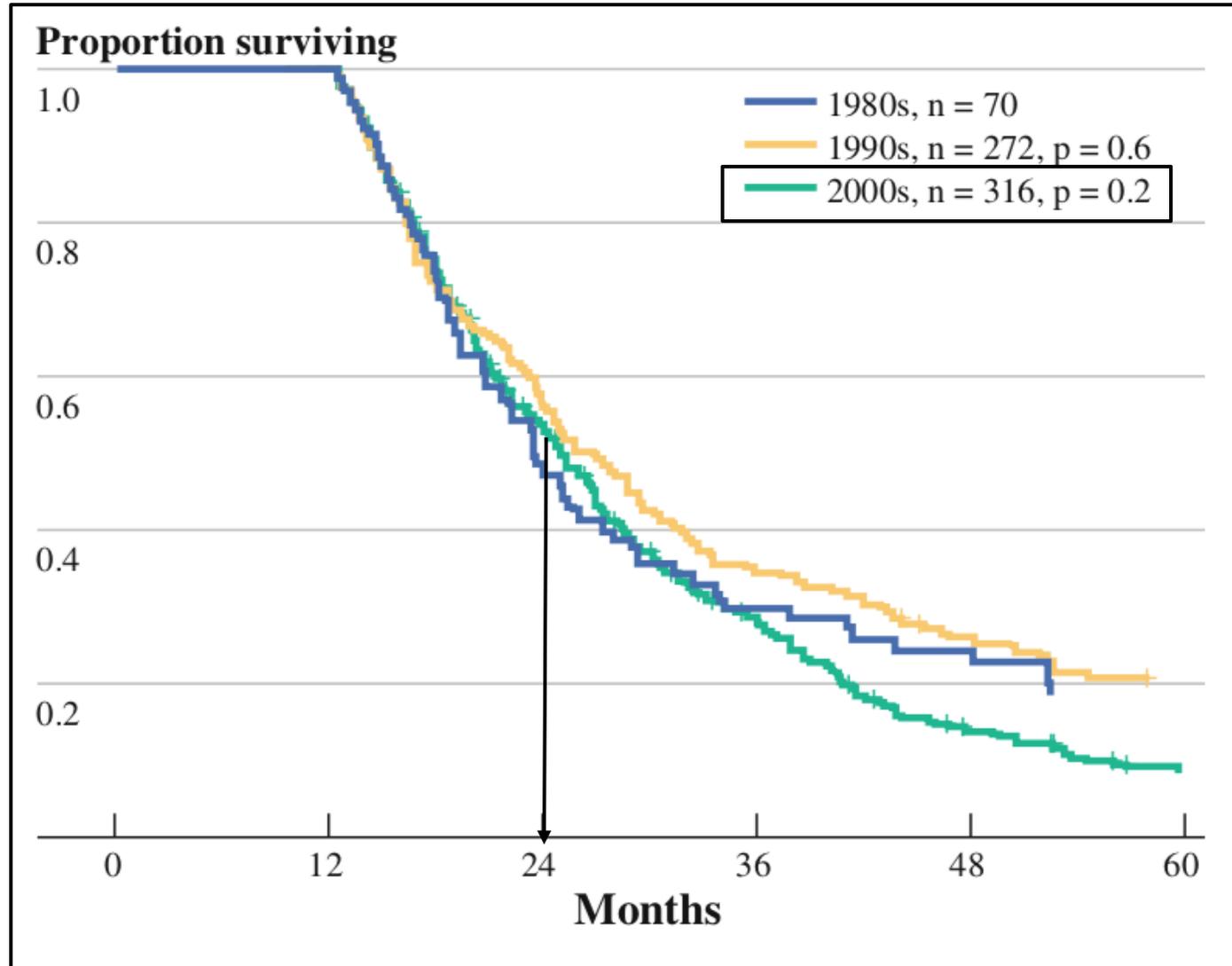
Manji GA and Vollmer CM. ASCO Education. 2019.

Case Presentation

Would you recommend

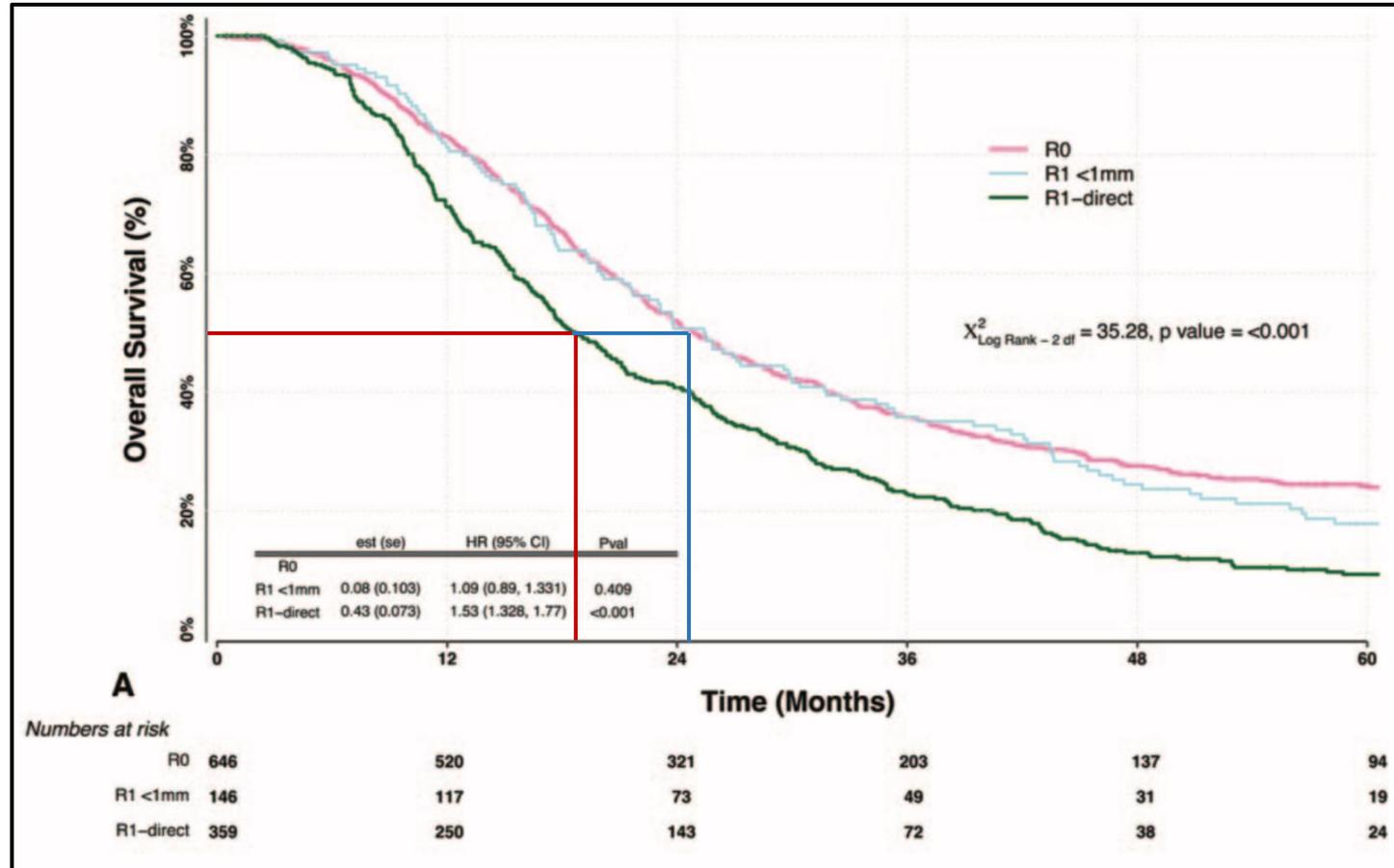
- A. Surgery
- B. Systemic chemotherapy with mFOLFIRINOX**
- C. Chemo-radiotherapy or stereotactic body radiation therapy (SBRT)
- D. Irreversible electroporation (IRE)/Nano-knife surgery

Outcomes – Upfront Resection with Time



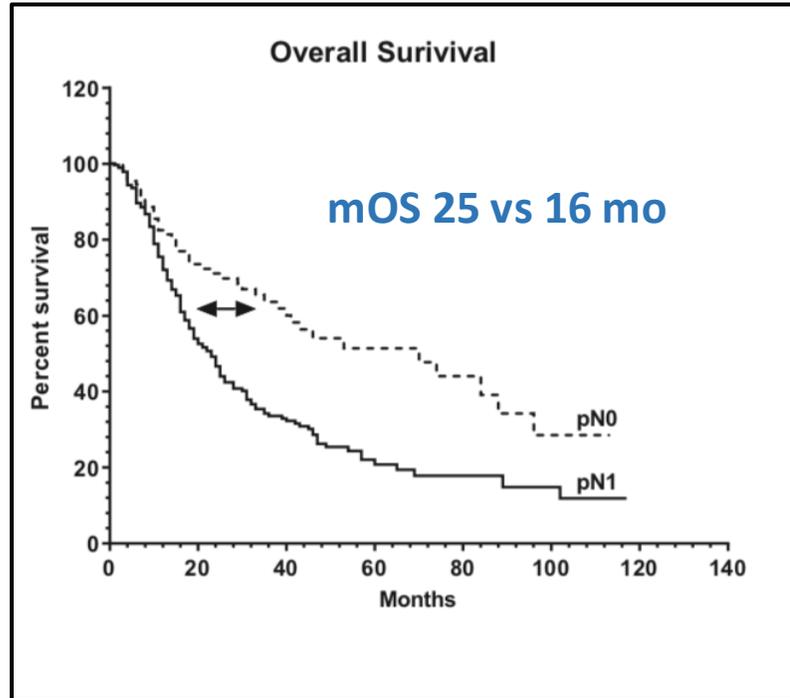
Outcomes – Margin Status

ESPAC-3: R0 vs R1



Outcomes – Lymph Nodal Status

Nodal Status

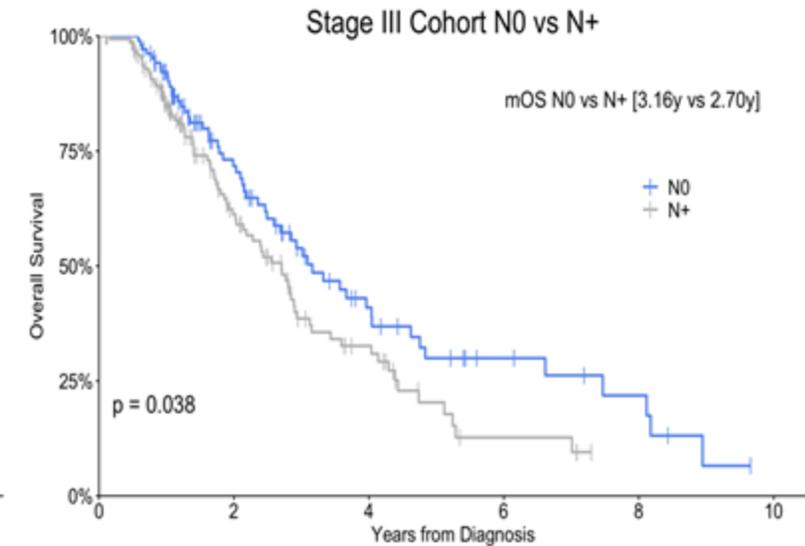
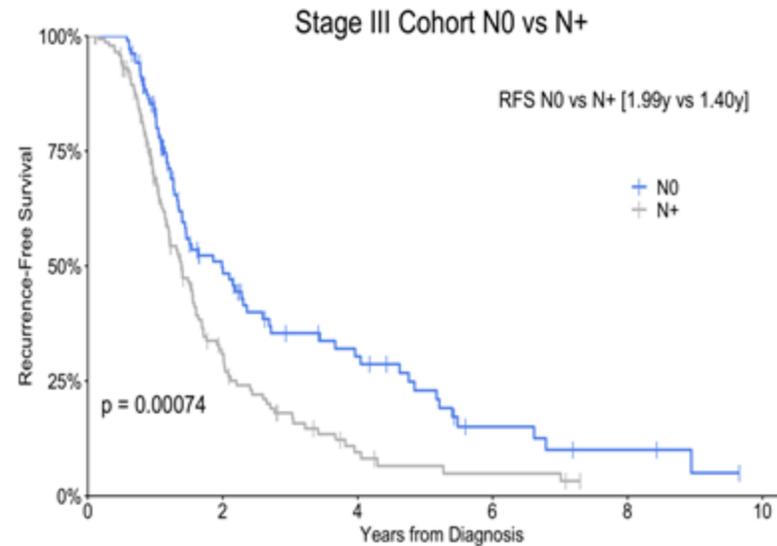


pN0 = 109 (CA 19-9: 65 U/mL, R1 31%, 72% adj.)

pN1 = 285 (CA 19-9: 140 U/mL, R1 59%, 75% adj.)

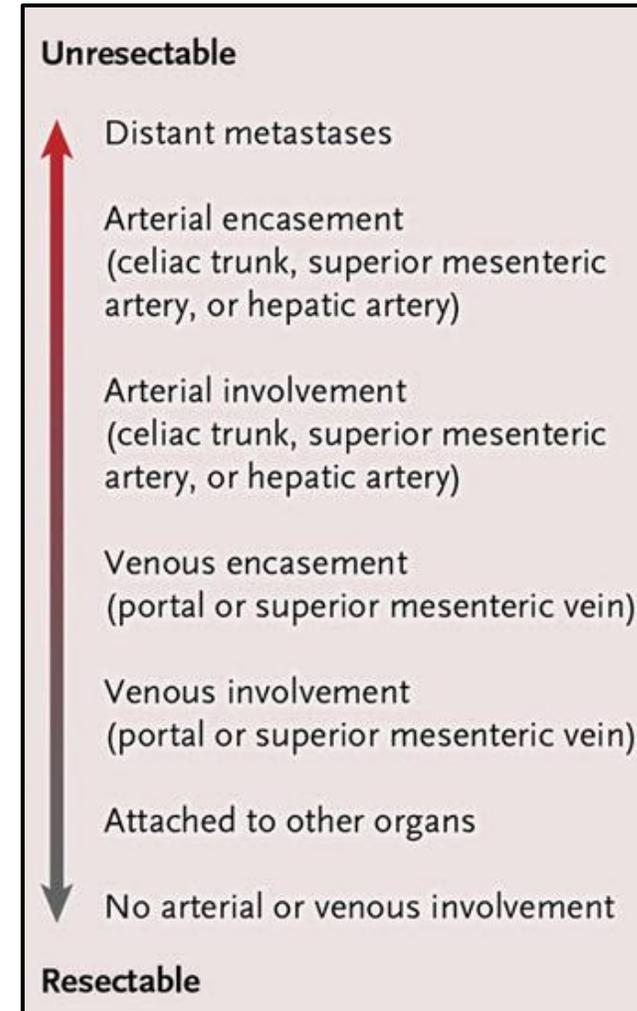
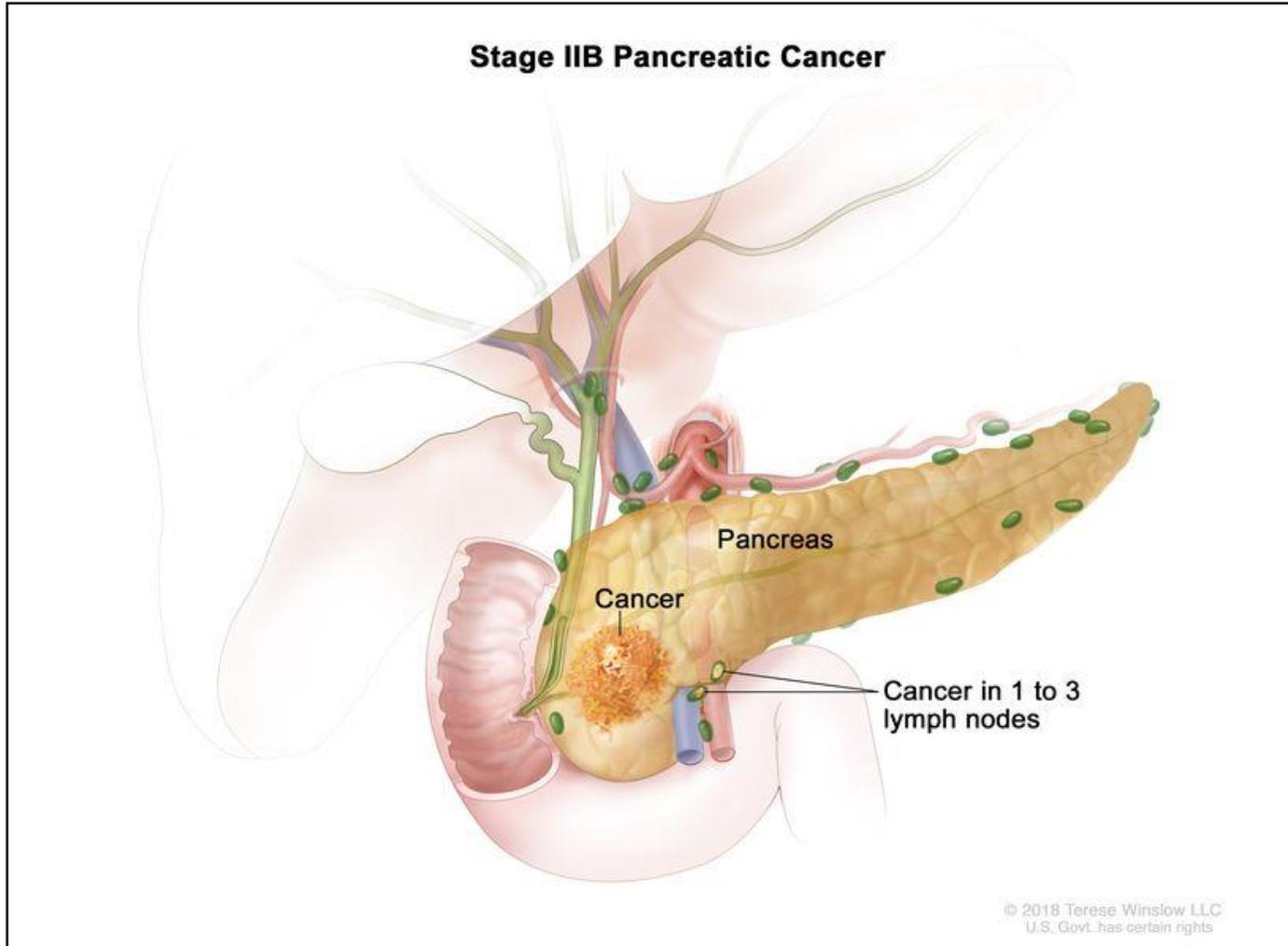
Honselmann KC et al. Ann Surg. 2019.

Columbia University Cohort



Jamison and Manji, Unpublished

Anatomy and Staging



Ryan DP et al., NEJM 2014;371:1039-1049
https://www.cancer.gov/types/pancreatic/patient/pancreatic-treatment-pdq#section/_139

Manji GA and Vollmer CM. ASCO Education. 2019.

Definition of Resectable PDAC



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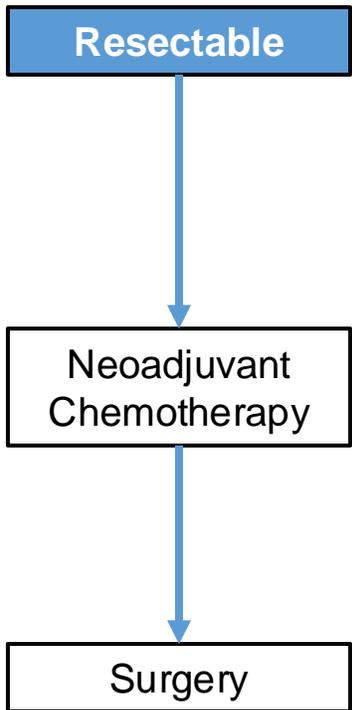
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CRITERIA DEFINING RESECTABILITY STATUS AT DIAGNOSIS^a

- Decisions about resectability status should be made in consensus at multidisciplinary meetings/discussions.

Resectability Status	Arterial	Venous
Resectable	<ul style="list-style-type: none"> • No arterial tumor contact (celiac axis [CA], superior mesenteric artery [SMA], or common hepatic artery [CHA]). 	<ul style="list-style-type: none"> • No tumor contact with the superior mesenteric vein (SMV) or portal vein (PV) or $\leq 180^\circ$ contact without vein contour irregularity.
Borderline Resectable ^b	<p>Pancreatic head/uncinate process:</p> <ul style="list-style-type: none"> • Solid tumor contact with CHA without extension to CA or hepatic artery bifurcation allowing for safe and complete resection and reconstruction. • Solid tumor contact with the SMA of $\leq 180^\circ$. • Solid tumor contact with variant arterial anatomy (eg, accessory right hepatic artery, replaced right hepatic artery, replaced CHA, and the origin of replaced or accessory artery) and the presence and degree of tumor contact should be noted if present, as it may affect surgical planning. <p>Pancreatic body/tail:</p> <ul style="list-style-type: none"> • Solid tumor contact with the CA of $\leq 180^\circ$. 	<ul style="list-style-type: none"> • Solid tumor contact with the SMV or PV of $>180^\circ$, contact of $\leq 180^\circ$ with contour irregularity of the vein or thrombosis of the vein but with suitable vessel proximal and distal to the site of involvement allowing for safe and complete resection and vein reconstruction. • Solid tumor contact with the inferior vena cava (IVC).
Locally Advanced ^{b,c,d}	<p>Head/uncinate process:</p> <ul style="list-style-type: none"> • Solid tumor contact $>180^\circ$ with the SMA or CA. <p>Pancreatic body/tail:</p> <ul style="list-style-type: none"> • Solid tumor contact of $>180^\circ$ with the SMA or CA. • Solid tumor contact with the CA and aortic involvement. 	<ul style="list-style-type: none"> • Not currently amenable to resection and primary reconstruction due to complete occlusion of SMV/PV

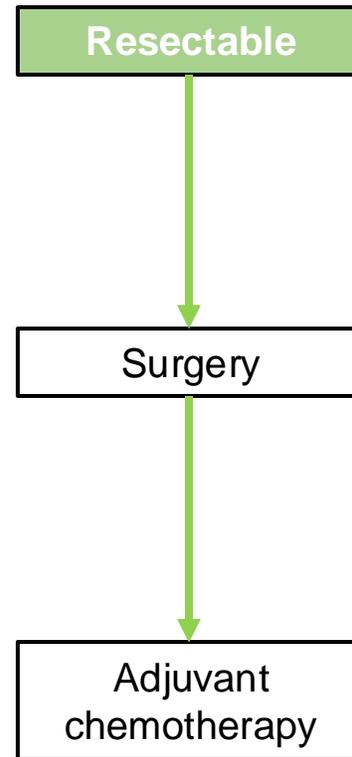
Resectable PDAC – Neoadjuvant or Adjuvant Therapy



- To **downstage** tumor
- Decrease **surgical complexity**
- Treat **micro-metastatic**
- Chemotherapy **tolerability**

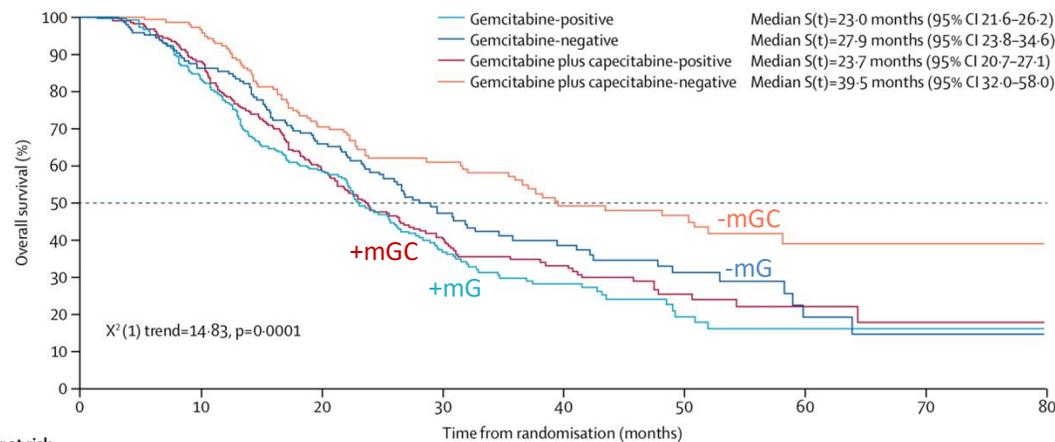


- Chemotherapy **response?**
Predictive markers
Disease progression
Window of opportunity
- Patient preference

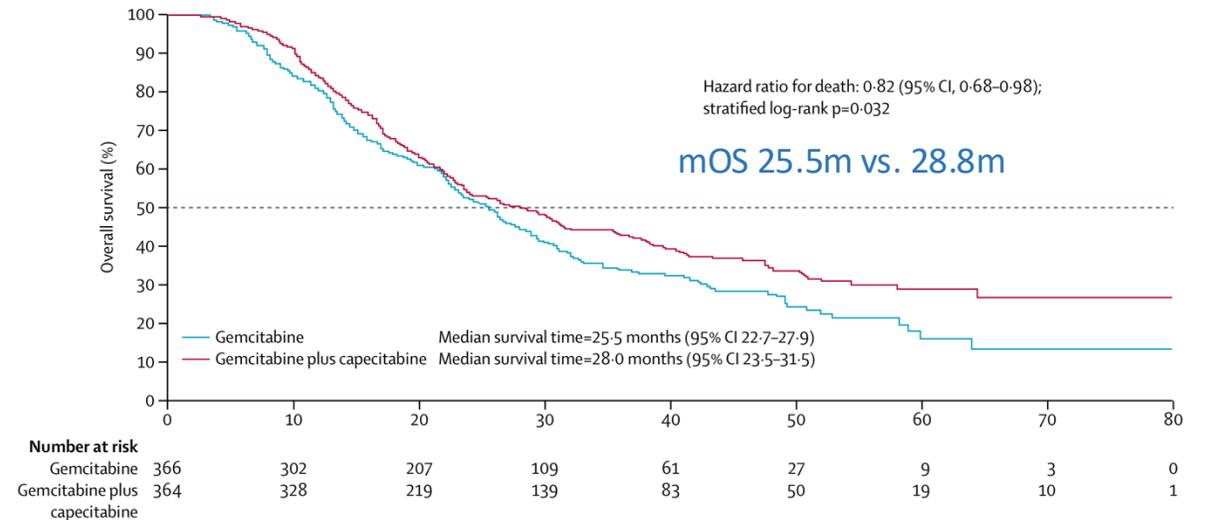


Role of Adjuvant Gemcitabine and Capecitabine

- ESPAC-4. Randomized phase 3 (N = 730)
- Resected pancreatic ductal adenocarcinoma
- Gemcitabine and Capecitabine or Gemcitabine for 24 weeks
- **Primary Endpoint** – Overall Survival

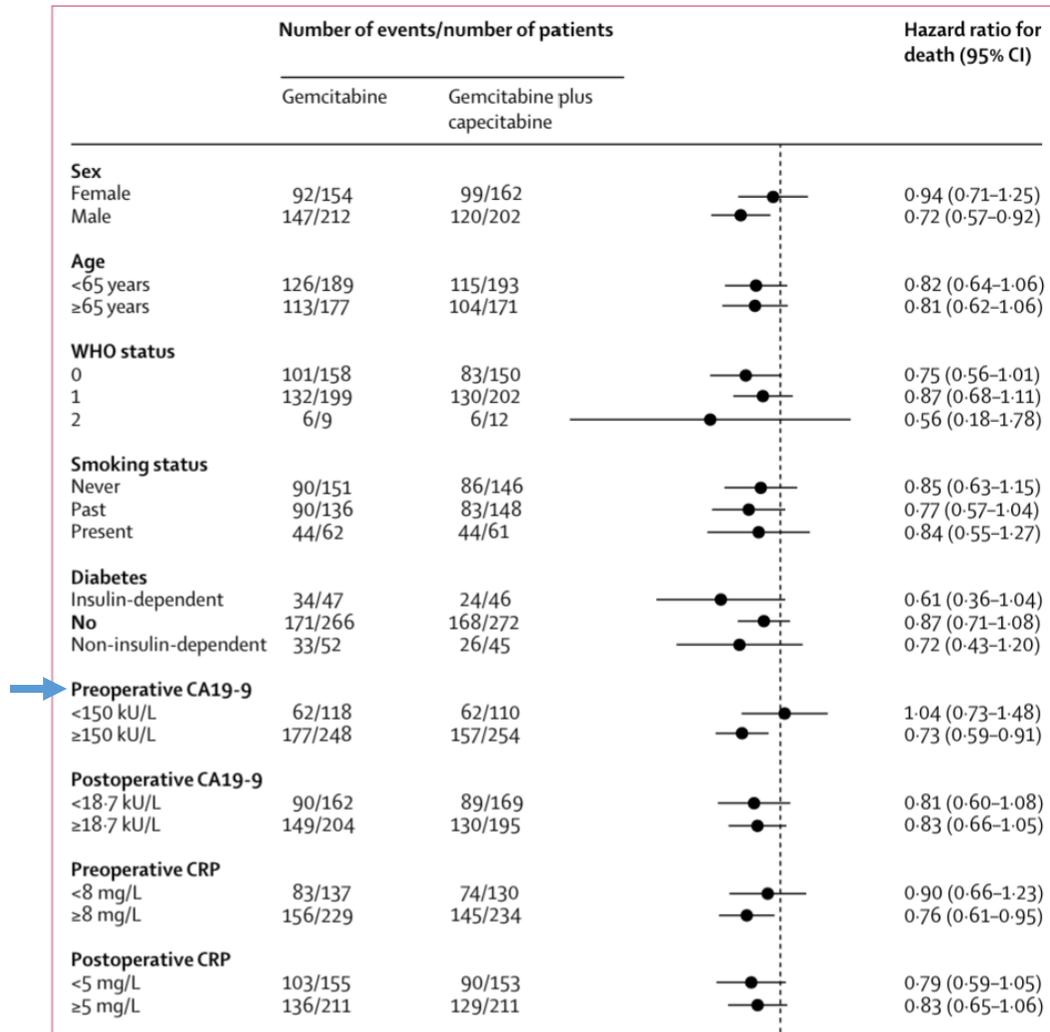


Number at risk	0	10	20	30	40	50	60	70	80
Gemcitabine-positive	219	178	118	58	31	12	3	1	0
Gemcitabine-negative	147	124	89	51	30	15	6	2	0
Gemcitabine plus capecitabine-positive	221	193	124	71	42	20	6	3	1
Gemcitabine plus capecitabine-negative	143	135	95	68	41	30	13	7	0



Number at risk	0	10	20	30	40	50	60	70	80
Gemcitabine	366	302	207	109	61	27	9	3	0
Gemcitabine plus capecitabine	364	328	219	139	83	50	19	10	1

Role of Adjuvant Gemcitabine and Capecitabine



** Table modified.

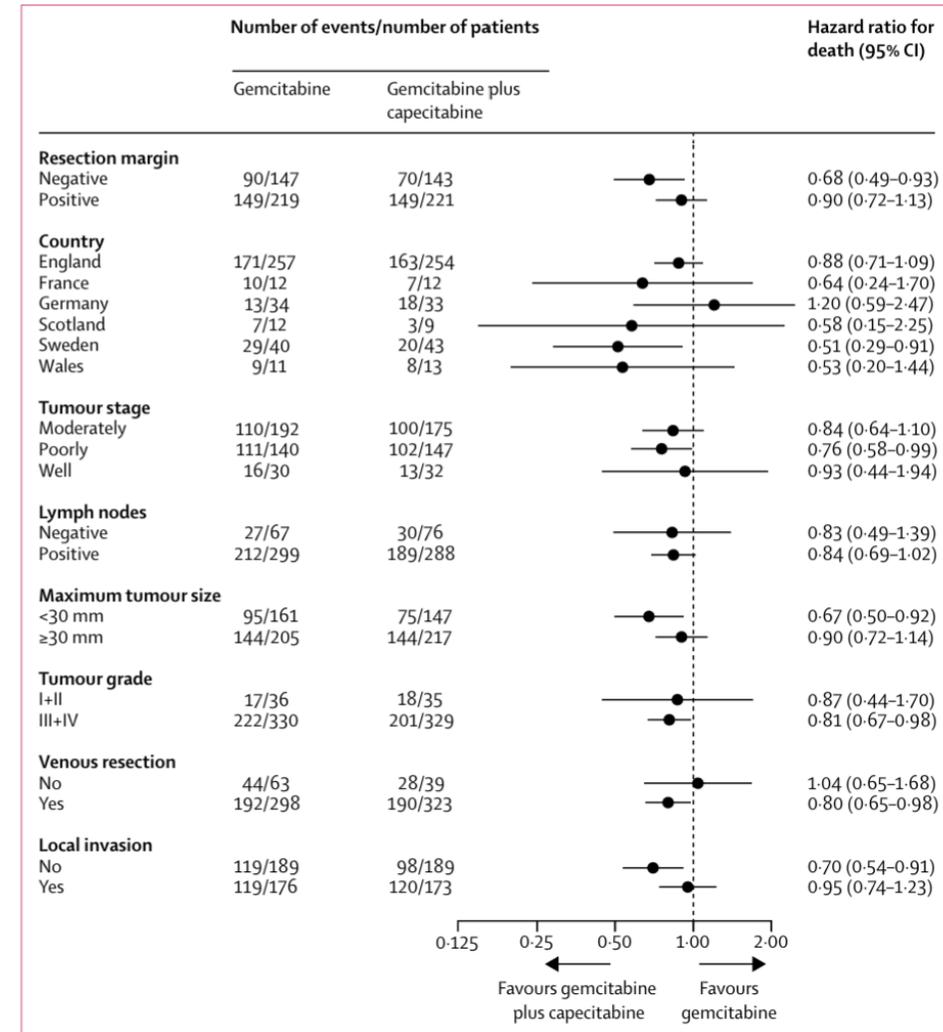
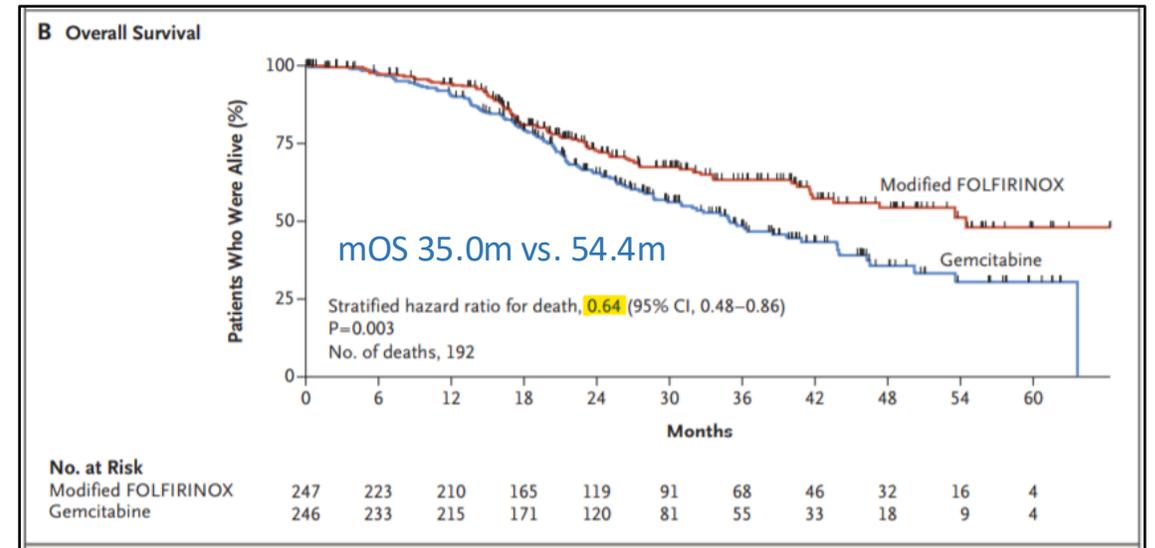
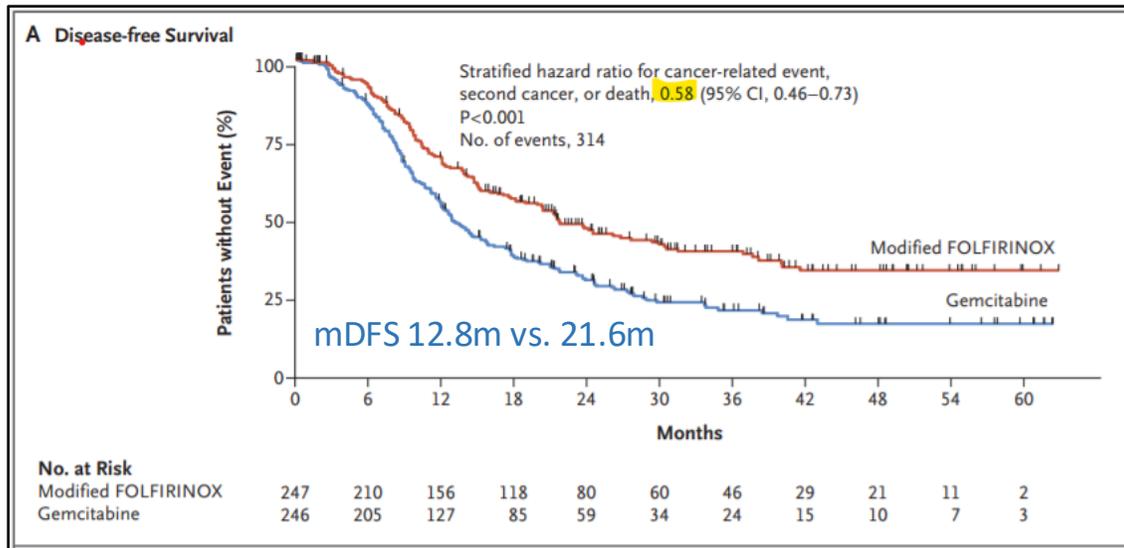


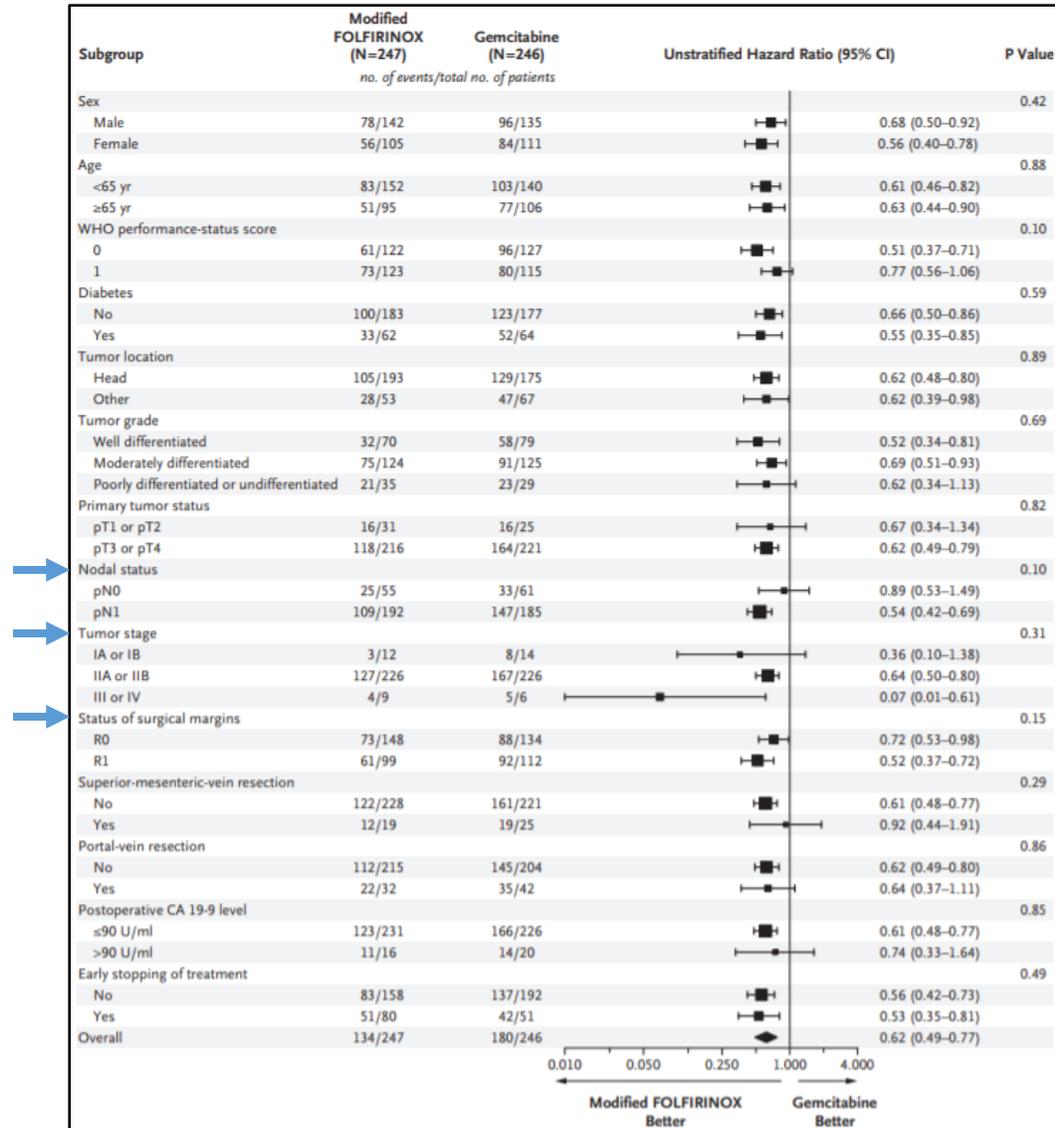
Figure 3: Forest plot of the treatment effect on overall survival in prespecified subgroups

Role of Adjuvant modified FOLFIRINOX

- PRODIGE 24 – ACCORD. Randomized phase 3 (N = 493)
- Resected pancreatic ductal adenocarcinoma
- mFOLFIRINOX or Gemcitabine for 24 weeks
- **Primary Endpoint** – Disease-free Survival

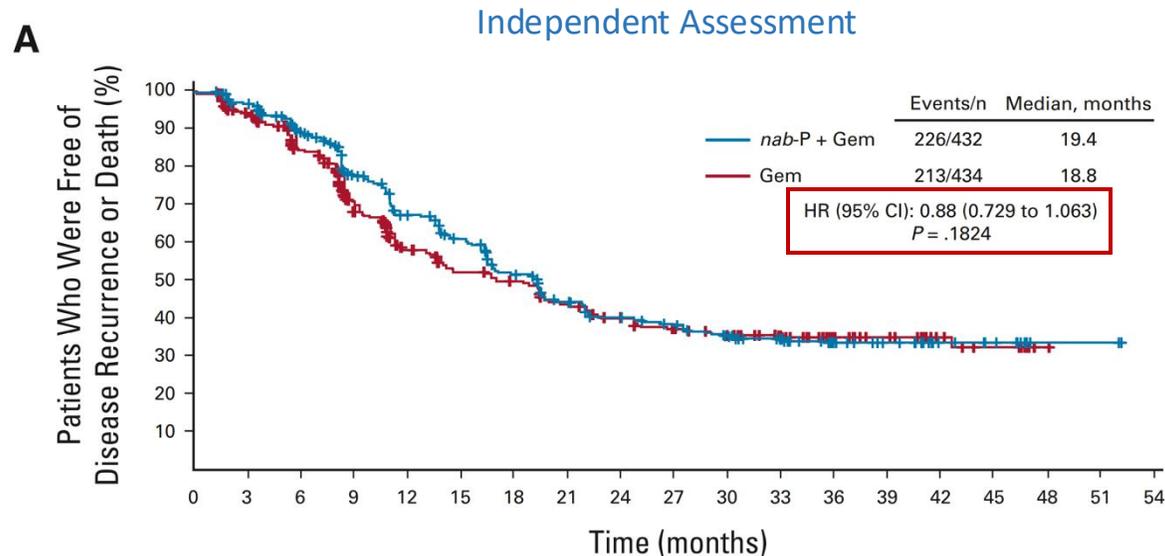


Role of Adjuvant modified FOLFIRINOX



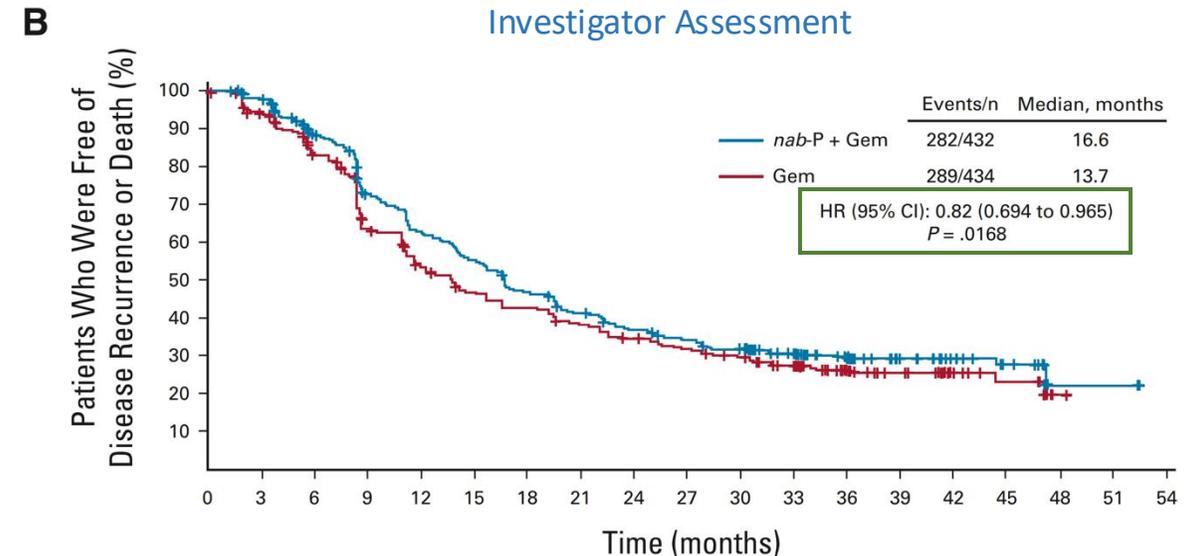
Role of Adjuvant Gemcitabine and *nab*-Paclitaxel

- **APACT**. Randomized phase 3 (N = 866)
- Resected pancreatic ductal adenocarcinoma
- Gemcitabine and nab-Paclitaxel or Gemcitabine for 24 weeks
- **Primary Endpoint** – Independently assessed disease-free survival



No. at risk:

	0	3	6	9	12	15	18	21	24	27	30	33	36	39	42	45	48	51	54
<i>nab</i> -P + Gem	432	391	338	279	236	204	167	138	121	112	99	88	54	43	20	14	2	2	
Gem	434	368	309	235	183	157	147	127	116	105	98	88	59	42	15	10	1		



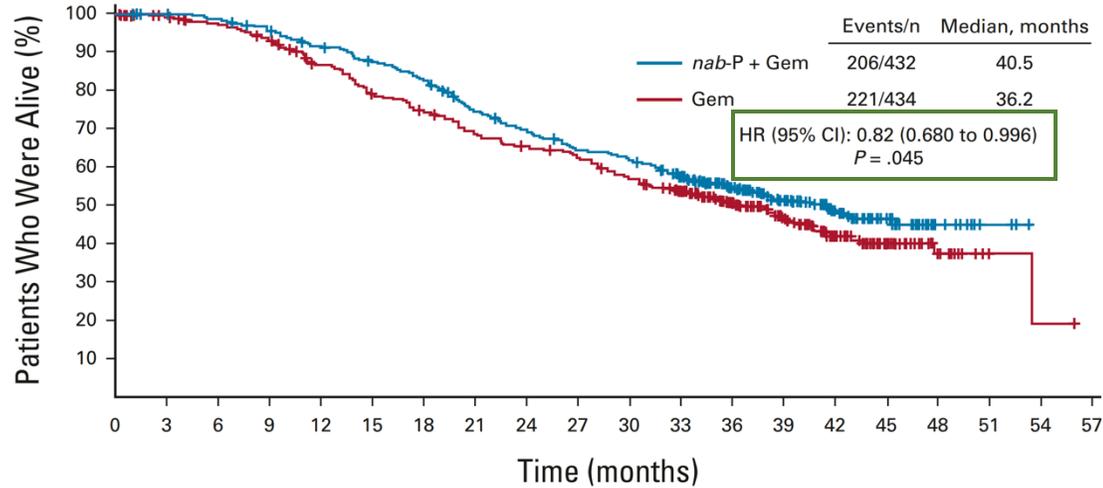
No. at risk:

	0	3	6	9	12	15	18	21	24	27	30	33	36	39	42	45	48	51	54
<i>nab</i> -P + Gem	432	406	355	287	246	216	183	160	141	128	118	98	59	46	24	16	2	2	
Gem	434	384	330	247	202	175	159	142	127	116	106	92	59	42	14	9	1		

Role of Adjuvant Gemcitabine and nab-Paclitaxel

C

Overall Survival



No. at risk:

	0	3	6	9	12	15	18	21	24	27	30	33	36	39	42	45	48	51	54	57
<i>nab-P</i> + Gem	432	427	420	406	385	366	344	307	284	264	252	219	162	113	73	40	12	3		
Gem	434	415	404	384	354	320	301	275	262	249	228	198	153	101	64	29	12	2	1	

Investigator Assessment

B

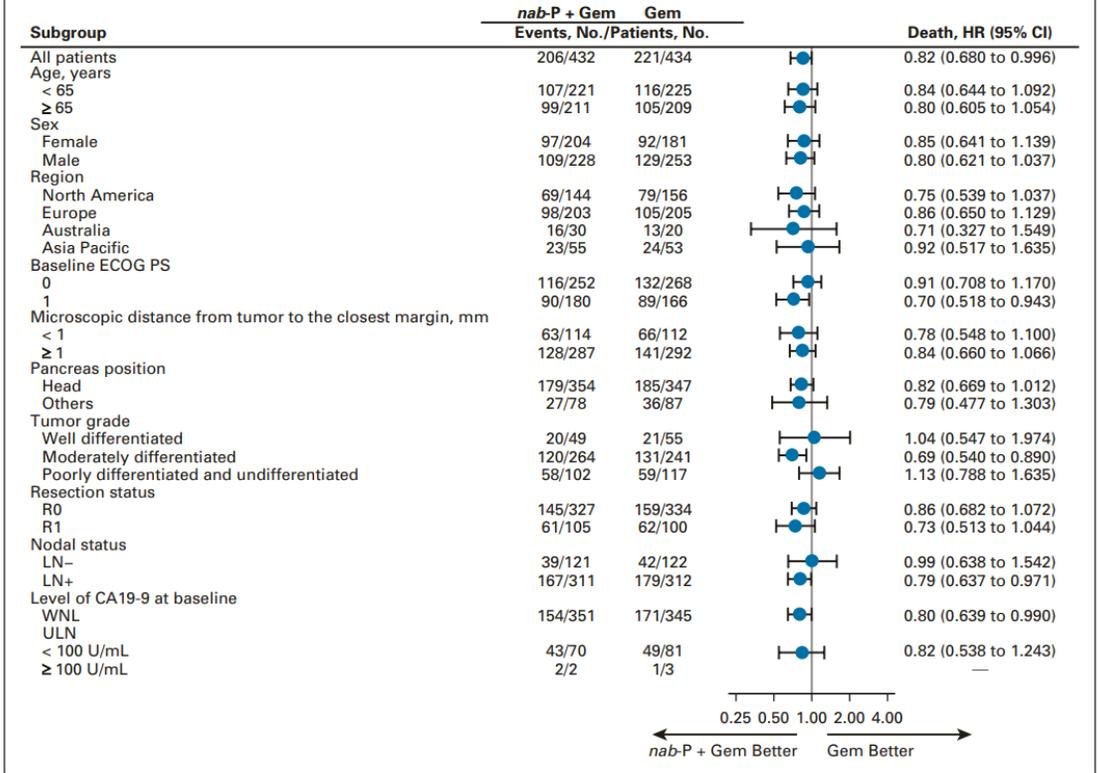


FIG 3. Forest plot subgroup analysis of DFS and OS. At the primary data cutoff (December 31, 2018), prespecified (A) blinded, independent, centrally reviewed DFS and (B) OS. CA19-9, carbohydrate antigen 19-9; DFS, disease-free survival; ECOG PS, Eastern Cooperative Oncology Group performance status; Gem, gemcitabine; HR, hazard ratio; LN, lymph node; *nab-P*, *nab*-paclitaxel; OS, overall survival; ULN, upper limit of normal; WNL, within normal limits.

Role of Adjuvant Chemotherapy

TABLE 1. Efficacy of Adjuvant Therapies in Pancreas Adenocarcinoma

Trial	Experimental Therapy	Comparator	Primary End Point	Median Follow-Up, Months	DFS, Months	OS, Months	5-Years Survival Rate, %; Exp v Comp
ESPAC-1 ⁶	5-FU+ leucovorin	Observation	2-year survival rate	47 (32-62)	15.3 (10.5-19.2) v 9.4 (8.4-15.2)	20.1 (16.5-22.7) v 15.5 (13.0-17.7)	21 v 8 ^a
CONKO-001 ⁷	Gemcitabine	Observation	DFS	136 (104- 144)	13.4 (11.6-15.3) v 6.7 (6.0-7.5)	22.8 (18.5-27.2) v 20.2 (17.7-22.8)	20.7 (14.7-26.6) v 10.4 (5.9-15.0)
JASPAC-01 ⁸	S-1	Gemcitabine	OS	79.3 (72.0-89.0) v. 82.3 (71.8-88.5)	22.9 (17.4-30.6) v 11.3 (9.7-13.6) ^b	46.5 (37.8-63.7) v 25.5 (22.5-29.6)	44.1 (36.9-51.1) v 24.4 (18.6-30.8) ^a
ESPAC-4 ⁹	Gemcitabine and capecitabine	Gemcitabine	OS	43.2 (39.7-45.5)	13.9 (12.1-16.6) v 13.1 (11.6-15.3)	28.0 (23.5-31.5) v 25.5 (22.7-27.9)	28.8 (10.2-23.7) v 16.3 (10.2-23.7) ^a
PRODIGE24/ CCTG ¹⁰	mFOLFIRINOX	Gemcitabine	DFS	69.7 (59.4-84.1)	21.4 (9.9-70.0) v 12.8 (7.9-29.8)	53.5 (22.4-NE) v 35.5 (20.3-80.8)	43.2 (36.5-49.7) v 31.4 (25.5-37.5)
APACT ¹	Gemcitabine and nab-paclitaxel	Gemcitabine	Independently assessed DFS ³	63.2 (60.1-68.7)	19.4 (16.6-21.9) v 18.8 (13.8-20.3) ^c Investigator-assessed DFS (Secondary Endpoint) 16.6 (14.6-19.3) v 13.7 (11.2-16.0) ^d	41.8 v 37.7 (HR, 0.80 (0.68-0.95; P = .0091)	38 v 31 ^a

Abbreviations: 5-FU, fluorouracil; CCTG, Canadian Cancer Trials Group; Comp, comparator; DFS, disease-free survival; Exp, experimental; HR, hazard ratio; mFOLFIRINOX, modified fluorouracil, leucovorin, irinotecan, and oxaliplatin; NE, nonestimable; OS, overall survival, PFS, progression free survival.

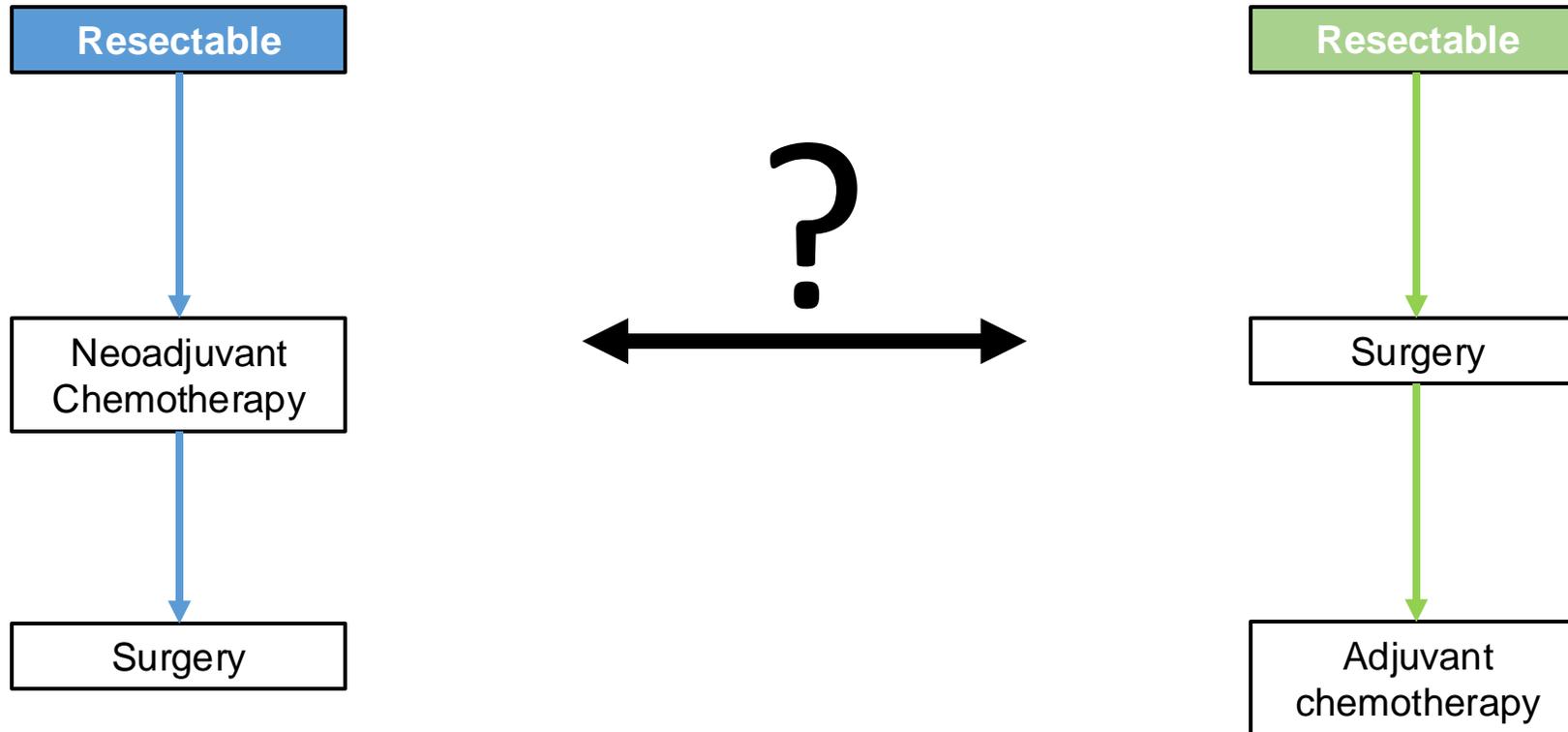
^a5-year survival estimate.

^bRelapse-free survival.

^cIndependently assessed DFS.

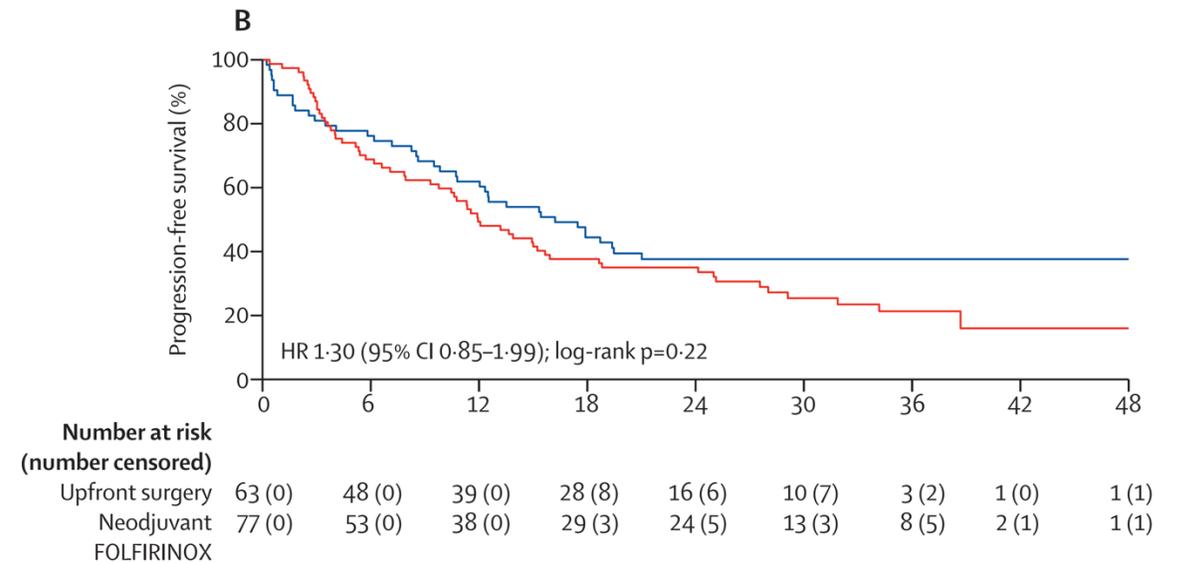
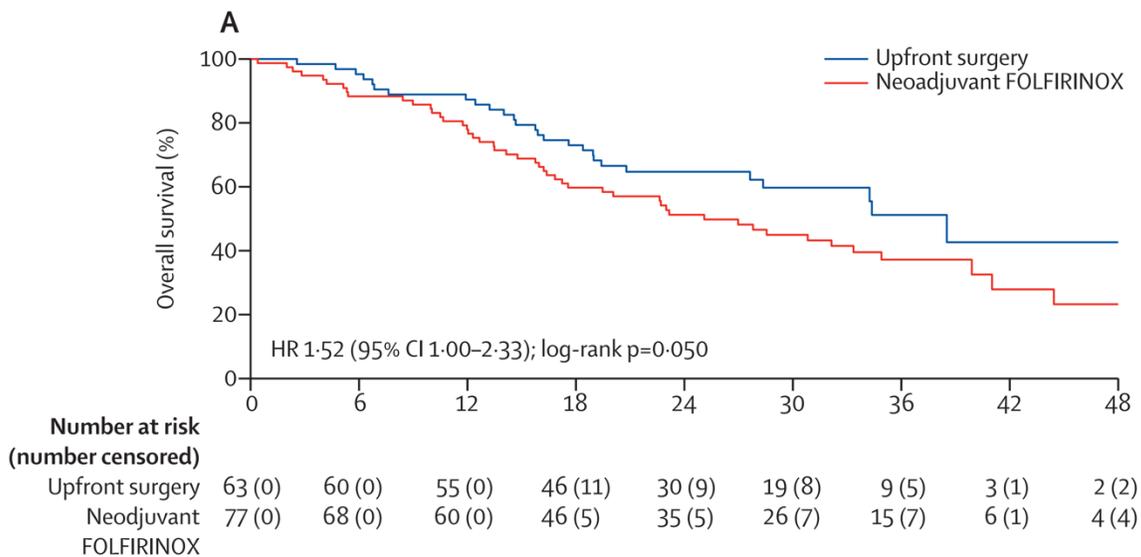
^dInvestigator-assessed DFS.

Resectable PDAC – Neoadjuvant or Adjuvant Therapy



FOLFIRINOX versus Upfront Surgery

- NORPACT-1. Randomized phase 2 (N = 866)
- Radiological evidence of pancreas head strongly suspected to PDAC
- FOLFIRINOX (4 cycles) followed by surgery and adjuvant chemotherapy **versus** upfront surgery followed by adjuvant chemotherapy
- Primary Endpoint – Overall survival at 18 months



FOLFIRINOX versus Upfront Surgery

	Neoadjuvant FOLFIRINOX group (n=77)	Upfront surgery group (n=63)	HR or RR (95 % CI)	p value
Primary endpoint (intention to treat)				
Proportion alive at 18 months (95% CI)	60% (49-71)	73% (62-84)	..	0.032
Secondary endpoints (intention to treat)				
Median (95% CI) overall survival, months	25.1 (17.2-34.9)	38.5 (27.6-NR)	HR 1.52 (1.00-2.33)	0.050
Median (95% CI) progression-free survival, months	11.9 (9.3-15.7)	16.2 (10.8-21.0)	HR 1.30 (0.85-1.99)	0.22
Proportion alive and disease free at 18 months (95% CI)	38% (27-49)	44% (32-57)	..	0.35
Underwent resection	63 (82%)	56 (89%)	RR 0.92 (0.80-1.06)	0.24
Causes of not undergoing resection				
Metastasis diagnosed preoperatively	4	1
Metastasis diagnosed intraoperatively	8	6
Toxicity during neoadjuvant chemotherapy	2	0
Adjuvant chemotherapy initiation	51 (66%)	47 (75%)	RR 0.89 (0.74-1.07)	0.21
Adjuvant chemotherapy completion	41 (53%)	31 (49%)	RR 1.12 (0.86-1.45)	0.40
Chemotherapy receipt (neoadjuvant, adjuvant, or both)	73 (95%)	47 (75%)	RR 1.27 (1.11-1.46)	0.0006

ChemoRT versus Upfront Surgery

- PREOPANC. Randomized phase 3 (N = 246)
- Resectable and borderline resectable PDAC

Chemo RT – Gem C1, Gem C2, Gem/RT C3

→ Surgery → Gem C4 – C7

Upfront Surgery –

Surgery → Gem C4 – C7

- Primary Endpoint – Overall survival at 18 months

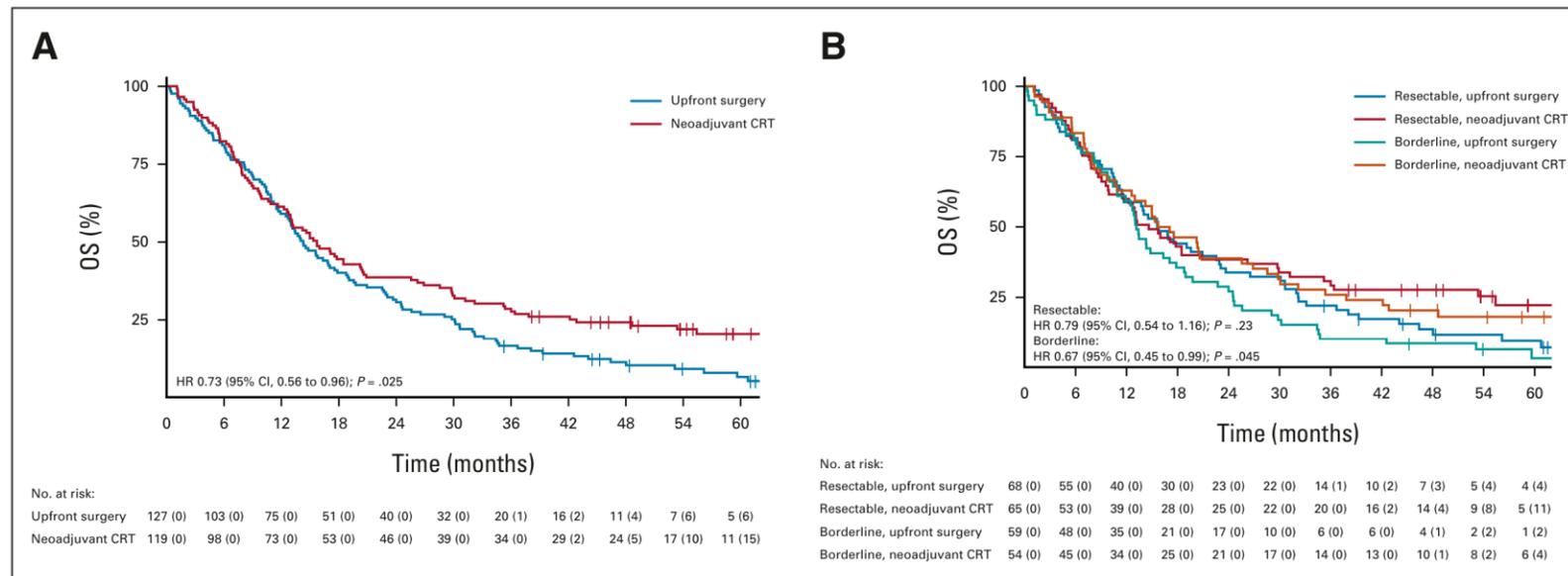


FIG 2. Kaplan-Meier estimates of OS by (A) treatment group and (B) by resectability and treatment group. CRT, chemoradiotherapy; HR, hazard ratio; OS, overall survival.

ChemoRT versus Upfront Surgery

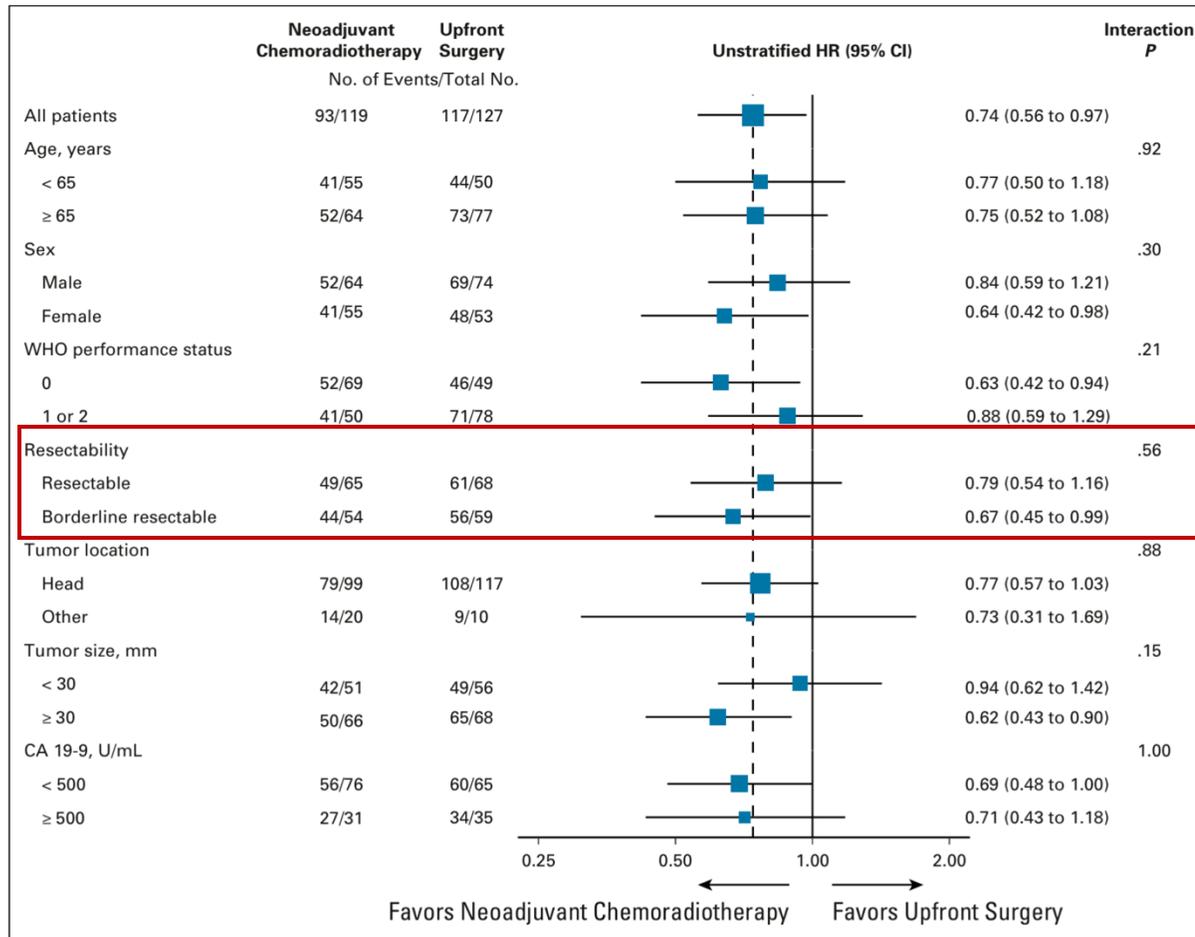


FIG 3. Forest plot of treatment effect on overall survival according to baseline characteristics of patients. The position of each square represents the point estimate of the treatment effect in the subgroup, and error bars represent 95% CIs. The sizes of the squares are proportional to the number of patients. The dashed line represents the unstratified HR for all patients. Tumor size was missing for five patients. CA 19-9 was missing for 39 patients. CA 19-9, carbohydrate antigen 19-9; HR, hazard ratio.

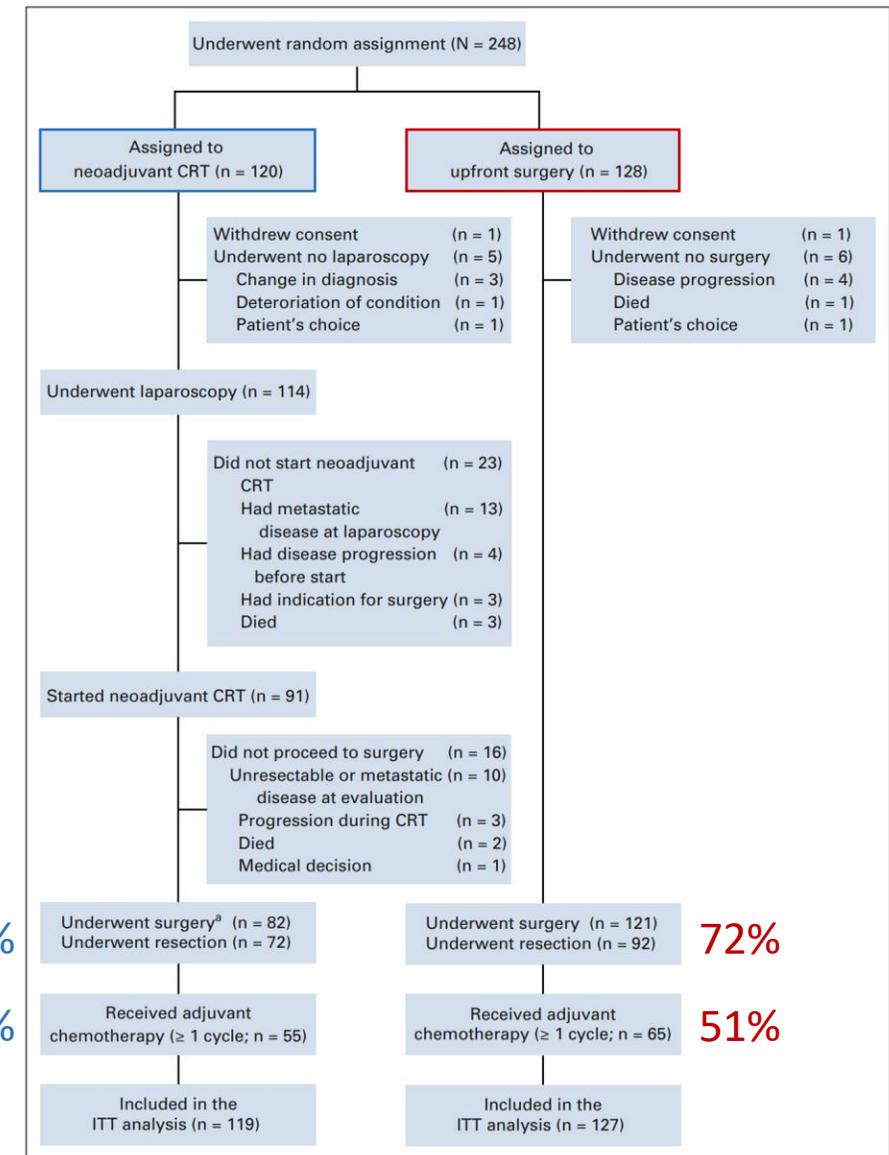


FIG 1. CONSORT diagram. *Seven patients proceeded to surgery without neoadjuvant chemoradiotherapy. CRT, chemoradiotherapy; ITT, intention-to-treat.

Treatment Algorithm for Resectable PDAC

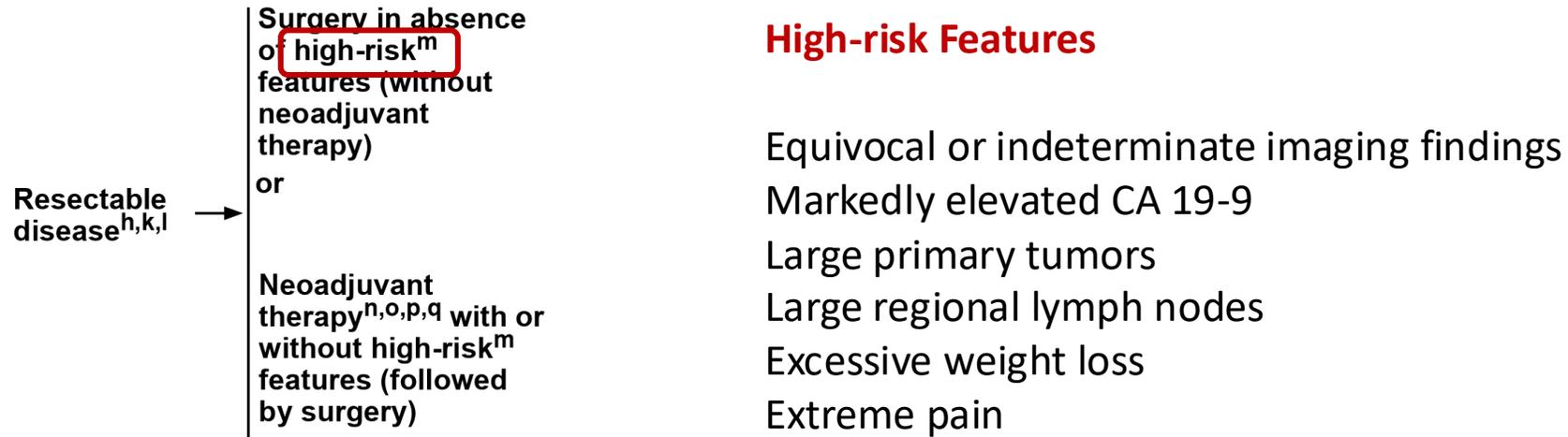


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RESECTABLE DISEASE TREATMENT

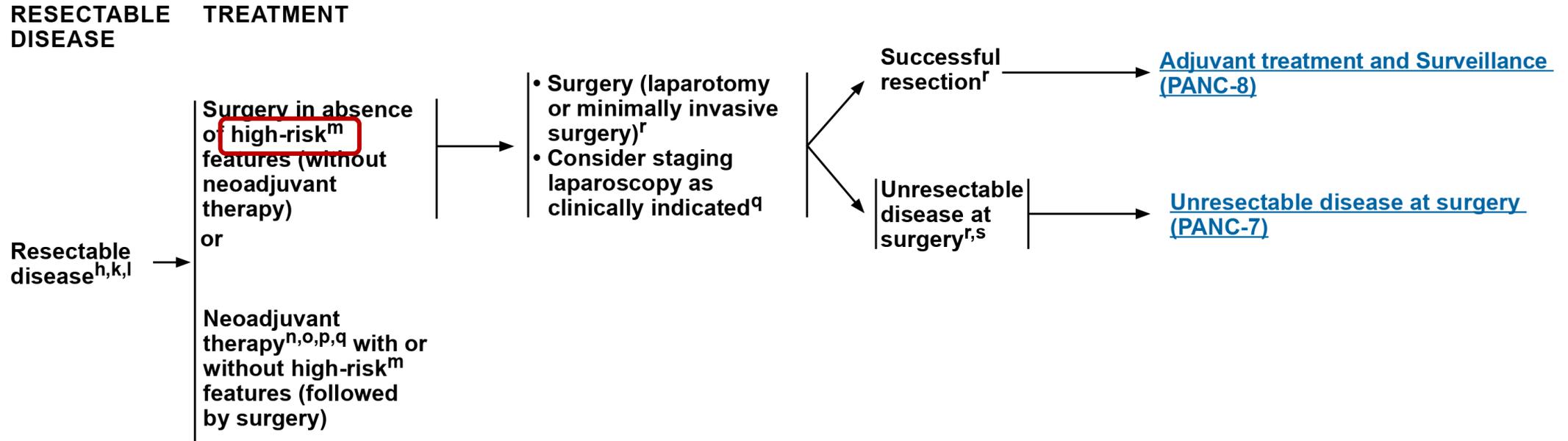


Treatment Algorithm for Resectable PDAC



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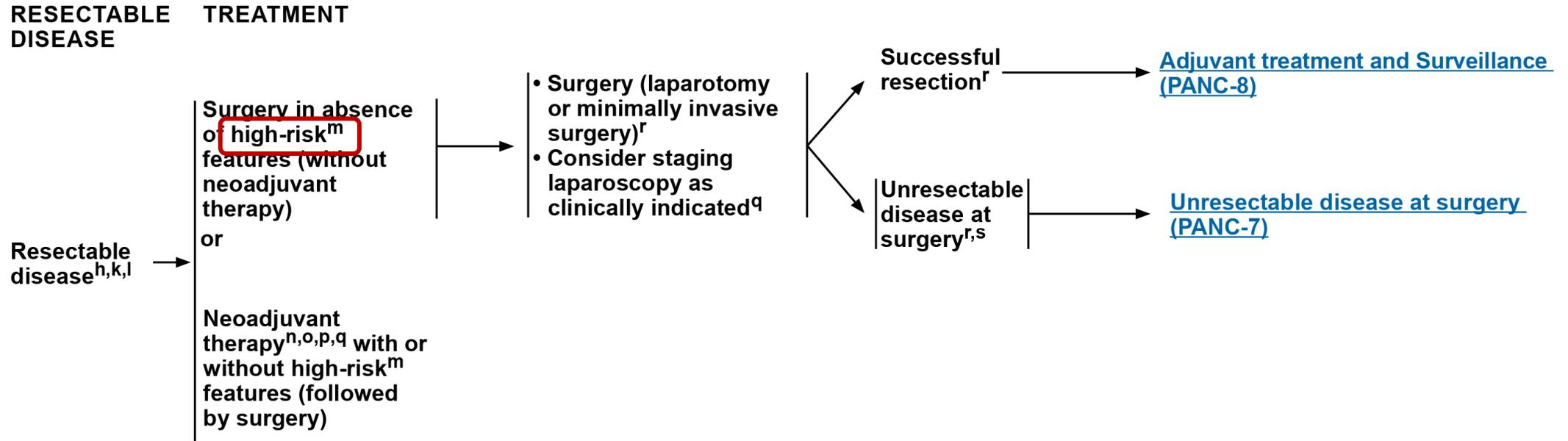
High-risk features – Equivocal or indeterminate imaging findings, markedly elevated CA 19-9, large primary tumors, large regional lymph nodes, excessive weight loss, and extreme pain.

Treatment Algorithm for Resectable PDAC



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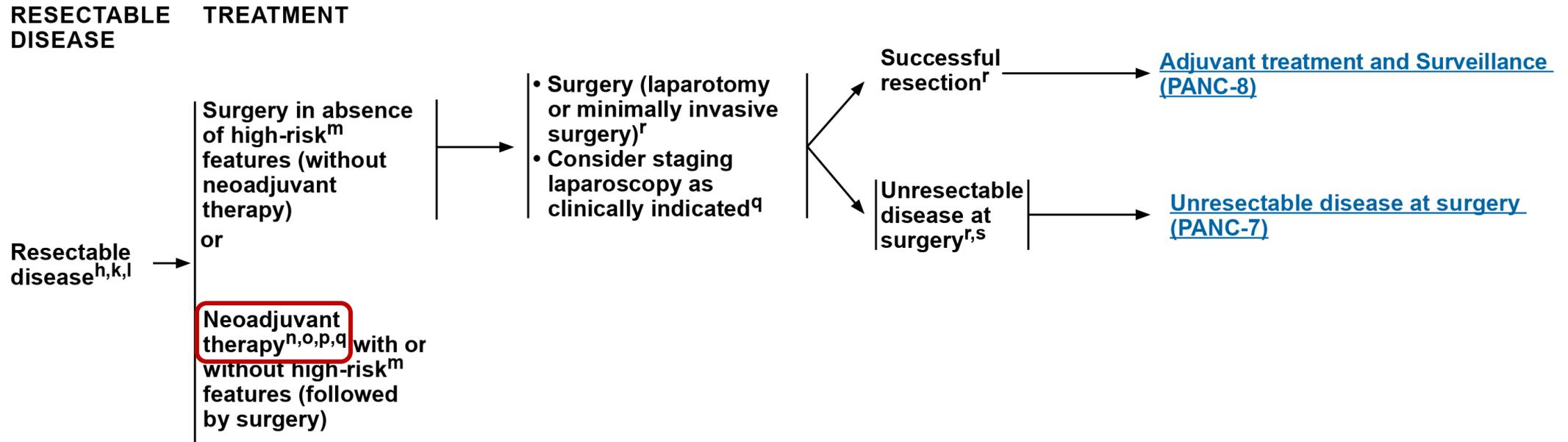
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Treatment Algorithm for Resectable PDAC



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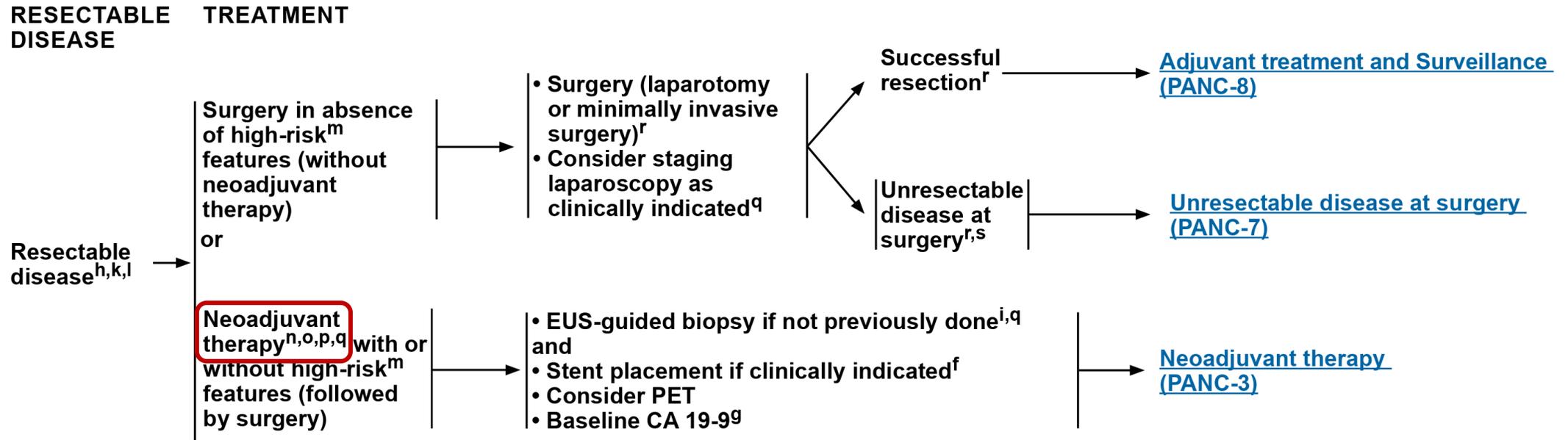
For Neoadjuvant Therapy – Consider PET/CT or PET/MRI scan before and after initiation to assess response to systemic therapy and for restaging.

Treatment Algorithm for Resectable PDAC



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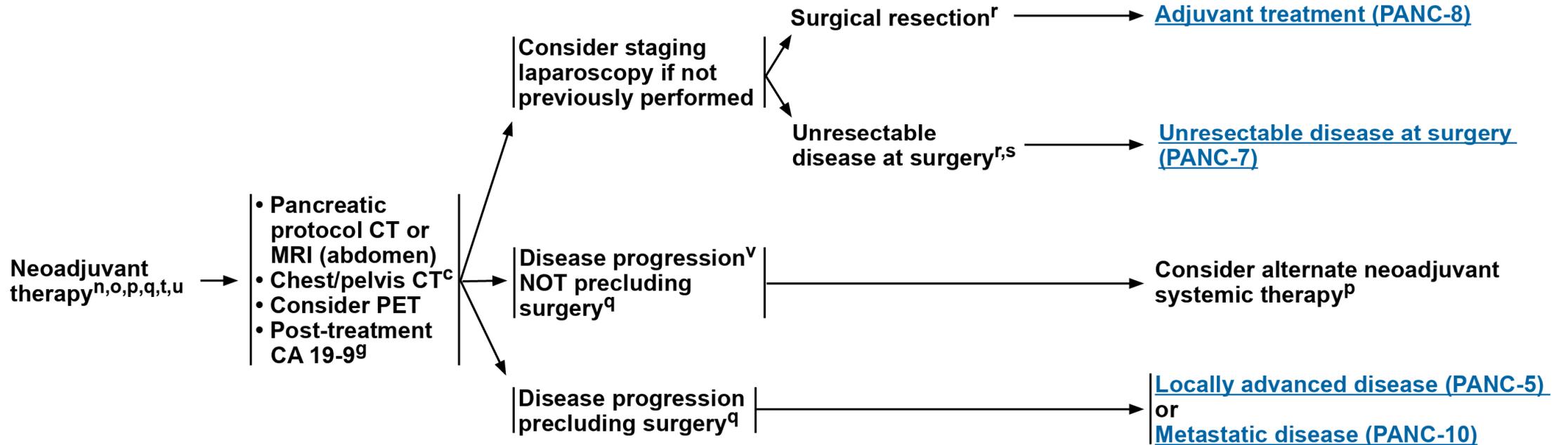


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NEOADJUVANT THERAPY

TREATMENT



Outcomes – Margin Status and Nodal Status

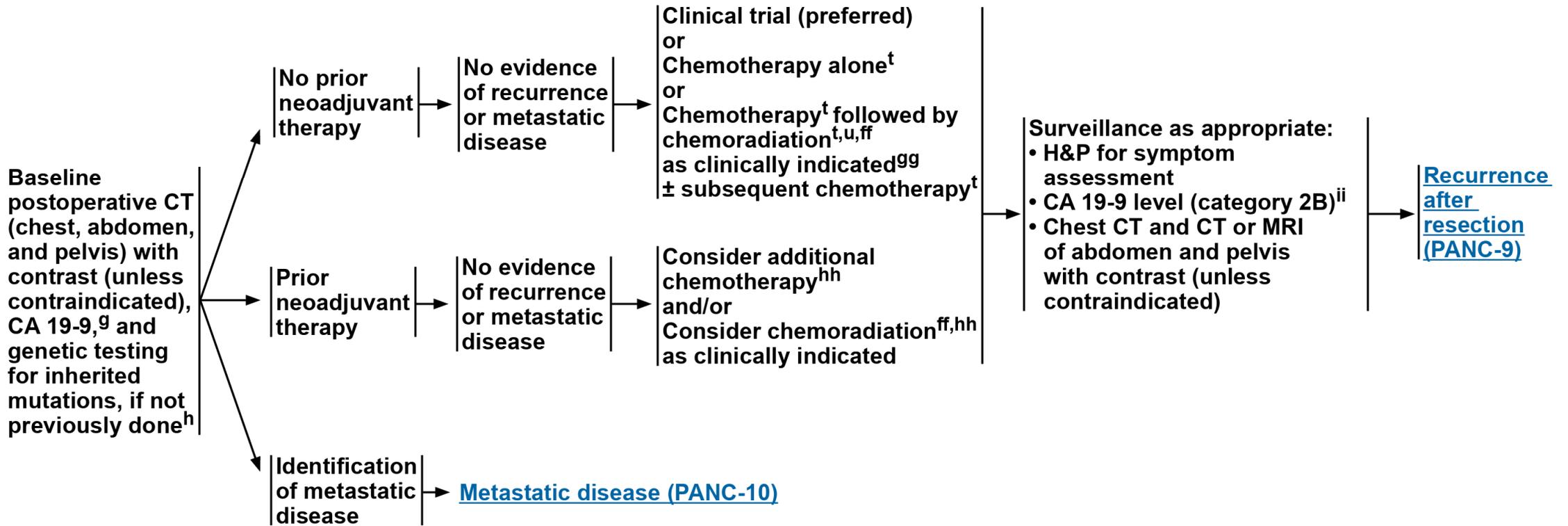


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POSTOPERATIVE ADJUVANT TREATMENT^{ee}

SURVEILLANCE



Thank You